

# **Rossby Wave Breaking as a Governor of Atmospheric River Evolution and the Occurrence of Extreme Weather Events**

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(with a contribution by Andrew C. Winters)**

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**Second International Atmospheric Rivers Conference  
University of California – San Diego  
Scripps Institution of Oceanography  
La Jolla, California 92093  
Wednesday 27 June 2018**

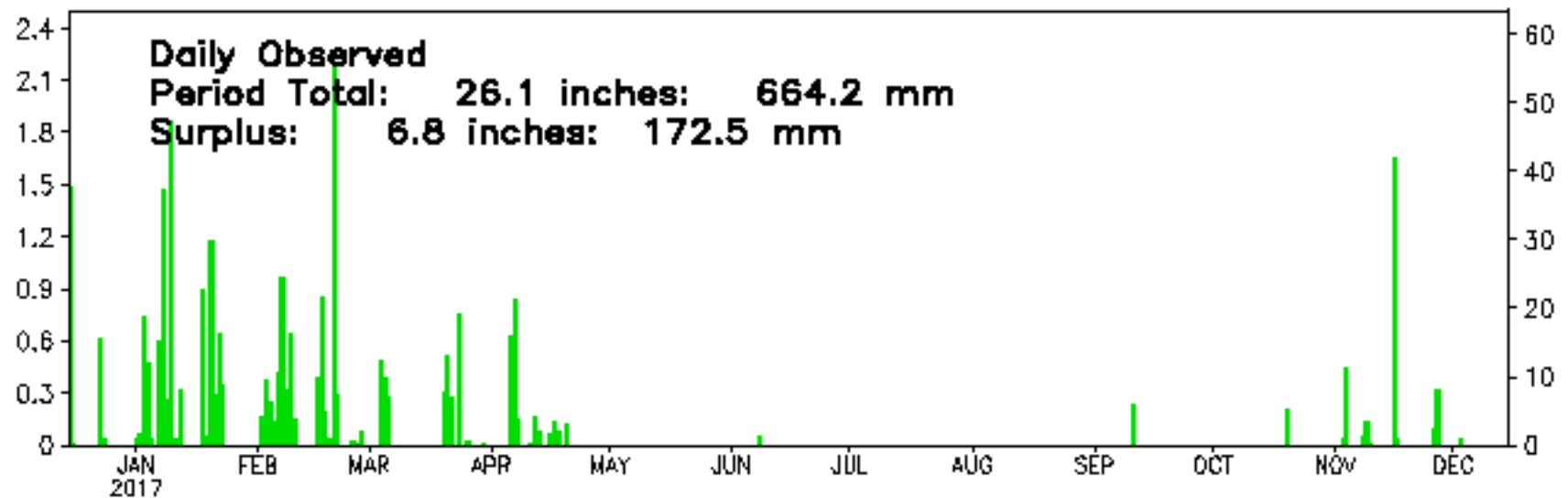
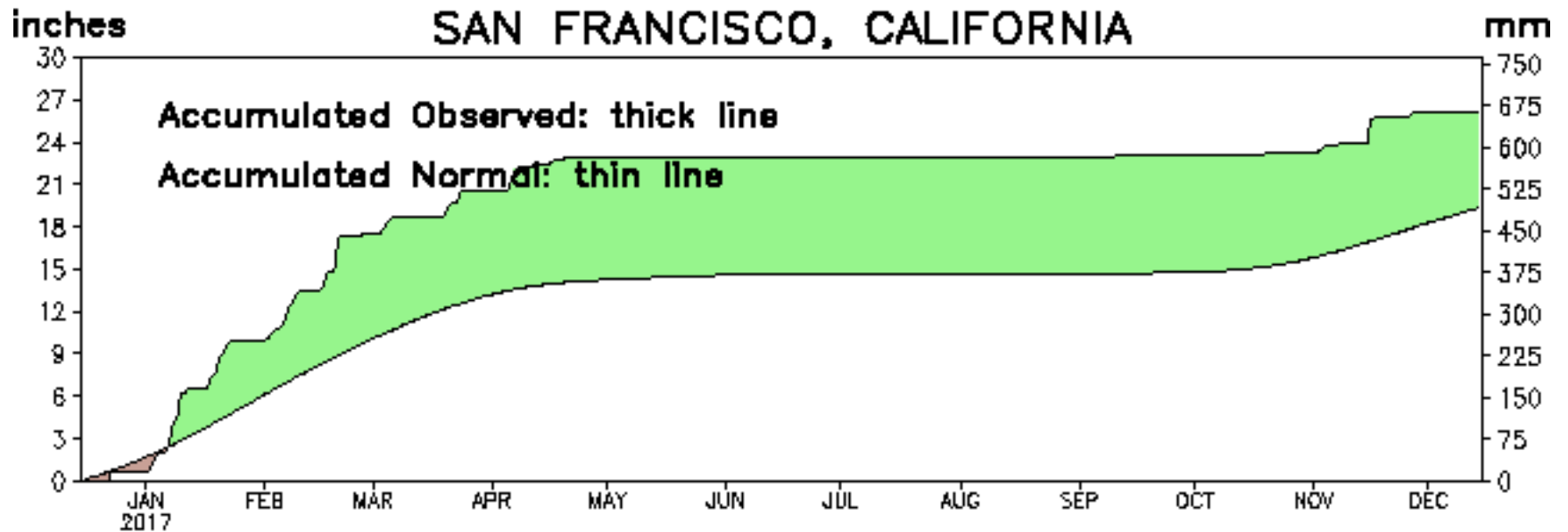
**Research support provided by: NSF AGS-1355960 and NSF AGS-1656406**

# Motivation (1)

**What Drove the Relatively Abrupt Onset of Heavy Rains in California and Extended Cold, Snow, and Ice in the Pacific Northwest in Early 2017?**

