

# Climatological Analysis of Atmospheric Rivers in the Eastern Pacific: A Comparative Study

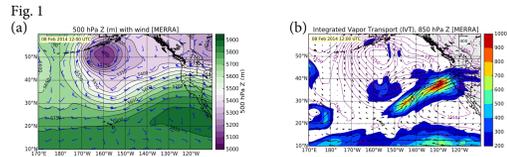
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## INTRODUCTION

### Atmospheric Rivers: Characteristics & Impacts

Atmospheric Rivers (ARs) are responsible for >90% of poleward transport of water vapor across the mid-latitudes [Zhu and Newell 1998]. Typically, an AR is associated with the warm conveyor belt (WCB) of an extratropical cyclone. An AR has the following properties [Ralph et al. 2004, 2005]: (1) a narrow band of high specific humidity, (2) high wind speeds in a pre-cold-frontal low level jet, and (3) low-level instability. ARs are long, narrow regions with Integrated Water Vapor (IWV)  $\geq 20 \text{ kgm}^{-2}$  (mm), and Integrated Vapor Transport (IVT)  $\geq 250 \text{ kgm}^{-1} \text{ s}^{-1}$  [Ralph et al. 2004; Rutz et al. 2014]. AR events are responsible for bringing significant precipitation to Western North America and replenishing water resources, but they are also notorious for catastrophic floods. California's water supply depends greatly upon ARs, which provide 25-50% of a water-year's precipitation [Dettinger et al. 2011]. Because of the importance of ARs for water resource management, it is necessary to analyze the characteristics of different types of ARs over a relatively long period of time.



A previous study focused on characterizing the AR event in early February 2014 (Fig. 1) and considered this event in a climatological context of recorded ARs occurring in the month of February over the 20-year period from 1996-2015.

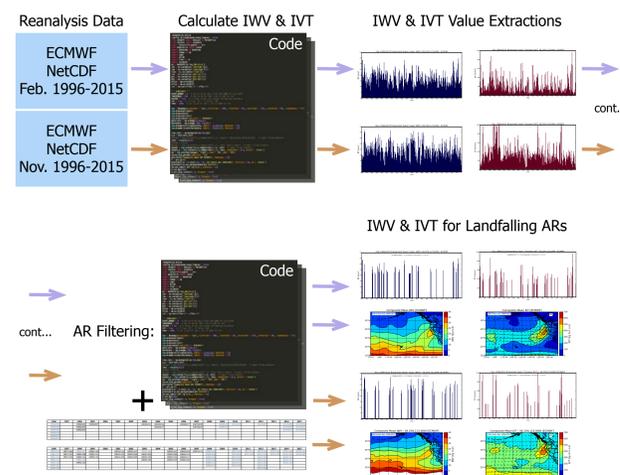
## OBJECTIVES

- Extend the previous study with a climatological characterization for the month of November over the same twenty-year period, 1996-2015. Compare and contrast with the February AR climatology.
- Expand the analysis using multiple landfall points along the California coasts.
- Explore the overarching challenge of the identification and classification of AR events using machine learning techniques.

## MATERIALS & METHODS

Data is obtained from European Centre for Medium-Range Weather Forecasts (ECMWF) ERA-Interim reanalysis datasets for February and November from 1996-2015 at a resolution of  $3/4 \times 3/4$  deg. Dates of observed landfalling ARs for WY 1998-2008 impacting CA ( $32.5^{\circ}\text{N}$ - $41.0^{\circ}\text{N}$ ) are from SSM/I ascending and descending passes [Dettinger et al. 2011; Neiman et al. 2008 (Met.)]. AR dates for the remaining years are calculated here based on ECMWF reanalysis with the constraint of IWV  $\geq 20 \text{ mm}$  and IVT  $\geq 250 \text{ kgm}^{-1} \text{ s}^{-1}$  for observations 12 hrs apart. These calculations are performed for a single point ( $38.25^{\circ}\text{N}$ ,  $123.00^{\circ}\text{W}$ ) and for five points along the California coast ( $33.00$ - $40.50^{\circ}\text{N}$ ,  $117.75$ - $124.50^{\circ}\text{W}$ ); for the latter, the maximum IWV and IVT values at these points are calculated. Data processing, analysis, and plot generation are performed by custom Python code using NumPy and the NetCDF4, Matplotlib, and Basemap modules. Panoply is used for brief data visualization.

