Terrain Trapped Airflows and Precipitation Variability during an Atmospheric River Event

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23 Abstract

24 We examine thermodynamic and kinematic structures of terrain trapped airflows (TTAs) 25 during an Atmospheric River (AR) event impacting Northern California 10–11 March 2016 26 using Alpha Jet Atmospheric eXperiment (AJAX) aircraft data, in situ observations, and Weather 27 and Research Forecasting (WRF) model simulations. TTAs are identified by locally intensified 28 low-level winds flowing parallel to the coastal ranges and having maxima over the near-coastal 29 waters. Multiple mechanisms can produce TTAs, including terrain blocking and gap flows. The 30 changes in winds can significantly alter the distribution, timing, and intensity of precipitation. 31 We show here how different mechanisms producing TTAs evolve during this event and 32 influence local precipitation variations.

33 Three different periods are identified from the time-varying wind fields. During Period 1 34 (P1), a TTA develops during synoptic-scale onshore flow that backs to southerly flow near the 35 coast. This TTA occurs when the Froude number (Fr) is less than 1, suggesting low-level terrain 36 blocking is the primary mechanism. During Period 2 (P2), a Petaluma offshore gap flow develops, with flows turning parallel to the coast offshore and with Fr > 1. Periods P1 and P2 37 38 are associated with slightly more coastal than mountain precipitation. In Period 3 (P3), the gap 39 flow initiated during P2 merges with a pre-cold frontal low-level jet (LLJ) and enhanced 40 precipitation shifts to higher mountain regions. Dynamical mixing also becomes more important 41 as the TTA becomes confluent with the approaching LLJ. The different mechanisms producing 42 TTAs and their effects on precipitation pose challenges to observational and modeling systems 43 needed to improve forecasts and early warnings of AR events.

45 **1. Introduction**

46 Extreme precipitation events have become more frequent and intense in recent years in 47 California (Jain et al. 2005; Dettinger 2011). These events can cause hazardous and costly 48 flooding impacts, but also contribute substantially to essential local water resources. Deleterious 49 impacts were recently exemplified in California over the period of November 2016 – March 50 2017, when numerous extreme precipitation events resulted in severe flooding. Along the U.S. 51 West Coast, such extreme events often occur in conjunction with landfalling Atmospheric Rivers 52 (ARs), which are characterized by elongated, deep, and narrow corridors of concentrated water 53 vapor transport that form in the warm sector of extratropical cyclones (Zhu and Newell 1994, 54 1998; Ralph et al. 2004, 2005a, 2006; Neiman et al. 2008; Dettinger et al. 2011a, b; Guan et al. 55 2013; Ryoo et al. 2015). As ARs impinge upon the mountainous terrain along the west coast, 56 heavy precipitation can be generated by orographic lifting of moist air on the windward slopes of 57 the mountains and intensified further by convergence and vertical motions resulting from sub-58 synoptic interactions with terrain trapped airflows (TTAs) flowing parallel to the coastal ranges.

59 Terrain blocking is one mechanism for forming a TTA, with high static stability conducive 60 to onshore flow turning parallel to rather than over higher terrain. The local blocking decelerates 61 the flow, with pressure rises along the windward slopes. To balance the pressure gradient force 62 normal to the barrier and the Coriolis force, the local disruption of the force balance (i.e., 63 geostrophic wind) leads to ageostrophic acceleration parallel to the barrier, resulting in a barrier jet (BJ), (Loescher et al. 2006). Pierrehumbert and Wyman (1995) found that the low-level 64 65 terrain blocked flow often contains a BJ oriented parallel to the long axis of the high mountain 66 range, which is maintained by a statically stable pressure ridge on the windward slope. Through 67 modeling and a Froude number (Fr) analysis, where Fr=U/Nh with U the barrier-normal wind

speed, h the barrier height, and N the Brunt-Väisälä frequency, Kim et al. (2007) showed the 68 69 low-level water vapor transport by a BJ in a low-Fr regime (Fr < 1) accelerates northward 70 moisture transport, resulting in a strong meridional precipitation gradient over the Sierra Nevada. 71 More recently, Neiman et al. (2013) found that the Sierra Barrier Jets (SBJs) reach their 72 maximum intensity during the strongest AR flow aloft, and that inland penetration of the AR 73 through the San Francisco Bay gap in the coastal mountains maintains moist air transport by the 74 SBJ. BJs due to low-level blocking are commonly observed with mountain ranges, including the 75 Rocky Mountains (Colle and Mass 1995), the Sierra Nevada (Parish 1982; Neiman et al. 2010, 76 2013, 2014), the coastal mountains of California (Doyle and Warner 1993; Doyle 1997; Yu and 77 Smull 2000), the Appalachians (Bell and Bosart 1988), the European Alps (Chen and Smith 78 1987), and the Alaskan coast (Olson et al. 2007).

79 TTAs can also form through mechanisms other than terrain blocking, such as with gap 80 flows (Loescher et al. 2006; Valenzuela and Kingsmill 2015). Gap flows may develop when 81 significant pressure and temperature differences are present between the entrance and exit of a 82 low-elevation gap in a mountain range, leading to a local force imbalance and ageostrophic flow 83 through the gap that often extends well beyond the gap exit. Using a mesoscale model, 84 Steenburgh et al. (1998) examined a gap flow through a low-elevation gap in the Sierra Madre over the Gulf of Tehuantepec during a central American cold surge event (e.g., 12-14 March 85 1993). The flow reached its maximum speed at the surface of ~ 25 m s⁻¹ offshore. Upon exiting 86 87 the gap, the locally unbalanced flow turns anticyclonically due to the Coriolis force, becoming 88 parallel to the terrain axis (Valenzuela and Kingsmill 2017).

89 TTAs associated with gap flows and their relationship to orographic precipitation over
90 California have been examined in previous observational studies (Neiman et al. 2006;

91 Valenzuela and Kingsmill 2015, 2017). Neiman et al. (2006) identified relationships between 92 Petaluma gap flow and rainfall over Bodega Bay in California using 915 MHz wind profiler 93 observations during winter storms from 1997 to 2004. They found that rain rates and total 94 rainfall increased over Bodega Bay in strong gap flow cases, and suggested that further 95 understanding of relationships between the terrain-modulated flows and precipitation was needed 96 to help improve forecasts. Using Doppler radar, Valenzuela and Kingsmill (2015) found that 97 TTAs forced by the Petaluma gap flow enhanced precipitation over the ocean and near the coast. 98 They demonstrated how TTAs could combine with pre-cold frontal low-level jets (LLJs). These 99 pre-cold frontal LLJs are sub-synoptic scale features occurring within many extratropical 100 cyclones, and are characterized by relatively warm temperatures, weak stratification, high water 101 vapor content, and strong low-altitude winds (Browning and Pardoe 1973; Ralph et al. 2005a). In 102 the Valenzuela and Kingsmill (2015) study, profile sounding data, while of great value, was 103 limited to observations at single points. Thus, these observations could not determine whether 104 mixing was occurring at the pre-cold frontal LLJs and TTA interface or interactions with the 105 synoptic LLJ and topography. Such questions motivated us to augment the new observations 106 reported in this study with dynamical diagnostic analyses and regional model simulations.