# Precipitation

## SAN FRANCISCO, CALIFORNIA

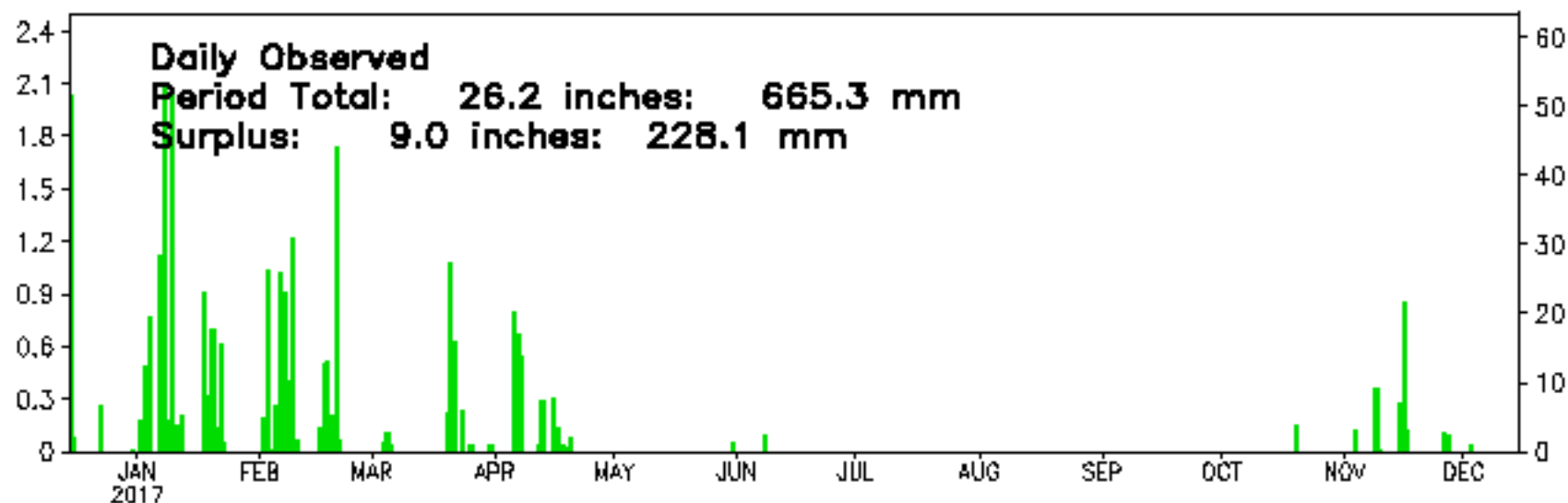
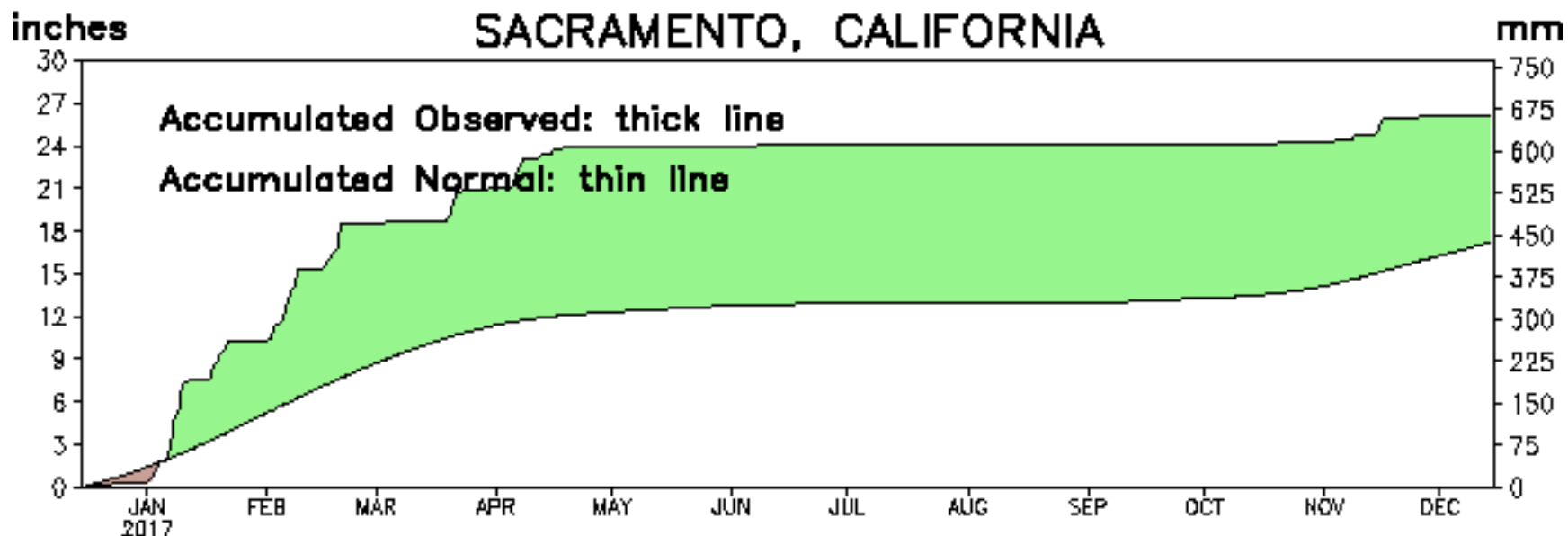