### Processing Flow



IWV (Integrated Water Vapor) is mathematically defined as:

$$IWV = \frac{1}{g} \int q dp$$

where  $g$  is the gravitational acceleration in  $\text{ms}^{-2}$ ,  $q$  is the specific humidity in  $\text{kgkg}^{-1}$ , and  $dp$  in Pa is the pressure delta between adjacent pressure levels [Rutz et al. 2014].

IVT is vertically integrated horizontal water vapor transport [Zhu and Newell 1998; Neiman et al. 2008 (Dia.)] and is mathematically defined as:

$$IVT = \sqrt{\left(\frac{1}{g} \int qu dp\right)^2 + \left(\frac{1}{g} \int qv dp\right)^2}$$

where the additional terms  $u$  and  $v$  are the zonal and meridional winds in  $\text{ms}^{-1}$ .

## RESULTS

### Climatology of AR Events

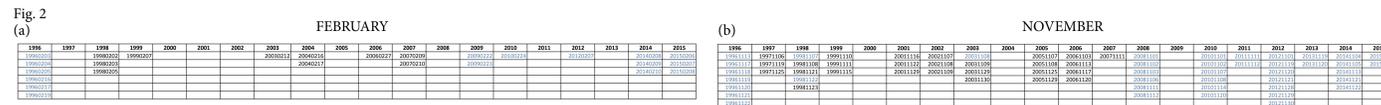
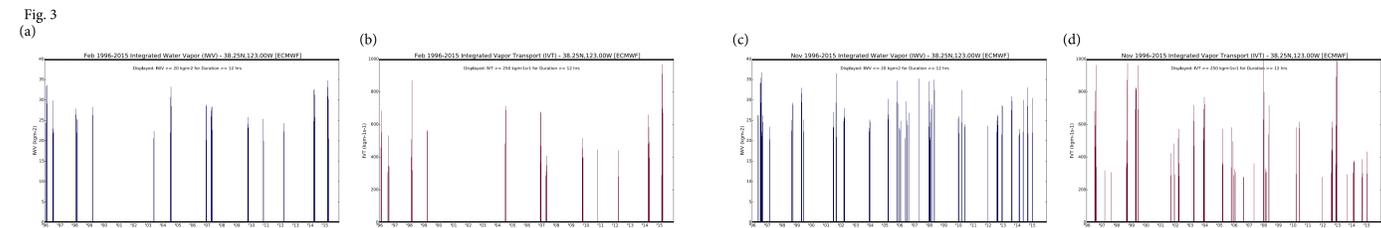


Fig. 2 (a) and (b) show, respectively, the recorded AR events for February and November from 1996-2015. Dates of observed landfalling ARs for WY 1998-2008 impacting CA ( $32.5^{\circ}\text{N}$ - $41.0^{\circ}\text{N}$ ) are from SSM/I ascending and descending passes [Dettinger et al. 2011; Neiman et al. 2008 (Met.)]. AR dates for the remaining years are calculated here based on ECMWF reanalysis with the constraint of IWV  $\geq 20 \text{ mm}$  and IVT  $\geq 250 \text{ kgm}^{-1} \text{ s}^{-1}$  for observations 12 hrs apart. All dates shown in blue are from this study. It can be observed that there are many more AR events in November (43) across the twenty-year period than in February (15).

### AR Events: February & November, 1996-2015 (Single Location)



The 20-year data for IWV and IVT in Fig. 3 (a) and (b) are for Feb. 1996-2015, at 6 hr intervals, for  $38.3^{\circ}\text{N}$ ,  $123.0^{\circ}\text{W}$ . An algorithm was developed in Python to filter this raw data to meet a threshold of  $\geq 20 \text{ kgm}^{-2}$  (IWV) and  $\geq 250 \text{ kgm}^{-1} \text{ s}^{-1}$  (IVT), to expose those values that likely represent AR events. The AR events from Fig. 2 appear to be present in the filtered data. Note that values of IWV/IVT that meet criteria do not solely establish the presence of an AR. Data in Fig. 3 are for a location in proximity to the Bodega Bay Atmospheric River Observatory in California, whereas the AR events recorded in Fig. 2 correspond to  $32.5^{\circ}\text{N}$ - $41.0^{\circ}\text{N}$ . Also, note the absence of an IVT peak for Feb. 2003 in Fig. 3 (b). Additionally, several AR events that are present in (c) are not present in (d), such as the first event of November 1996 and the event of November 2003. Furthermore, the relative number of AR events in November is far greater than the number of events in February, over the period studied.