Prior modeling studies have helped greatly to clarify the fundamental connections between sub-synoptic low-level jets, orographically-modified flows, and precipitation, as well as to reveal gaps in our current understanding, observations and modeling capabilities. Doyle (1997) showed for a storm system impacting the northern California coast in January 1995 that the mesoscale precipitation structure was simulated reasonably well, but with a slight discrepancy between the observed and the simulated orientation of the frontal rainband. Using the high-resolution fifthgeneration Pennsylvania State University–National Center for Atmospheric Research (NCAR)

114 Mesoscale Model (MM5) (MM5, Grell et al. 1995), Olson et al. (2007) also showed that the 115 model simulation adequately reproduced the southeastern Alaskan coastal jets, low-level 116 pressure perturbations, and orographic flow response, but had a timing bias associated with the 117 approach of a pressure trough, and a magnitude bias for precipitation. Ongoing questions related 118 to understanding and modeling precipitation timing, magnitude, and location are vital to 119 improving early warnings and forecasting impacts, helping to motivate the research reported here. 120 Here, we first examine mechanisms for the formation of TTAs and associated changes in 121 wind fields over Northern California during an AR event occurring over the period of 10-11 122 March 2016. Both observational and modeling approaches are employed, including aircraft 123 observations, surface wind profiler observations, reanalysis data, and a high-resolution (1-km) 124 Advanced Research Weather Research and Forecasting model (ARW-WRF) simulation. 125 Temporal and spatial variations in winds and precipitation are also examined, and they are 126 related to the different mechanisms for TTA formation and large-scale mixing during the AR 127 event. Our central hypothesis is that wind speed and direction are closely related to different 128 processes of TTA formation and that these differences have discernible impacts on the location 129 and intensity of precipitation during an AR event. We evaluate this hypothesis by comparing 130 observations with model simulations and performing diagnostic analyses of stability, force 131 balance, and dynamical mixing relationships during the evolution of this AR event.

The following section provides details on the experimental design, observational and model data, and methods used in this study for an AR case that impacted the northern and central California coast on 10-11 March 2016. Subsequent sections then describe observations of TTAs , their relationships to time-varying synoptic and precipitation features, and comparisons with model results. The last section summarizes primary findings and implications from this study.

138 **2. Experimental Design**

139 a. Airborne instrumentation and flight plan

140 To map out the structure and gradients of water vapor and wind in the mid- and low-141 troposphere in the coastal region south of San Francisco Bay, in-situ measurements of water 142 vapor and 3-D winds were collected during a flight originating from Moffett Field, CA (37.42°N, 143 122.05°W). The aircraft performed six consecutive level flight legs (see Figs. 1 and 3) between 144 14:50 and 16:05 Pacific Standard Time (PST) on 10 March 2016 (22:50 UTC through 00:05 145 UTC; AJAX flight #181). Offshore level legs were executed at multiple altitudes (0.03, 0.2, 1.2, 146 2.4, and 3.1 km), paralleling the coast from as far north as conditions allowed at each altitude 147 and continuing in a straight line to the southeast, extending nearly to Pt. Sur, CA. The final leg 148 was executed closer to shore, spanning the mouth of Monterey Bay and then paralleling the 149 original flight line but closer to shore. Water vapor volume mixing ratio is measured with a 150 commercial instrument employing cavity ringdown spectroscopy (CRDS) and data for the flight 151 reported here. H₂O is estimated to have an uncertainty of < 4-6%, depending on the amount of 152 water vapor present (Filges et al. 2015). For this study, these values are converted into H_2O mass 153 (g kg⁻¹) mixing ratios.

As part of the Alpha Jet Atmospheric eXperiment (AJAX), the Meteorological Measurement System (MMS; Scott et al. 1990; Gaines et al. 1992) provided high-resolution pressure, temperature, and 3-D (u, v, and w) wind measurements. This instrument consists of three major systems: (1) an air motion sensing system to measure the air velocity with respect to the aircraft, (2) an aircraft motion sensing system to measure the aircraft velocity with respect to the earth 159 surface, and (3) a data acquisition system to sample, process, and record the measured quantities.

160 Further details of the complete airborne facility are presented in Hamill et al. (2016).

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162 b. Other instrumentation and datasets

163 National Oceanic and Atmospheric Administration (NOAA) 449 MHz surface wind profiler 164 data collected at the Bodega Bay, California (BBY, 38.3 °N, 123.1°W, elev. 15 m) site were used 165 in this study. This radar wind profiler detects a Doppler shift due to air motion to obtain wind 166 speeds and directions from 180 m above the ground surface up to 8 km, depending on 167 atmospheric conditions. Together with this, the Global Positioning System Meteorology (GPS-168 Met), a ground-based water vapor observing system measuring atmospheric total-column 169 integrated precipitable water vapor, is collocated with the existing Hydrometeorology Testbed 170 (Ralph et al. 2005b; HMT-West) wind profiler at the BBY site (White et al. 2013). At BBY 171 (coastal), additional hourly observations of integrated water vapor, total wind speed, wind 172 direction, total integrated water vapor flux, upslope wind speed and direction, and upslope 173 integrated water vapor (IWV) flux were provided by the NOAA Physical Science Division (PSD) (https://www.esrl.noaa.gov/psd/data/obs/datadisplay/). Coastal precipitation (at BBY) and 174 175 mountain precipitation (at Cazadero, California (CZD), 38.6 °N, 123.2 °W, elev. 478 m) data 176 from tipping bucket measurement (White et al. 2013) were also provided by NOAA PSD. Since 177 IWV fluxes are closely linked to orographic precipitation (Neiman et al. 2002), we used the 178 upslope IWV fluxes to show the strength of the water vapor fluxes orthogonal to the axis of the 179 coastal mountains and examine relationships to temporal precipitation variability over coastal 180 and mountainous regions during the course of the AR event.

MERRA-2 reanalyses were used for constructing synoptic-scale analysis and diagnostic fields during the AR event. MERRA-2 is a NASA atmospheric reanalysis for the satellite era using the Goddard Earth Observing System Model, Version 5 (GEOS-5) with its Atmospheric Data Assimilation System (ADAS), version 5.12.4. The MERRA-2 horizontal winds (u, v), vertical wind (omega), and temperatures are reported at a horizontal resolution of 0.66° longitude by 0.5° latitude on 42 pressure levels spanning from 1000 to 0.01 hPa, at 3-hourly time resolution. See Bosilovich et al. (2016) for further details regarding the MERRA-2 reanalyses.

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189 c. Model simulations

190 All model simulations here were conducted with the Advanced Research Weather Research 191 and Forecasting (WRF-ARW) model version 3.8. (Skamarock et al. 2008). Prior studies have 192 used the WRF-ARW to investigate Atmospheric River events over Northern California (Eiserloh 193 and Chiao 2015; Martin et al. 2018). Eiserloh and Chiao (2015) showed that this model was able 194 to reproduce well monthly precipitation and snowfall over this region. Initial and time-dependent 195 lateral boundary conditions were supplied from NCEP North American Mesoscale Forecast 196 System (NAM) analyses at 12 km horizontal resolution. The simulation was initialized at 1200 197 UTC 9 March 2016 and run for 72 h until the end of the AR event at 1200 UTC 12 March. The 198 selected horizontal grid spacing was 1 km, with 41 vertical levels. The Thompson graupel (2-199 moment) microphysics scheme (Thompson et al. 2004) and the Yonsei University (YSU) 200 boundary layer microphysics scheme (Hong et al. 2006) were used. The Thompson scheme was 201 chosen because it has been shown to produce a smaller wet-bias in cold season Quantitative 202 Precipitation Forecasting (QPF) over portions of northern California than other popular 203 microphysics schemes in WRF (Jankov et al. 2007). The Noah land surface model (Ek et al.