Date updated through 14 DEC 2017

CLIMATE PREDICTION CENTER/NCEP

# Precipitation

## SACRAMENTO, CALIFORNIA



Date updated through 14 DEC 2017

CLIMATE PREDICTION CENTER/NCEP



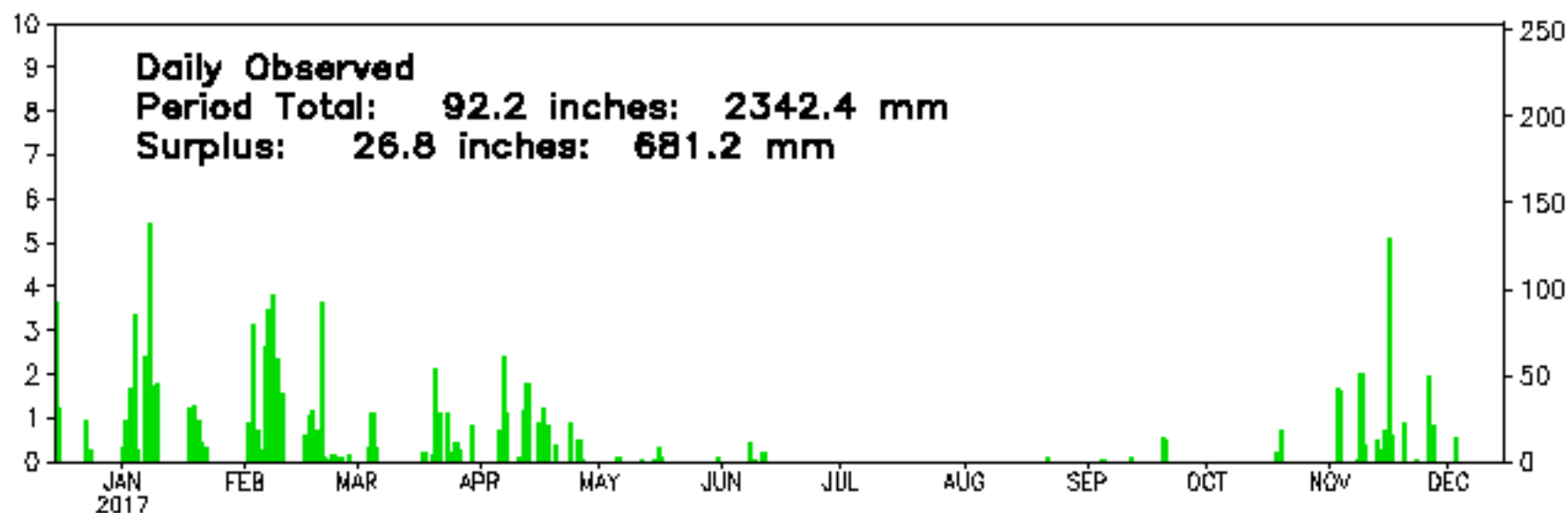
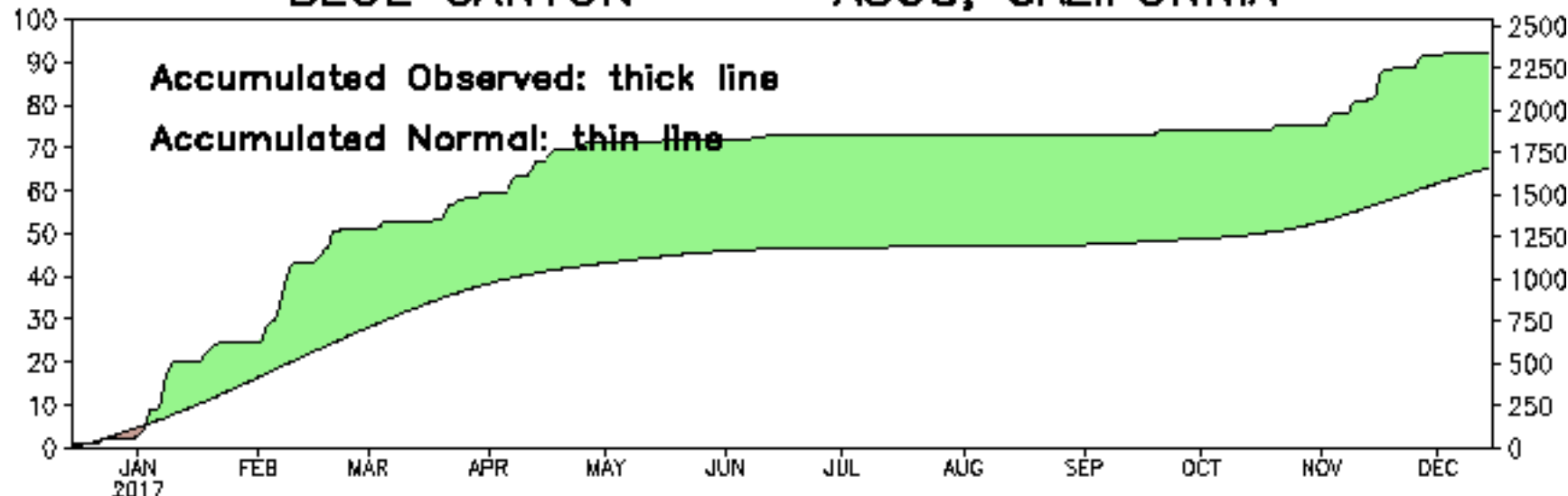
# Precipitation

BLUE CANYON

ASOS, CALIFORNIA

inches

mm



Date updated through 14 DEC 2017

CLIMATE PREDICTION CENTER/NCEP

**Amid a torrent of rain, the Russian River swelled to its highest level in a decade on Jan. 11, 2017, forcing hundreds of people in and around Sonoma County and the town of Guerneville to flee to higher ground.**



**Source: SFGATE**

**Monterey Bay recorded the largest waves it has seen in 30 years with the swell reaching 34.12 feet at one point. The previous record was 32.8 feet in 2008. The S.S. Palo Alto, otherwise known as the 'Concrete Ship,' was tossed and broken up by high waves in the bay in January.**



**Source: SFGATE**



**Storms dump record-breaking snow on Tahoe in January 2017. Storms pummeled the Sierra at the start of the year and by Jan. 23 the snowpack above 6,000 feet was around 10 feet and up to 20 feet at the highest peaks.**



**Source: SFGATE**

**Tree branches, broken from the weight of heavy snow, are scattered on the ground of the park blocks across from the Portland Art Museum in Portland, OR, Wed 11 January 2017 (AP Photo/Don Ryan)**