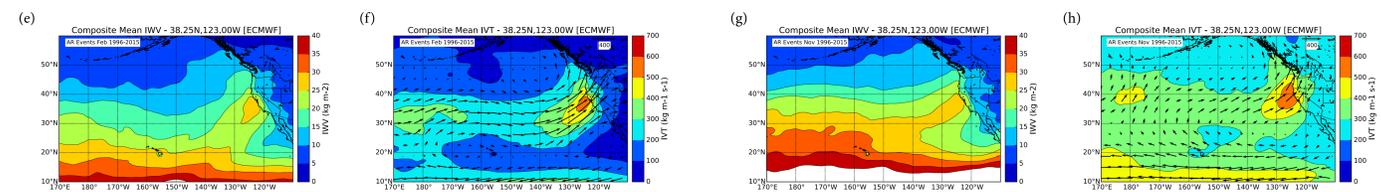
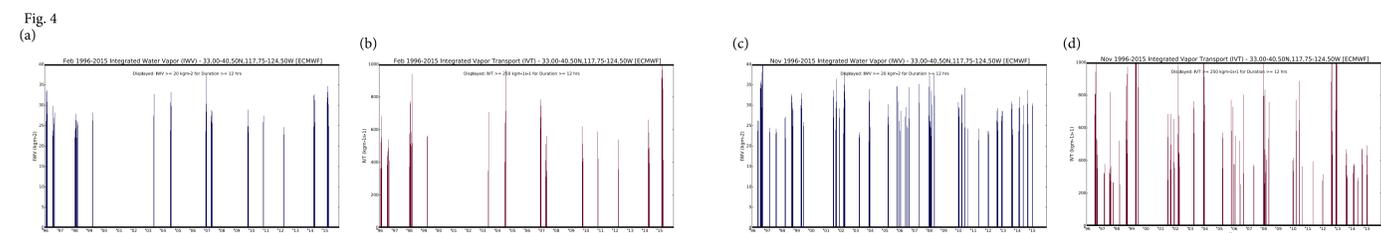
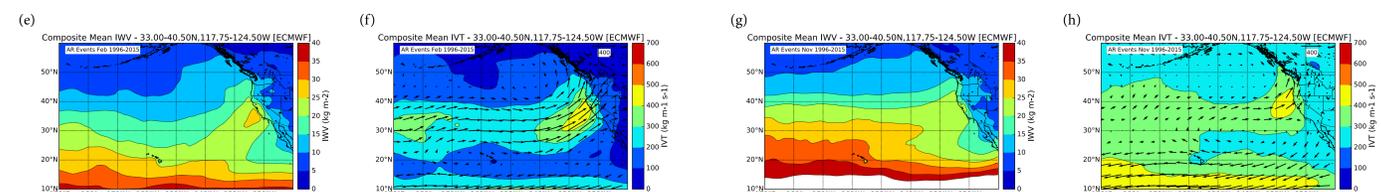


Fig. 3 (e) and (f) show the composite mean IWV and IVT values for February ARs in the 1996-2015 period; (g) and (h) show these for November. At the core of both composite ARs, peak IWV of 25-30 mm and peak IVT of 500-600  $\text{kgm}^{-1} \text{ s}^{-1}$  are observed. However, (g) shows the IWV for the November events extending beyond its core to the tropical water vapor reservoir, which appears at a higher latitude compared to (e). Furthermore, the IVT values for the November composite in (h) are higher than those of February in (f) but form a less-pronounced composite AR. It can be observed that the February composite AR in (e) tends to have a more meridional form compared to the November composite AR in (g), possibly linked with the apparent poleward extension of moisture from the tropical water vapor reservoir.