204 2003), the Goddard scheme for shortwave radiation (Chou and Suarez 1994), and the Rapid 205 Radiative Transfer Model (RRTM) scheme for longwave radiation (Mlawer et al. 1997) were 206 also employed.

A Q diagnostic was used as a measure of the relative contribution of strain and rotation in the large-scale flow to identify whether the horizontal dynamical mixing may be significant. Here,

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$$Q = \frac{1}{2} \left(\frac{1}{\cos\varphi} \frac{\partial u}{\partial \lambda} - v \tan\varphi \right)^2 + \frac{1}{2} \left(\frac{\partial v}{\partial \varphi} \right)^2 + \frac{\partial u}{\partial \varphi} \left(\frac{1}{\cos\varphi} \frac{\partial v}{\partial \lambda} + u \tan\varphi \right)$$

where λ and φ are longitude and latitude, respectively (Haynes 1990; Fairlie et al. 2007). This measure has been used in studies of intermediate to small-scale variability in the troposphere to examine dynamical mixing, such as during upper-level Rossby wave breaking in the uppertroposphere. Here large positive Q values suggest increased strain with enhanced mixing, while small and negative Q values indicate dominance of rotation of the flow with reduced mixing.

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217 d. Locations of measurements, flight track, and AR event

218 Figure 1a shows a map of the study region and the AJAX flight track for the AR event on 219 10 March 2016. The map also identifies the wind profiler site (BBY), the mountain precipitation 220 site (CZD), and the Petaluma gap. The inset plot shows the spatial variability of water vapor in 221 the offshore region measured from the aircraft. Aircraft data were collected from the surface to 222 \sim 3.1 km (\sim 10 kft). Level leg flight data show that water vapor is higher at low altitudes than at 223 higher altitudes. A similar flight to the north of San Francisco Bay was performed on 9 224 December 2015, but the lower level legs were limited by flight constraints of reduced visibility 225 and air traffic control restrictions, with no TTAs features found (not shown).

Bands of low brightness temperature in satellite imagery (Fig. 1b), suggestive of deep convective clouds and surface rainfall show the signature of an AR event (Galewsky and Sobel 2005) extending from the eastern Pacific to the western U.S. Based on the Integrated Water Vapor (IWV) and Integrated Water Vapor Flux (IVT) computed from MERRA-2, this event meets the three quantitative detection criteria for defining an AR: 1) IVT > 500 kg m⁻¹ s⁻¹, 2) width < 1000 km, and 3) length > 1500 km, where IVT is computed as $_{IVT} = \frac{1}{g} \int_{0}^{p} q \cdot \vec{U} dp$, with g

the acceleration of gravity, *q* the specific humidity, $\vec{U} = (u, v)$ the horizontal wind, and *p* the pressure, with integration performed over the pressure levels from 1000 hPa to 300 hPa. Similar evaluations performed with the NCEP reanalysis (Rutz et al. 2014) and Global Forecast System (GFS) model (Wick et al. 2013a, b) were consistent in identifying this event as satisfying the AR criteria.

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238 3. Synoptic conditions and the observed characteristics of the TTA

Lower-tropospheric wind maxima that are often observed in the coastal zone can be enhanced by coastal orography due to BJs (Bell and Bosart 1988; Doyle and Warner 1993; Doyle 1997). TTAs can be forced by either onshore or offshore flow at the coast at various altitudes (Doyle 1997; Olson et al. 2007; Valenzuela and Kingsmill 2015). To examine how a specific synoptic condition can provide favorable conditions for generating a TTA and how the flow evolves during an AR event, we first provide a synoptic overview for this AR event.

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246 *a. Synoptic conditions*

Figure 2 shows the evolution of specific humidity (q), horizontal wind, temperature (T) at

248 700 hPa; sea level pressure, and potential vorticity (PV) at 500 hPa for the AR period of 10-11 249 March 2016 using MERRA-2 reanalysis data. As the AR approaches the western U.S., a robust 250 upper-level trough associated with high PV (> 1 potential vorticity units, PVU) at 500 hPa is 251 located offshore near the coast of CA. In advance of this system, strong low-level southerlies and 252 southwesterlies are present over the coast of CA with relatively dry airmass. The advancing deep 253 trough is similar to the synoptic situation described by Colle et al. (2006), who found that cold 254 season BJs are associated with an anomalously deep large-scale upper-level trough approaching 255 the coast. Similar features are found at 850 hPa (not shown). By 2100 UTC 10 March, the high 256 and narrow water vapor band is elongated from southwest to northeast with strong 257 southwesterlies and relatively warmer temperature inland (Figs. 2(a, d)) compared to later in the 258 event. At the surface, low pressure is centered over the eastern North Pacific, with southwesterly 259 flow extending toward the CA coast (Fig. 2g). PV in the deep upper trough has values exceeding 260 1.5 PVU, suggesting that of the dynamical tropopause extends downward to at least 500 hPa. On 261 0900 UTC 11 March, the dominant flow is still southwesterly (Fig. 2b), but a southerly 262 component has increased and the horizontal wind has become more meridionally oriented, as has 263 the elongated band of high water vapor associated with the AR. The main axis of relatively cold 264 air aloft has a small center situated well offshore the CA coast associated with the high PV air in 265 the mid-troposphere (Figs. 2(e, h)). The region of maximum horizontal temperature gradient 266 shifts farther south, and temperatures are slightly cooler inland north of the San Francisco Bay 267 Area.

By 1800 UTC 11 March, higher water vapor has moved inland along with cooler temperatures, and the surface low and upper-level PV trough have weakened (Figs. 2i). Interestingly, the strong upper level trough shown on 10 March appears to undergo anticyclonic 271 Rossby wave breaking (Fig. 2g). Ryoo et al. (2015) demonstrated that 66% of the AR events 272 from 1997-2010 were associated with anticyclonic Rossby wave breaking, and those AR events 273 appear closely linked to this upper-level dynamical evolution. The large-scale upper-level 274 troughs over northern California may also provide favorable conditions for the formation of 275 TTAs during AR events.

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277 b. Observed vertical profiles of AJAX measurements

278 Consistent with the MERRA-2 data shown in Fig. 2a, the predominant wind direction 279 measured in situ was southwesterly at about 2300 UTC (Fig. 3b). However, there is a subtle 280 shift in horizontal winds at the lowest level, particularly evident in the northern part of the 281 transect (around 37-37.2°N, 122.4°W), with winds deflecting northward to more parallel to the 282 coast (red circles in Figs. 3(b, c)). This turning of wind direction along the transect from the 283 southeast (SE) to the northwest (NW) appears to be a signature of the emergence of the first TTA 284 during this AR event, and is manifest also in the evolving water vapor flux. The time series of 285 water vapor and winds measured by AJAX over all altitudes are shown in Fig. S1 in 286 Supplemental Materials.