# Motivation (2)

**Monthly and Subseasonal Forecasts:  
Regime Change Challenges**

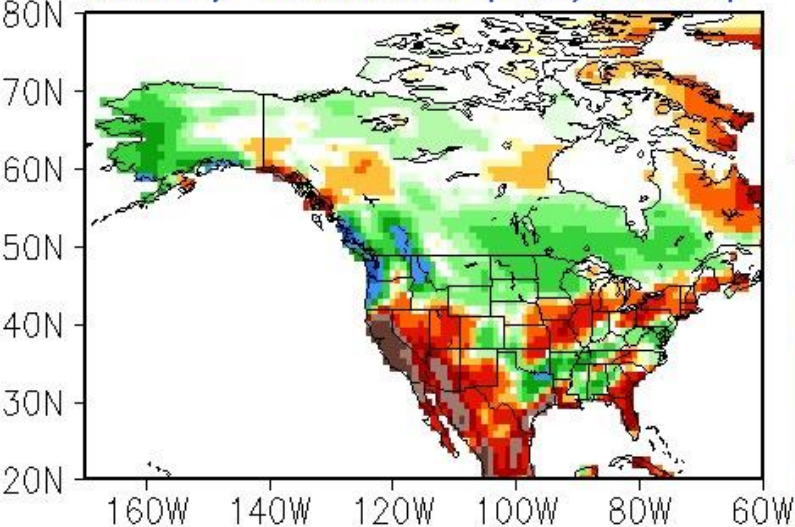
# **CFSv(2) and CPC Outlooks for Jan 2017 and Jan-Feb-Mar 2017**



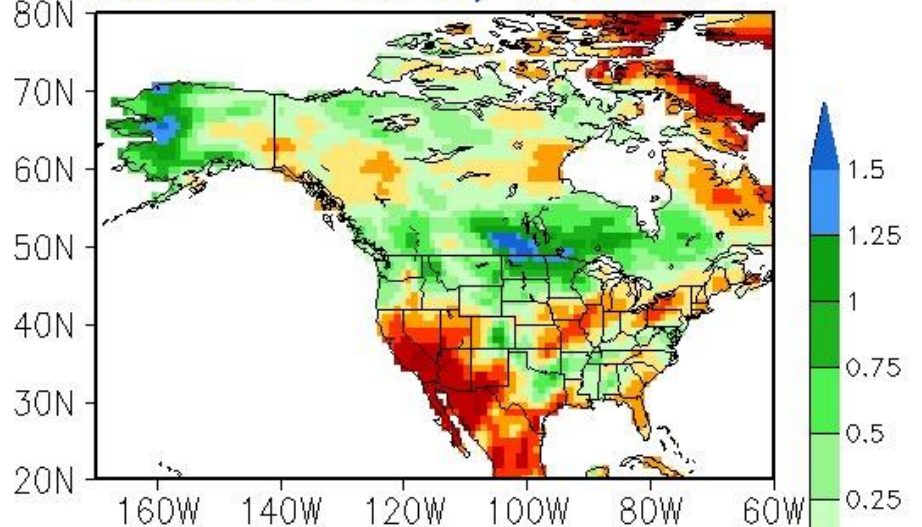


# CFSv2 monthly Prec forecast for Jan2017

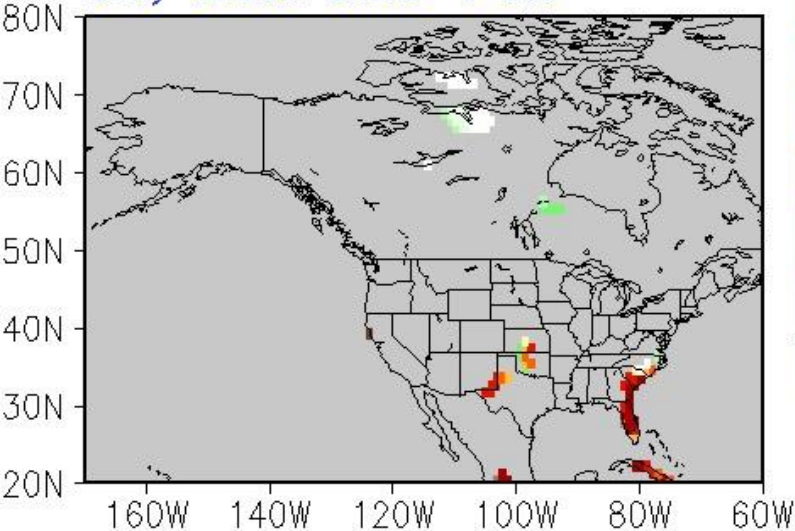
### Monthly anomalies (mm/month)