### AR Events: February & November, 1996-2015 (Multiple Locations)



Similar to the single-point analysis, Fig. 4 shows that there are more recorded AR events in November than in February. With the multi-point analysis, a few more ARs that were not present above are present here. For example, the February 2003 AR event is now present in both Fig. 4 (a) and (b), and the November 2003 event is present in both Fig. 4 (c) and (d). Although some ARs, such as the first event of November 1996, are still not captured in both the IWV and IVT plots, there is greater agreement between AR events identified in this study and those in SSM/I-based studies [Dettinger et al. 2011; Neiman et al. 2008 (Met.)] if the analysis is expanded to include multiple points along the coast. It is also worth noting that the durations as well as the peaks of AR events can be slightly greater in Fig. 4 than Fig. 3.



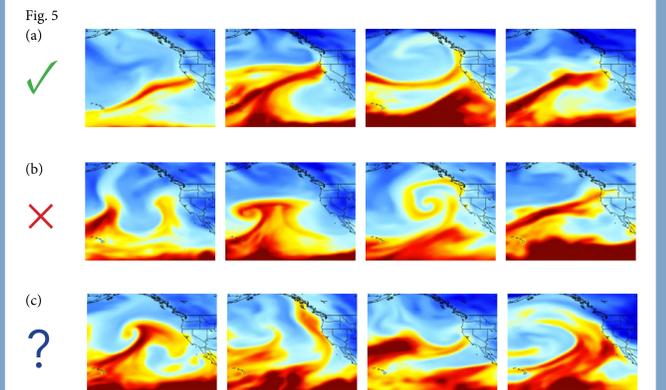
These composite mean IWV and IVT plots portray similar composite ARs—particularly for February—to those in Fig. 3 but generally have a lower maximum value at the core (with the exception of Fig. 4 (e)). The composite mean IVT for November in Fig. 4 (h) shows a more pronounced composite AR compared to Fig. 3 (h), while the structure of Fig. 4 (f), the February composite, appears nearly identical to Fig. 3 (f) when disregarding the lower values at its core.

## APPLICATIONS

### Machine Learning: Opportunities & Challenges

Traditional methods of detecting ARs in large climate datasets can be tedious and may involve human subjectivity. Instead, "teaching" a machine how to identify these events can yield faster results and potentially reduce bias. A recent study has explored machine learning for this purpose [Liu et al. 2016]. However, the diversity among ARs can be difficult to discern—both for humans and computers. It follows that perhaps a more complete definition of AR events can permit machine learning to be a suitable alternative to current methods of AR identification.

Fig. 5 shows examples based on the February and November datasets that may be used as part of a training set for a neural network to identify ARs in climate datasets. The training set, model, and scripts are a work in progress. Row (a) shows examples of AR events that may be relatively straightforward to identify and (b) shows examples of structures that are not classified as AR events. Row (c), however, illustrates the need to converge on a more fine-tuned definition of AR events and their types: for instance, what constitutes an AR making landfall on the California coast, or an AR in general.



## CONCLUSIONS

A previous study focused on characterizing the AR event in early February 2014 and considered this event in a climatological context of recorded ARs occurring in the month of February over the 20-year period from 1996-2015. This study extends the previous analysis with a climatological characterization for the month of November over the same twenty-year period for both single and multiple landfall points along the California coast. There are many more AR events in November (43) across the twenty-year period than in February (15). Additionally, several AR events that are identified in IWV charts are not in IVT charts, or vice versa. With the multiple-point analysis, some of these discrepancies appear to be resolved. Composite means were also calculated. At the core of both the February and November composite ARs, peak IWV of 25-30 mm and peak IVT of 500-600  $\text{kgm}^{-1} \text{ s}^{-1}$  are observed. IWV for the November events extends beyond its core to the tropical water vapor reservoir, which appears at a higher latitude than the February composite. IVT values for the November composite are higher than those of February but form a less-pronounced composite AR. The February composite AR tends to have a more meridional form compared to the November composite AR, possibly linked with the apparent poleward extension of moisture from the tropical water vapor reservoir. The multiple-point analysis shows similar results. However, the composite ARs generally have a lower maximum value at the core and the composite mean for November is more pronounced. The overarching challenge of AR event identification using machine learning techniques is also explored and emphasizes the need to converge on a more fine-tuned definition of ARs. Regional characterizations such as this study can assist in gaining a better understanding of the formation of ARs, their probable trajectories, and impact at landfall. Our ability to forecast these extreme phenomena is crucial for water resource management, flood planning, agriculture, and the economy. Future work for this study includes further development of the algorithms to identify and characterize ARs in climate datasets.

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