TTAs due to low-level blocking may occur during low *Fr* conditions, *Fr* < 1, with favorable synoptic conditions characterized by relatively weak large-scale cross-barrier flow and relatively high static stability (recall that *Fr=U/Nh* with *U* the barrier-normal wind speed, *h* the barrier height, and *N* the Brunt-Väisälä frequency). In the most straightforward analysis, *Fr* is estimated using the dry Brunt- Väisälä frequency, $N_d^2 = g/\theta(d\theta/dz)$, where θ is potential temperature, and *g* is an acceleration of gravity. Many studies, however, have shown that moist Brunt-Väisälä frequency (N_m ; Durran and Klemp 1982; Hughes et al.; 2009) is a more appropriate choice when air impinging on mountains is saturated. Since N_m is only applicable in saturated conditions, N_m is used here only when the near-surface (< 500 m altitude) relative humidity (RH) exceeds 90%; otherwise, N_d is used.

Figure 3d shows in-situ wind speeds and *Fr* values. On March 10, the meridional wind is 5– 10 m s⁻¹ higher than the zonal wind, especially around about 1 km above ground level (agl) (not shown). Since the coastal mountains just inland approximately parallel the coastline, we estimate the terrain-parallel wind by the coast-parallel wind component. The horizontal wind components $(\vec{U} = (u, v))$, the angle (β , about 56.3°) between the coastline from the North direction, and the

302 angle (
$$\alpha$$
, where $\alpha = \tan^{-1}\left(\frac{v}{u}\right) - \beta$) between the horizontal wind vector and the line normal to

303 the coastline are used for computing the terrain-parallel component and terrain-normal 304 component of the wind near Mt. Santa Cruz. AJAX measures the potential temperatures (θ) with 305 respect to altitude, longitude, latitude, and time. At the given location where there is vertical 306 transect, we calculated the vertical potential temperature gradient by calculating $(\partial \theta / \partial z)$. The 307 terrain-parallel wind increases at the lowest altitude and between 0.4–0.9 km agl (red profile in 308 Fig. 3d). As mentioned earlier, we observed the initial development of the wind deflection to be 309 parallel to the coast, identifying the formation of the initial CBJ (the first TTA) from AJAX data. 310 The increasing terrain-parallel wind occurs during a period when Fr < 1, consistent with this CBJ 311 being due primarily to low-level terrain blocking related to the relatively weak onshore flow and 312 high static stability at lower levels during this period.

The topographic effect of terrain blocking on the wind field occurs within a Rossby radius of deformation of the topography, *L* (Gill 1982; Luna-Niño et al. 2017), where $L = (N^*h)/f$, with *f* being the Coriolis parameter (Valenzuela and Kingsmill 2018). When low-level terrain blocking 316 exists (i.e., Fr < 1), L is an order of ~100 km or less for a terrain height in the coastal region (≤ 1 km), N of 0.01 s⁻¹, and f about 10^{-4} s⁻¹). For computing Fr, we used the observed terrain-normal 317 318 wind as U. The transect AJAX flew was ≤ 15 km off the California coast (except when crossing 319 the mouth of Monterey Bay), so the measured winds were generally affected by topography, and 320 well within L. Although ideally, upstream cross-barrier observed winds should be used for U to 321 compute Fr, the mean velocity of the upstream flow appeared to be slightly less than or the same 322 as near the coast around 20-23 UTC 10 March, especially during the period when terrain 323 blocking occurs (supported by the model simulation, not shown). Therefore, uncertainties in 324 choice of the upstream winds for estimating Fr are unlikely to affect this interpretation.

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326 c. Observed TTAs from NOAA-wind profilers and precipitation measurements

Augmenting observations of the first TTA from AJAX flight measurements, which suggest a terrain blocking mechanism, we further examined the characteristics of TTAs during this AR event using wind profilers and hourly rain rates at BBY during the period 10-11 March 2016 (Fig. 4). Around 2300 UTC 10 March, the wind below 0.5 km starts changing its direction toward southeasterly, approximately parallel to the coastal terrain. The southeasterly signature persists between 2300 UTC on 10 March and roughly 1800 UTC on March 11, developing from lower altitudes of ~0.4 km up to 1.4 km above the surface.

To better identify distinct mechanisms related to TTAs occurring during the AR, we divide the AR into three sub-periods based on wind fields, with key differences summarized in Table 1. Period 1 (P1) is identified when the low-level wind (< 800 m) is southeasterly with speed less than 5 m s⁻¹, occurring during 2100-2300 UTC 10 March 2016. During this period, there is also a deflected wind toward the coast in the 10-meter modeled wind field nearest the aircraft transect (see Fig. 7a). Period 2 (P2, 0500-0900 UTC 11 March) is defined when the low-level wind (< \sim 800 m) is southeasterly with speed <15 m s⁻¹, and strong onshore (westerly and southwesterly) flow occurs above 1 km. Period 3 (P3) is defined when the low-level wind (< \sim 800 m) is still southeasterly but with wind speed > 15 m s⁻¹, which captures the time between 1000 UTC -1800 UTC 11 March 2016. The strongest low-level winds occur during P3 at ~1.2 km above the surface at 1500–1600 UTC 11 March, related to the pre-cold frontal LLJ (see Fig. 4a).

345 All these wind features are associated with locations of enhanced precipitation during the 346 different periods. Mountain precipitation (green bars in Fig. 4b) is abundant during 1000-2100 347 UTC, but begins to diminish around the time when the weak southeasterlies emerge (2300 UTC 348 10 March, P1) and does not return until 0900 UTC 11 March as P2 ends. The upslope IWV 349 fluxes (blue line) weaken during the early period of southeasterlies (2300 UTC 10 March) but 350 strengthen again around 0900 UTC and 1500 UTC 11 March. The upslope wind speeds and IWV 351 fluxes closely correspond (not shown). During P1, the coastal precipitation increases (red bars), 352 in contrast with the mountain precipitation. Coastal precipitation also increases during the end of 353 P2. During P3, mountain precipitation increases as upslope IWV flux (and wind speed) increases. 354 Finally, after 1800 UTC 11 March, the wind direction turns to southwesterly, and the upslope 355 IWV flux significantly decreases, leading to an overall reduction of precipitation. The winds and 356 IWV flux patterns in Fig. 4 are consistent with the observed precipitation features over the BBY 357 (coastal area) and the CZD (mountain area) sites.

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359 *d. Model-observation comparison*

The upper panels in Fig. 5 show vertical profiles of zonal wind, meridional wind, and potential temperature measured by i) AJAX and the WRF model simulation interpolated along 362 the flight track for 2200-2400 UTC 10 March 2016, and ii) wind profiler and WRF model 363 simulation interpolated along the wind profiler at 1100 UTC 11 March 2016. Most features of 364 the model simulation are within the range of observed winds and potential temperatures. There are, nevertheless, some notable differences. The model tends to underestimate the observed 365 366 meridional wind below 1.5 km while it overestimates the observed winds above 2 km. 367 Discrepancies are found between the observations and WRF simulation in zonal wind shear 368 between 500 m and 2 km (see Fig. 5a). Possible contributors to the differences are: 1) 369 Inadequacies in simulating vertical shear related to the TTA from low-level terrain blocking. 370 Notably, the wind profiler at 2300 UTC over BBY also indicated some disagreement with WRF 371 winds below 2km (not shown). 2) Possible underestimation in the WRF model of the shear layers 372 and shear-generated turbulence of the low-level blocked flow at these levels (Houze and Medina, 373 2005), so that the model fails to adequately capture the presence of the CBJ around 800 m and 374 above it (1.5 km) over Mt. Santa Cruz at the time of measurement. A similar discrepancy was 375 found between the model and BBY wind profiler data comparison at the same time (~ 2300 UTC 376 10 March 2016). 3) Model potential temperatures also tend to be warmer than observations 377 except below 0.5 km, indicating low-level static stability is higher in the model than observations, 378 which may contribute to simulation and prediction errors. Due to the different temporal and 379 spatial resolution and data availability, a direct comparison between the WRF model and AJAX 380 data is also challenging to make. While these model-observation discrepancies are notable and 381 merit additional study, the overall ability of the model simulation to replicate most observed 382 wind and potential temperature features supports our interpretation for many important processes 383 during this event.