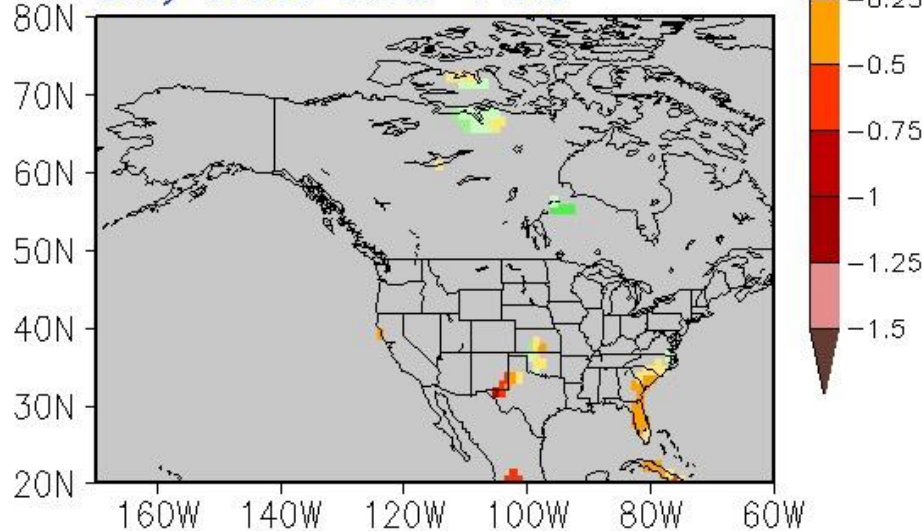
### Normalized monthly anomalies



### Grey areas skills < 0.3



### Grey areas skills < 0.3

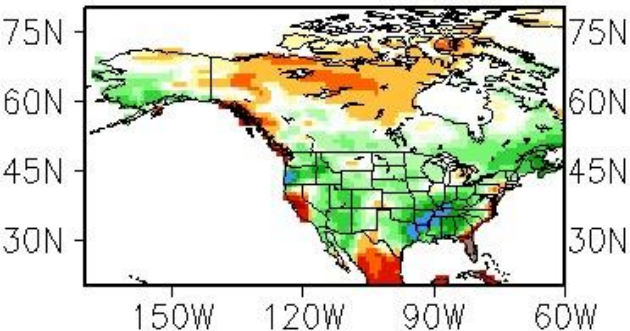




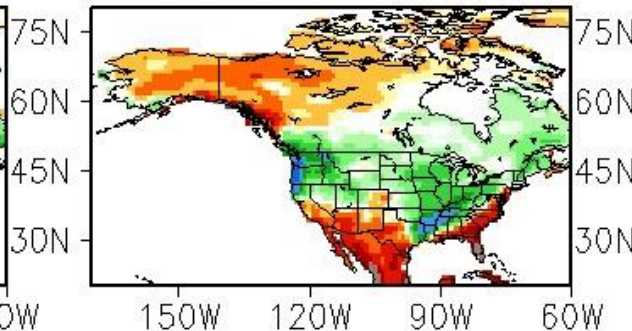


# CFSv2 monthly Prec(mm/month) forecast for Jan2017

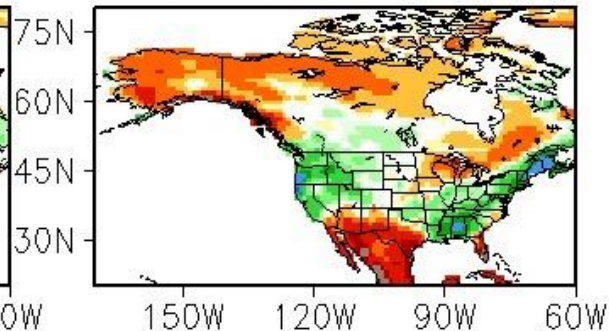