384 Figure 6 shows the comparison of average wind speed (up to 5 km from the surface) and 1-385 hourly rain rate, from both observation (red) and WRF model simulation (blue). The model wind overestimates observed values by 2-4 m s⁻¹ around 1900 UTC 10 March and 0100 UTC 11 386 387 March, but otherwise, has similar features and magnitudes. In general, model precipitation over 388 BBY overestimates observed precipitation, although the overall pattern can replicate the 389 observed pattern for some periods. Model precipitation over CZD generally lags behind observed 390 precipitation during P2 and P3, and it may underestimate precipitation totals. The ratio of 391 mountain (CZD) to coastal (BBY) rain (bottom panel) has higher values (> 2.5 on average) 392 starting from ~1000 UTC 11 March to the 1800 UTC 11 March, corresponding to P3. Slightly 393 lower values (< 1.5 on average) over P1 and P2 are found. This is consistent with the results of 394 Valenzuela and Kingsmill (2017), who reported coastal precipitation (i.e., at BBY) tends to 395 increase when TTAs are developing. We also compared the maps of 6-hourly accumulated 396 NCEP-Stage IV al., precipitation (Nelson et 2016; 397 http://www.emc.ncep.noaa.gov/mmb/ylin/pcpanl/stage4/) and WRF simulated precipitation 398 during the 10-11 March 2016 AR case in Fig. S2 in the Supplemental Materials. In general, 399 NCEP-Stage IV and modeled precipitation show similar features regarding variability, except for 400 the Sierra Nevada mountain region and the coastal range, where both measuring and predicting 401 precipitation over mountainous regions pose particular challenges (Strangeways 1996; Smalley 402 et al. 2013).

403

404 **4. Characteristics of TTAs simulated from WRF-ARW**

405 a. Kinematic characteristic of TTAs

406 To further understand the detailed kinematic structure and characteristics of TTAs in 407 conjunction with the pre-cold frontal LLJs, we examined time-series of modeled meridional 408 wind and precipitation at both BBY, CZD, and Mt. Santa Cruz in Fig. 7. The closest distance 409 from the AJAX flight track to Mt. Santa Cruz (peak elev. ~ 1154 m) was ~ 40 km, and a second peak (elev. ~ 740 m) was also located ~15 km from the flight track. Over Mt. Santa Cruz (Fig. 410 411 7b), the modeled wind does not indicate southeasterly flow until around 0500 UTC 11 March, in 412 comparison to the in-situ observation of southeasterly wind emerging around 2300 UTC 10 413 March (see red circle in Fig. 4). This is consistent with the results shown in Fig. 5a, highlighting 414 the difficulty in modeling low-level zonal wind shear between 500 m and 2 km in this event.

Figure 7a shows a distinctive vertical gradient of meridional wind speed at BBY at this time, 415 centered ~ 1 km aloft, with values of ~5 m s⁻¹ below and 25 m s⁻¹ above. At BBY, different wind 416 417 patterns (wind direction and wind speed) were observed over two periods: $2100 \sim 2300$ UTC 10 418 March (identified as P1), and 0500 ~ 0900 UTC 11 March (identified as P2). Consistent to Fig. 4, 419 around 2300 UTC 10 March (during P1), the surface winds were mainly southeasterly, and a pre-420 cold frontal LLJ structure was absent. The zonal wind (easterly) patterns showed that the airflow 421 was directed approximately parallel to the coastal mountains from SE to NW, consistent with a 422 CBJ (Fig. 7a). Around 0500 UTC 11 March (during P2), a meridional pattern similar to that 423 around 2300 UTC 10 March was also found with a strong jet structure and relatively dry air (low 424 specific humidity, q, not shown). The potential temperature decreases with time toward the end 425 of P3, indicating a cold front passage after the AR event (not shown). Both observational and 426 model data show more coastal precipitation (BBY) than mountain precipitation (CZD and Mt. 427 Santa Cruz) during P1 and P2 (Figs. 4, 6, and 7). Conversely, mountain precipitation is slightly 428 more abundant during P3 (CZD) and after (Mt. Santa Cruz, Figs. 7(c, d)). This is consistent with

the finding of Valenzuela and Kingsmill (2017), who showed that the mountain-to-coast rainfall
ratio was lower (~ 1.4) when there was terrain trapped flow (TTA), while the ratio increased
(~3.2) without TTA.

432 To further understand the processes of TTA formation, we examined the WRF model flow 433 patterns, temperatures, and wind speeds during two different periods. Figure 8 (a, b, c) shows the 434 WRF-simulated surface temperature overlaid by 10-meter wind vectors; panels (d, e, f) show 10-435 meter wind speed (colors) and sea level pressure (isobars). On 2300 UTC 10 March (Figs. 8(a, d), 436 corresponding to P1), relatively warm and mild onshore-directed southwesterly flow is evident 437 that changes direction to parallel the coast, forming a weak CBJ (the first TTA). At this time, the 438 precipitation over the coastal region north of San Francisco Bay increases slightly (Figs. 7(c, d), 439 Fig. 8g). Consistent with the Fr analysis using aircraft data shown in Fig. 3, Fr values computed 440 from model data are < 1 at the north of San Francisco bay area including BBY and Mt. Santa 441 Cruz around 500 m at 2300 UTC 10 March, supporting the earlier interpretation of terrain flow 442 blocking for this TTA event.

443 From 0500 UTC 11 March to 0900 UTC 11 March (corresponding to P2), more organized 444 southeasterly flow develops parallel to the coastline (Fig. 8b). Offshore-directed gap flow from 445 the Central Valley turns anticyclonically near the coast, further contributing to a strong TTA (the 446 second TTA). Relatively cold air exited from the interior through the Petaluma gap and crossed 447 over BBY, augmenting the gap flow due to pressure gradients associated with approaching 448 synoptic-scale AR storm (Figs. 8(b, e)). Note that the maximum speed of the offshore-directed 449 gap flow tends to be 2-3 times larger than that of the ambient synoptic flow (see Fig. 8b). This 450 implies that the gap flow can determine the size of TTA, consistent with Olson et al. (2007), 451 which a gap flow can extend the size of BJ by changing its structure and intensity over the

452 southeast Alaskan coast. Precipitation increases over the area where the temperature gradient is453 large and tends to increase over the coastal region (Fig. 8h).

On 1700 UTC 11 March (Figs. 8(c, f), corresponding to P3), confluence of the synoptic flow offshore with gap winds is located near the coast, especially south of Mt. Santa Cruz and Monterey Bay, leading to strong wind speeds combined with high water vapor transport (not shown). This combination can lead to high precipitation rates over favored mountain regions, as shown in Fig. 8i. Over the three periods throughout this AR event, different wind directions and speeds associated with the TTAs were closely related to precipitation patterns and intensities over the coastal and mountain regions.

461

462 b. Forcing mechanisms of TTAs: low-level blocking and gap flow

463 To confirm that the TTA occurring during P2 is produced by the Petaluma gap flow, we 464 examined the relationship between the pressure gradient and wind at BBY to determine if this 465 event followed the theoretical relationship shown by Valenzuela and Kingsmill (2015, 2018). 466 The hourly pressure difference was calculated between BBY and Stockton, located at the eastern 467 end of the Petaluma gap. Zonal winds were derived using the simulated 0-500 m layer-mean 468 winds and 10-meter wind. Figure 9a shows the hourly model data, with different symbols 469 representing P1, P2, P3, and post-P3 periods. The solid line shows the theoretical relationship 470 used to derive the gap flow as a function of the pressure gradient (Mass et al. 1995). We include 471 the frictional effects using the same drag coefficient used in Mass et al. (1995). The boundary layer depth (PBLH) is estimated as 700 m, and the average air density of 1.24 kg m⁻³ was chosen 472 473 based on the observations at BBY and Stockton, used in Valenzuela and Kingsmill (2017). 474 Sensitivity of the result to different PBLH (500m, 700m, and 1000m) was small (not shown).

We focus first on the period from 0500 - 1800 UTC 11 March during P2, when the second TTA forms, and P3, when the TTA merges with the pre-cold frontal LLJ. Figure 9a shows that the model data agrees with the theoretical force balance relationship between the pressure difference and the zonal wind due to gap flow. In contrast, the conditions before 0500 UTC (P1, open squares) and after 1900 UTC 11 March (post-P3, filled triangles) significantly depart from the relationship, indicating that these periods are not associated with gap flow.

481 Figure 9b shows Fr calculated using WRF-simulated wind during different analysis periods and its sensitivity to the terrain height. The upstream wind (U) is less than ~8 m s⁻¹, and N is ~ 482 0.01 s⁻¹ over the area averaging both BBY and CZD during P1 (open squares). The Fr shows that 483 484 the flow has low U/Nh (i.e., Fr < 1) when the mountain height (h) is set to 0.5, 0.8, or 1 km). 485 This indicates that blocked CBJs are well-represented in the WRF simulation along the 486 windward side of the California coastal range at that time. However, during P2 (red and orange Xs) and afterward, combining the strong upstream winds and N_m produces a larger Fr, up to 487 488 about 4 (e.g., $U = 20 \text{ m s}^{-1}$, $N \sim 0.01 \text{ s}^{-1}$, h = 0.5 km). Thus the P2 TTA is not caused by terrain 489 blocking. Comparable stabilities (N_m , ranging from 0.009 – 0.015) exist throughout P1-P3, but 490 distinct flow patterns associated with different mechanisms occurred across the periods. The 491 TTAs formed during P1 and P2 were likely produced by different processes, mainly related to a 492 different source of the airmass.

493 Nearly all time during P1 had Fr < 1, regardless of the height of the terrain (*h*), supporting an 494 interpretation of a terrain blocked flow. However, most times during P2 and P3 had Fr > 1, with 495 blocking only possible when *h* is large. Valenzuela and Kingsmill (2018) showed that in their 496 study TTA terrain blocking was likely associated with high inland orography. Here, however, it 497 appears that low-level blocking can also be generated by relatively low coastal orography (h ~ 498 500 m elevation), although it is relatively weaker and more short lived than terrain blocking in499 the Valenzuela and Kingsmill study.

500 Following P1, but before the second TTA develops in P2, both coastal and mountain 501 precipitation decreases significantly (Figs. 4b, 6) due to a reduction of moisture and temperature 502 inland (not shown). This can be seen in Figs. 2(h, i) when there is a deep penetration of dry, cold 503 air down to the mid-troposphere (~ 500 hPa), associated with the large-scale upper-level trough. 504 In addition to changes in precipitation amount, Hughes et al. (2009) found that the ratio of 505 precipitation at mountain (steepest slopes ~ 80 m km⁻¹) and coastal (gentlest slopes ~10 m km⁻¹) 506 sites was close to 1:1 for low Fr but increased to nearly 4:1 for high Fr. This is consistent with 507 our result of more coastal precipitation during P1 with low Fr, P2 with high Fr, and relatively 508 more mountain precipitation during P3 with high Fr (Fig. 6d). Relationships suggested in Fig. 9 509 could be strengthened in the future if additional offshore wind profile, temperature, and water vapor observations could be obtained to better estimate detailed fields of N_m and Fr. 510

511

512 *c. Mixing diagnostic*

The diagnostic Q is used to identify periods when stretching of airmasses increases interfacial area and thus facilitates mixing across boundaries. Figure 10a shows the time series of the Q calculated over BBY, CZD, and Mt. Santa Cruz (vertically averaged up to 700 hPa (~3km)) during the study. The bottom panels are longitude-pressure cross-sections of Q(averaged over 36.5-40°N) at the three periods indicated by vertical bars in panel (a). Also shown are water vapor mixing ratio overlaid by the zonal and vertical wind vector, interacting with the complex coastal mountains (see the upper panels of Figs. 10 (b, c, d)).

520 The time series of Q shows that regional differences in mixing between BBY and CZD are

small, with slightly less mixing expected in the Mt. Santa Cruz area during P2 and P3. All three locations show increasing mixing (more positive and high values of Q) near the end of P2, with maxima in mid- (BBY and CDZ) to late- (Mt. Santa Cruz) P3 as the pre-cold frontal LLJ intensifies. During P1, enhanced water vapor (q) is seen offshore and on the windward side of the mountain (124W-122.8°W) at 2300 UTC 10 March 2016. But Q is low both offshore and onshore, indicating mixing is weak during the low-level blocking period (Fig. 10b).

527 In contrast, during P2, easterly flow from inland, related to the gap flow from the mountain 528 range, occurs at lower levels around 950 hPa (~ 540 m) by 1100 UTC. Strong ascending flow associated with the pre-cold frontal LLJ (wind speed > 20 m s⁻¹) occurs offshore during P2 and 529 530 intensifies as the easterly, offshore-directed flow emerges in P3. The positive vertical motion 531 was stronger offshore (> 124°W) than the windward side (124°W-122.8°W), while the negative 532 vertical motion emerged over the lee side of the mountain (~122°W) during P2. As the ascent 533 and upslope IWV increases at the end of P2, precipitation was enhanced at the end of P2 (see Fig. 534 4b). During P3, the mixing facilitates the lifting of the pre-cold frontal LLJs when the offshore-535 directed gap flow merges with the pre-cold frontal LLJs, which may enhance mountain 536 precipitation and inland moisture transport (see Figs. 7d, 8i, Fig. S3 in Supplementary Materials). 537 The vertical motions during P2, P3 shown in the upper panels of Figs. 10(b, c, d) are consistent with the finding by Valenzuela and Kingsmill (2018), indicating that strong ascent 538 539 occurs offshore over the TTA during TTA conditions while the ascent is slightly stronger over 540 the coastal mountain during non-TTA periods. The model-simulated vertical motions in P1 in 541 our study show that weak ascent occurs offshore, especially when averaging over the small area 542 where the terrain-blocking flow is observed (the upper panel of Fig. 10b). The mixing was mild 543 in P1, increasing in P2, and stronger over the coast and coastal mountain in P3 (the lower panels

544 in Figs. 10(b-d)). Overall, Fig. 10 quantitatively confirms that mixing between two air masses 545 occurs during the end of P2 and P3, and it indeed affects the lifting of the pre-cold frontal LLJs 546 toward the windward side of the coastal mountain, controlling the precipitation distribution by 547 favoring mountain precipitation when the pre-cold frontal LLJs are lifted over the mountain, 548 especially during P3.