IC: 23Dec2016



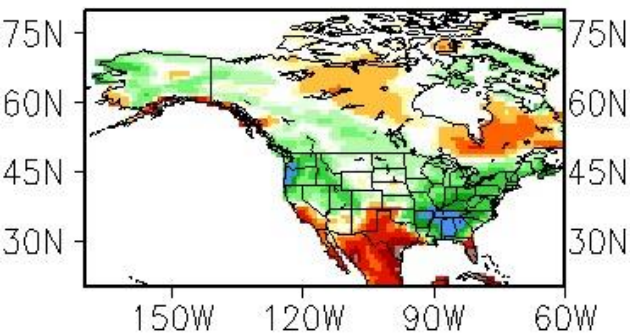
IC: 24Dec2016



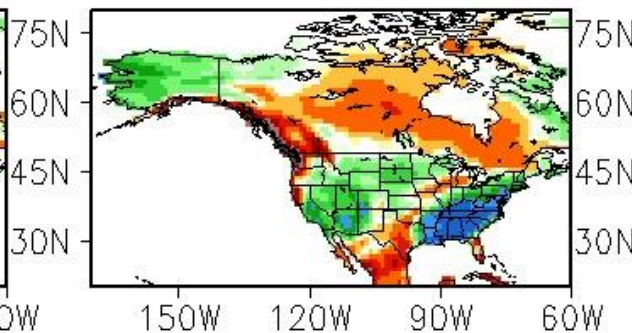
IC: 25Dec2016



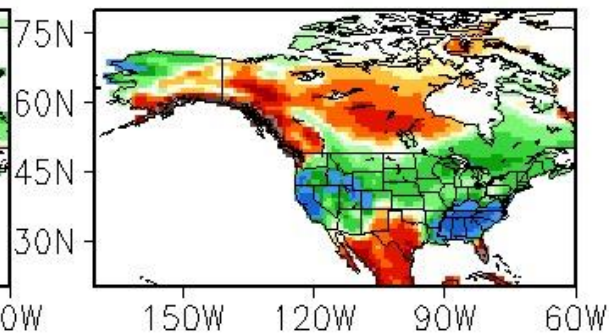
IC: 26Dec2016



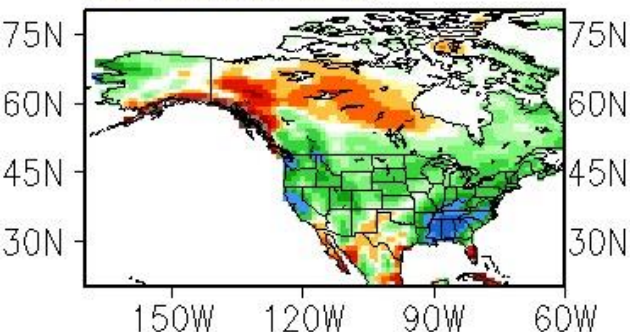
IC: 27Dec2016



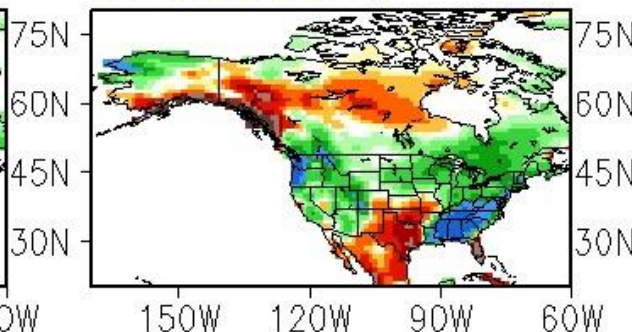