549

550 **5. Summary and Conclusions**

551 We have characterized the evolving relationships between terrain trapped airflows (TTAs), 552 synoptic-scale meteorological conditions, and precipitation in northern California during the 553 Atmospheric River (AR) event of 10-11 March 2016 using aircraft measurements, surface 554 observations, wind profiler data, and a 1-km resolution regional WRF simulation. We 555 hypothesized that significant near coastal wind variations are related to different mechanisms for 556 TTA formation, and that these wind variations have distinct impacts on precipitation locations 557 and intensities during the evolution of an AR event. Two processes leading to TTA formation 558 were identified: 1) low-level terrain blocking (i.e., coastal barrier jet; CBJ) and 2) offshore-559 directed gap flow augmenting more coast-parallel synoptic-scale flow.

The two mechanisms led to TTAs at different times during this event. The low-level blocking mechanism was identified when horizontal wind direction backed from synoptic-scale westerly flow well offshore to more southerly flow close to the coast in a regime with a Froude number (Fr) < 1. The gap flow mechanism was identified when the relationship between the offshoredirected near-surface wind and the pressure difference between entrance and exit of the Petaluma gap followed the gap flow force balance in a regime with Fr > 1. During both of these TTAs, 566 strong low pressure was centered offshore in association with a deep upper-level trough 567 approaching the coast, with dry and relatively colder air inland.

568 The first type of TTA (a CBJ) occurred at the early stages of the AR event (period 1, P1) and 569 it was associated with a maritime source of the air, relatively weak onshore flow, and a statically 570 stable low-level environment. Shallow terrain blocking led to the TTA, although the magnitude 571 of the coastal-parallel flow enhancement was weak, with surface wind speed less than 5 m s⁻¹. 572 During this period, both surface measurements and model simulations showed relatively more 573 coastal than mountain precipitation. Valenzuela and Kingsmill (2018) found that in their study 574 terrain blocking was likely connected to higher inland terrain. Our results show that terrain 575 blocking can also result from the effects of the lower coastal orography ($h \sim 500$ m altitude). 576 This result suggests that weak, transient CBJs may also be formed by shallow coastal mountain 577 blocking in sufficiently weak onshore flows and high low-level static stability, whereas larger 578 scale and more intense terrain blocking with stronger onshore flows as in Valenzuela and 579 Kingsmill may be connected to higher orography of the Sierras.

580 The second type of TTA formed in a fundamentally different regime than during P1. In period 2 (P2), winds strengthened with a component directed offshore. Our analysis showed that the 581 582 formation of this TTA was due to offshore-directed gap-exit flow caused by the pressure and the temperature differences between the inland gap entrance and the coastal gap exit. The TTA 583 during P2 exhibited surface wind speeds up to ~ 15 m s⁻¹. Both TTAs extended offshore under 584 585 100 km, less than the Rossby radius of deformation (Loescher et al. 2006; Luna-Niño and 586 Cavazos 2017). The maximum speed of the TTA in P2 was roughly 2-3 times larger than that of 587 the ambient synoptic flow. As P2 progressed, the confluence of the TTA with an approaching 588 pre-cold frontal low-level jet (LLJ) led to the third AR period (period 3, P3). The P3 was

characterized by more intense mountain precipitation and stronger wind speeds ($> 15 \text{ m s}^{-1}$) over the ocean and inland. Table 1 summarizes primary meteorological differences over the three periods.

592 Varying kinematic and thermodynamic characteristics between P1, P2, and P3 were closely 593 associated with physical mechanisms for TTA formation, as well as interactions with the pre-594 cold frontal LLJ, which led to widely varying precipitation spatial distributions and intensities. 595 The first TTA was associated with coastal terrain blocking and favored coastal rather than 596 mountain precipitation, consistent with earlier findings. The Q diagnostic indicated that 597 dynamical mixing likely elevated the pre-cold frontal LLJs, shifting high water vapor initially 598 offshore and over the coastal regions onshore toward higher elevations inland, providing 599 evidence for another mechanism influencing precipitation beyond what could be identified from 600 previous single-point observations.

This study also showed that cold and dry air accompanied by a large-scale upper-level potential vorticity (PV) trough over northern California could facilitate the formation and development of TTAs, but more research is required to clarify to what extent such upper-level features affect the formation of low-level TTAs. Other variables or conditions influencing TTA development, characteristics, and impacts also merit further investigation, including the residence time of low-level water vapor flux, change in the atmospheric stability, and low-level shear-generated turbulence to alter orographic precipitation during the evolution of AR events.

Our study is unique in describing TTA formation from two distinct forcing mechanisms during different stages in the evolution of a single AR event. The results indicate that TTAs can substantially affect the timing, locations, and intensity of precipitation in California during such events. Similar effects appear likely to occur as well elsewhere along the U.S. West Coast. Further work to better observe, understand, and model TTAs will help build the scientific basis for improving forecasts and early warnings of high-impact weather from AR events that so commonly affect the U.S. West Coast as well as many other coastal regions around the world with complex terrain.

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- **Table 1.** Characteristics of TTAs and precipitation over different periods (P1, P2, and P3) during
- 819 10-11 March 2016 AR events over BBY and Mt. Santa Cruz. The low-level wind defines wind
- 820 below 800 m.

Period	Period 1 (P1)	Period 2 (P2)	Period 3 (P3)
Start	2100 UTC 10 March 2016	0500 UTC 11 March 2016	1000 UTC 11 March 2016
End	2300 UTC 10 March 2016	0900 UTC 11 March 2016	1800 UTC 11 March 2016
Low-level (< ~ 800 m) wind direction	Onshore-directing deflected wind pattern toward the coast, southeasterly	Offshore-directing wind, southeasterly	Southeasterly and southwesterly
Low-level (< ~ 800 m) wind speed	< 5 m s ⁻¹	< 15 m s ⁻¹	> 15 m s ⁻¹ ,
Precipitation ratio (mountain/ coast (i.e. CZD/BBY))	< 1.5 on average	< 1.5 on average	> 2.5 on average
Low-level blocking (Coastal barrier jet formed)	Yes	No	No
Gap flows	No	Yes	Merged with pre cold- frontal LLJs
TTA formed	Yes (CBJs)	Yes (Gap flows)	Yes (Gap flows merged with pre cold-frontal LLJs)
Vertical motion	Mild ascent offshore	Moderate ascent offshore	Strong ascent over the coastal mountain
Observational data Source	AJAX aircraft data near Mt. Santa Cruz and wind profiler data over BBY, tipping bucket rain measurement	Wind profiler data over BBY, tipping bucket rain measurement	Wind profiler data over BBY, tipping bucket rain measurement
Notes	Low-level blocking (CBJ) due to the coastal terrains	Gap flows due to pressure and temperature difference between the Petaluma gap entrance and exit	Gap flows merge with pre cold-frontal LLJs





825 Fig. 1. (a) Map of the study region overlaid with observing systems. The legend identifies the 826 coastal (BBY) and mountain (CZD) measurement sites. Inset shows 3-D view of water vapor 827 mixing ratio [g kg⁻¹] measured by the Alpha Jet Atmospheric eXperiment (AJAX) flight (upper) 828 from 2250 UTC 10 March 2016 to 0005 UTC 11 March 2016. (b) Satellite image of 10.7 µm Brightness Temperature (in color) obtained from NASA Langley Cloud and Radiation Research 829 830 (from Geostationary Operational Environmental Satellite (GOES-15) Imagery and Cloud 831 Products) on 2230 UTC 10 March 2016. The red box indicates the area expanded in panel a). 832 The green area with the yellow line represents the Santa Cruz mountains (Mt. Santa Cruz) area. 833 The magenta triangle represents the peak of Mt. Santa Cruz.