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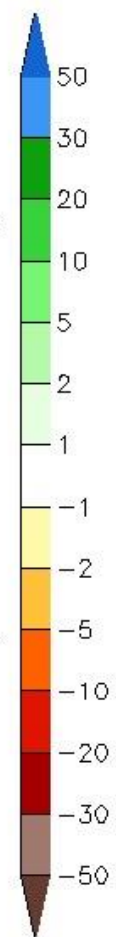
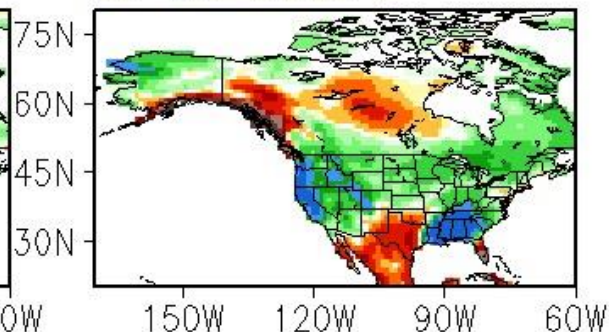
IC: 29Dec2016



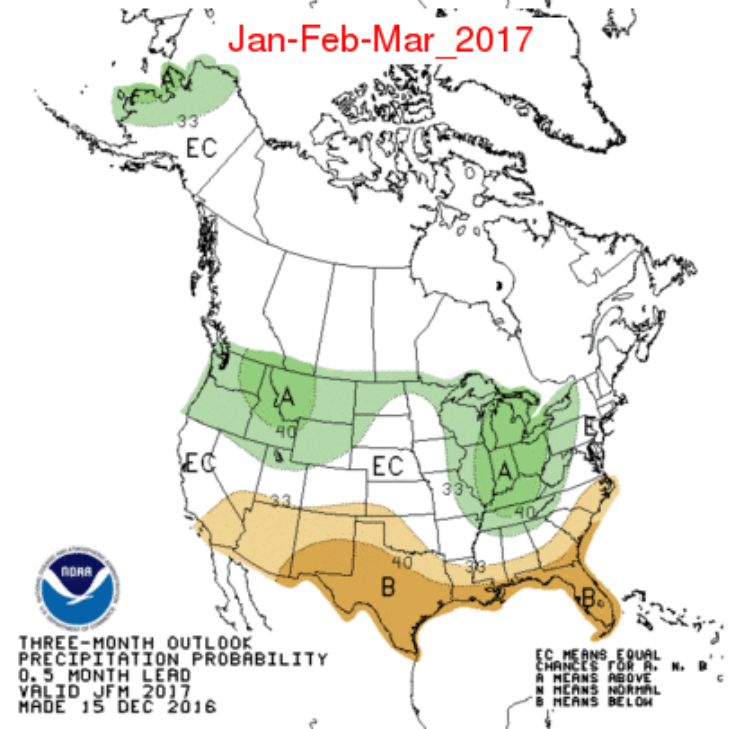
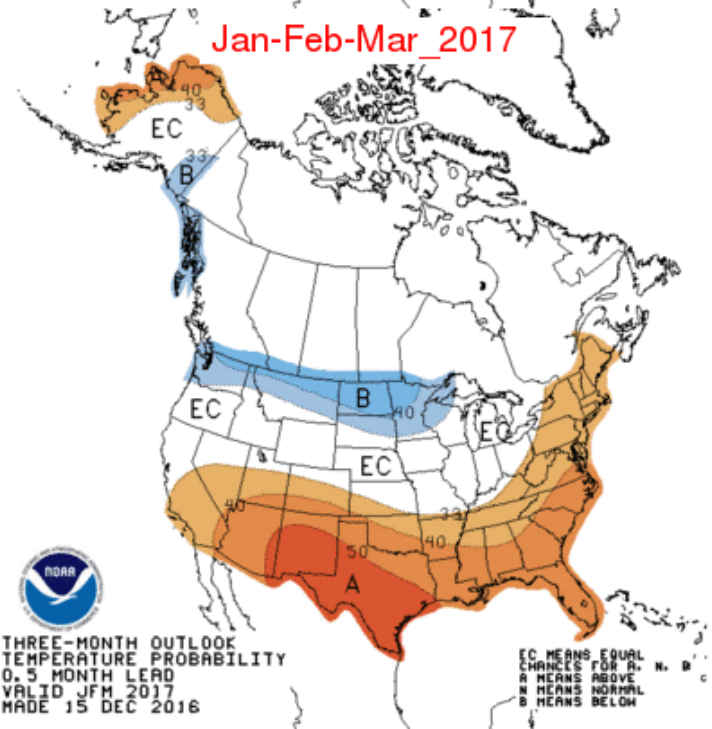
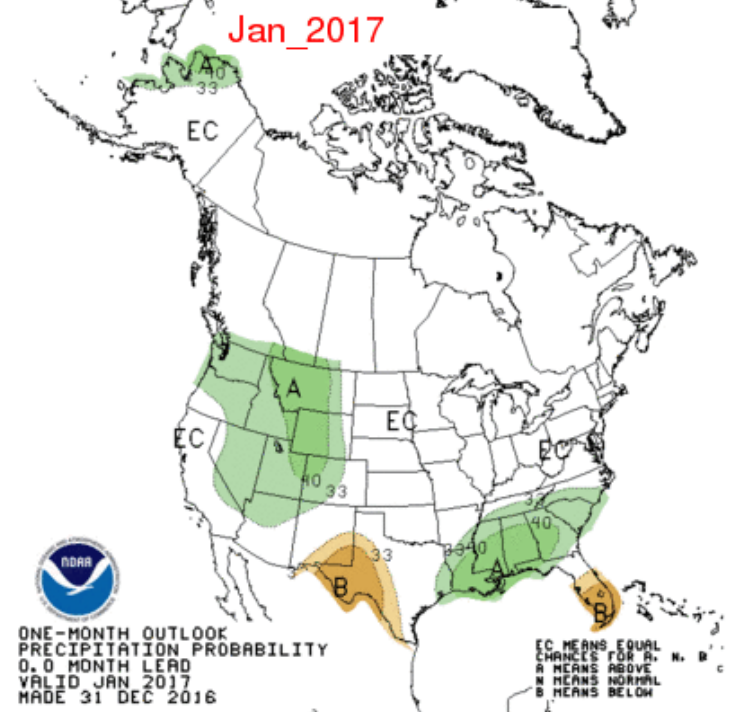
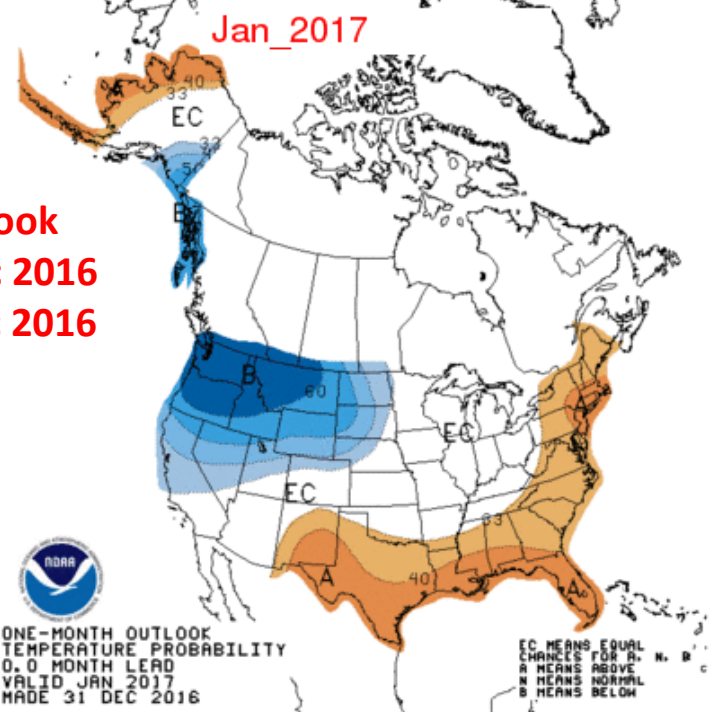
IC: 30Dec2016