Fig. 2. Longitude-latitude cross-section of (a, b, c) water vapor mixing ratio [g kg⁻¹] overlaid by horizontal wind vector [m s⁻¹] at 700 hPa; (d, e, f) temperature [K] at 700 hPa; and (g, h, i) sea level pressure [hPa, in color] overlaid by potential vorticity (PV) at 500 hPa where PVU = 1.5 (1 PVU = 10^{-6} m⁻² s⁻¹ K kg⁻¹) at (left) 2100 UTC 10 March 2016, (middle) 0900 UTC 11 March 2016, and (right) 1800 UTC 11 March 2016 obtained from the MERRA-2 reanalysis data.



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842 Fig. 3 Maps of (a) measured water vapor and (b) wind along the Alpha Jet Atmospheric 843 eXperiment (AJAX) flight track. (c) Water vapor mixing ratio [g kg⁻¹] overlaid with horizontal 844 wind vectors ([m s⁻¹], blue) measured by AJAX flight. The red circle indicates the deflected flow 845 toward the coast along the transect from the southeast (SE) to the northwest (NW). (d) the vertical profiles of terrain-parallel ([m s⁻¹], red) and terrain-normal wind ([m s⁻¹], blue), and 846 computed Fr (magenta, black) using AJAX data from 2250 UTC 10 March 2016 to 0005 UTC 847 848 11 March 2016. The magenta and black lines represent the Fr computed using different 849 mountain heights (h, 800 m and 1 km, respectively). The shaded circle (green) represents the 850 Santa Cruz mountains (Mt. Santa Cruz) area. The magenta triangle represents the peak of Mt. 851 Santa Cruz.



855 Fig. 4. Time series of (a) wind speed as a function of altitude at Bodega Bay (BBY, knots) and 856 (b) observed hourly precipitation (inches) over the BBY (coast, red) and the Cazadero (CZD, 857 mountain, green) sites, with the upslope integrated water vapor flux (blue, in knots) observed by 858 the wind profiler at BBY from 0000 UTC 10 March to 0000 UTC 12 March 2016. Gray-shaded 859 boxes in panels (a, b) identify the time periods for further discussion: P1 between 2100 - 2300860 UTC 10 March, P2 during 0500 - 0900 UTC 11 March, and P3 during 1000 - 1800 UTC 11 861 March. The black dots in (a) represent the observed hourly profiler-derived snow level. The 862 figure is initially obtained from https://www.esrl.noaa.gov/psd/data/obs/datadisplay/. Note that 863 the time axis is from right to left to represent the eastward advection of the AR storm. The 864 magenta circle during P1 and P2 refers to the occurrence of the first and the second TTA, 865 respectively.



Fig. 5. Vertical profiles of zonal wind ([m s⁻¹], U-wind), meridional wind ([m s⁻¹], V-wind), and
virtual potential temperature [K] (a, b, c) measured by AJAX flight (red) and modeled by WRF
model (blue) interpolated along the AJAX flight track from 2250 UTC 10 March 2016 to 0005
UTC 11 March 2016. (d, e, f) Measured by BBY wind profiler (red) and modeled by WRF
model (blue) along the wind profiler at 1100 UTC 11 March 2016. One standard deviation from
the mean value at each altitude is presented with the horizontal bar.



Fig. 6. Time series of (red) observed and (blue) modeled (a) wind speed at BBY (b) precipitation
over BBY (coastal region), (c) precipitation over CZD (mountain region), and (d) rain ratio
(CZD/BBY) from 1800 UTC 10 March to 0000 UTC 12 March 2016. Observed wind data is
only available over BBY from the wind profiler.



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Fig. 7. Time-height cross-section of modeled meridional wind speed [m s⁻¹] overlaid by the total horizontal wind at (a) BBY and (b) Mt. Santa Cruz from WRF model simulation. Panels (c, d) show modeled precipitation [mm] (c) at coastal (BBY) and mountain (CZD and Mt. Santa Cruz) sites, and (d) averaged over the period P1, P2, and P3. The time axis in (a-c) is from right to left to represent the eastward advection of the AR storm.



Fig. 8. WRF model-simulated (a, b, c) surface air temperature ([K], shaded) overlaid by 10meter wind vector (arrows), (d, e, f) 10-meter wind speed ([m s⁻¹], shaded) overlaid by sea level
pressure (hPa, black line) and (g, h, i) model precipitation [mm] at (a, d, g) 2300 UTC 10 March,
(b, e, h) 0900 UTC 11 March, and (c, f, i) 1700 UTC 11 March 2016. Each box represents the (a)
onshore directing deflected flows, (b) offshore directing gap flows, and (c) pre-cold frontal LLJs
merging with offshore flows, respectively.



903 Fig. 9. (a) A scatter plot of the WRF model simulated hourly surface pressure difference 904 between BBY and Stockton, CA vs hourly surface zonal winds (black, red, blue, dark green) and 905 approximately below 500m layer averaged zonal wind (gray, orange, cyan, green) at BBY. The 906 black line in (a) stands for the theoretical relationship between pressure gradient, surface friction, 907 and gap flows provided by Mass et al. (1995) and Valenzuela and Kingsmill (2015). (b) Fr analysis using modeled upstream U [m s⁻¹] and N_m [s⁻¹] for different mountain height (h = 0.5, 908 909 0.8, and 1 km). Each regression was computed for U and Fr using different h. The different color 910 corresponds to a different period for P1 (black), P2 (orange), P3 (blue), and post-P3 (green). The 911 different size corresponds to different mountain height from 0.5 to 1 km (smallest to largest). 912 The gray box area is indicated as blocked when Fr is less than 1.



Fig. 10. (a) Time series of Q diagnostic $[s^{-1}]$ averaged over BBY (red), CZD (blue), Mt. Santa Cruz (black) from 1800 UTC 10 March to 0000 UTC 12 March. (b-d) Longitude-pressure crosssection of simulated (top panels) water vapor mixing ratio $[g kg^{-1}]$ and zonal (u) and vertical wind (*w*) vector ($[m s^{-1}]$, *w* is multiplied by scale factor (100)), and (bottom panels) Q diagnostic $[s^{-1}]$ averaged over 38-38.5°N at 2300 UTC 10 March, averaged over 36.5-40°N at 0600 UTC 11 March and 1400 UTC 11 March 2016, respectively.