IC: 31Dec2016



**CPC Outlook**  
**Top: 31 Dec 2016**  
**Bot: 15 Dec 2016**

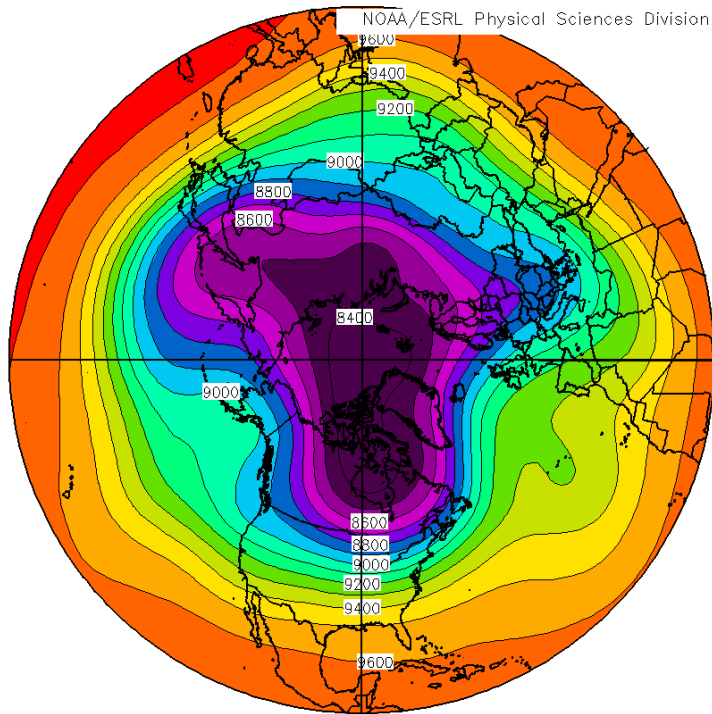


**Northern Hemisphere  
Mean and Anomaly Fields  
1–15 January 2017**

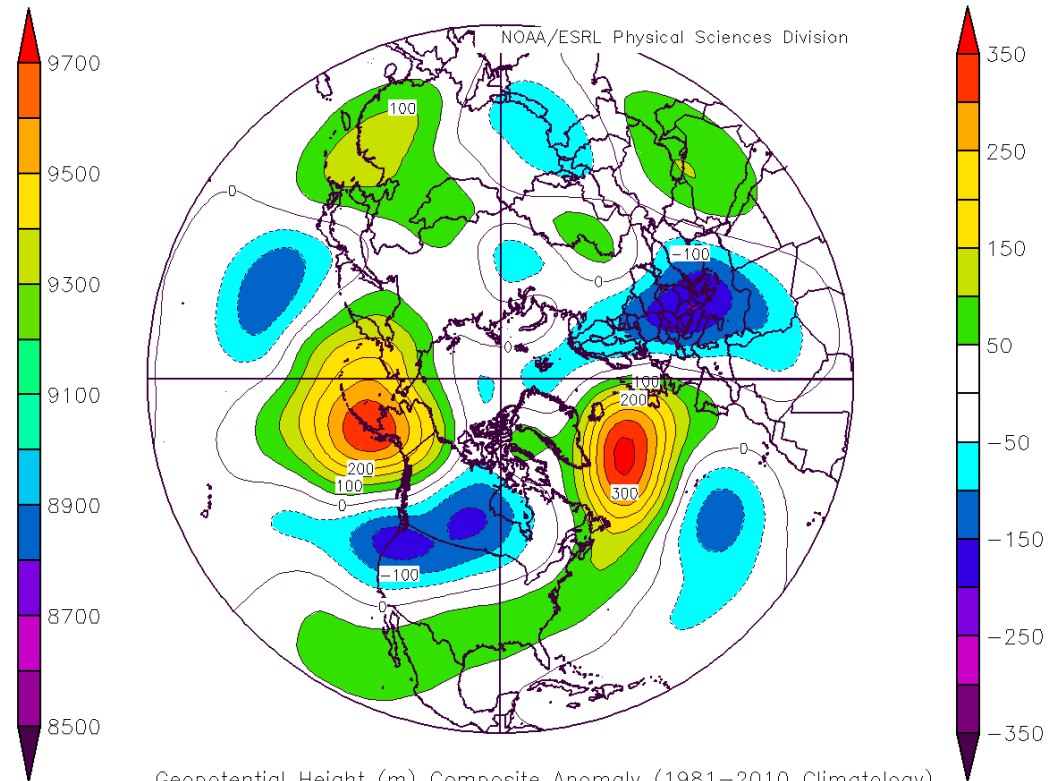


# 300-hPa Geopotential Height for 1–15 January 2017

## Mean (left, dam) and Anomaly (right, m)



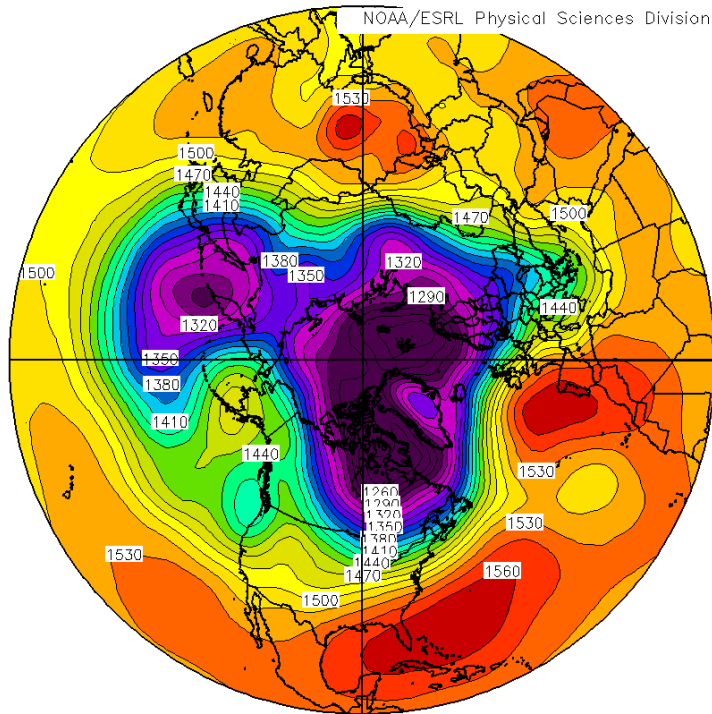
300mb Geopotential Height (m) Composite Mean  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis



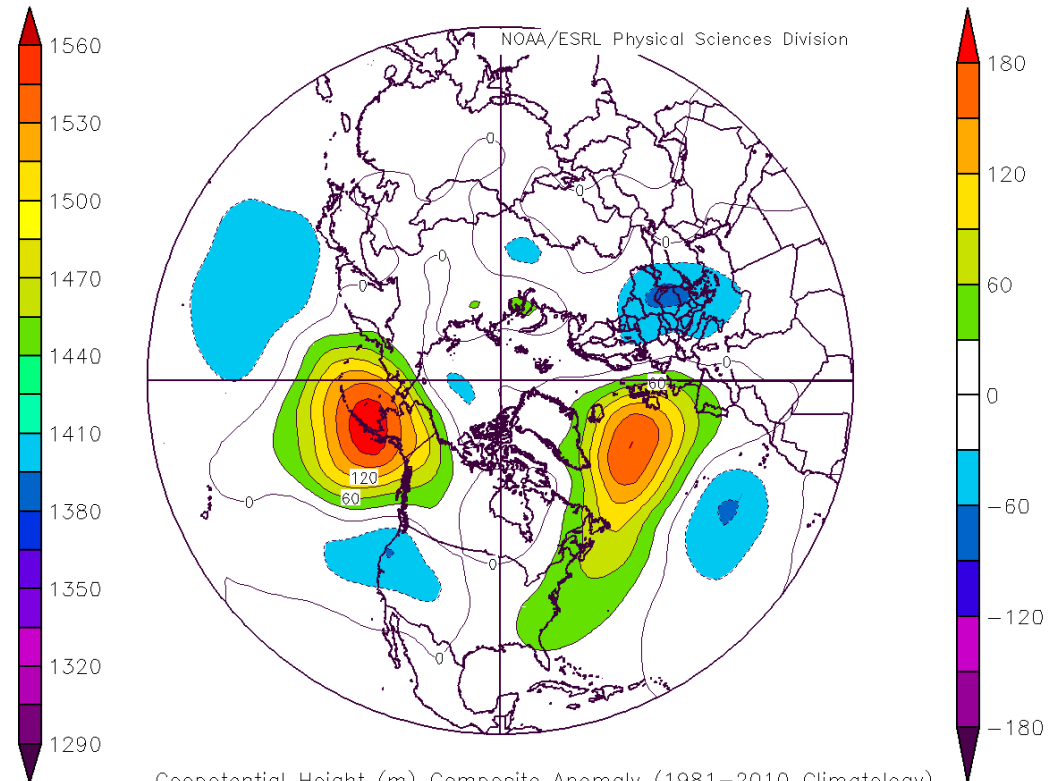
Geopotential Height (m) Composite Anomaly (1981–2010 Climatology)  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis

# 850-hPa Geopotential Height for 1–15 January 2017

## Mean (left, dam) and Anomaly (right, m)



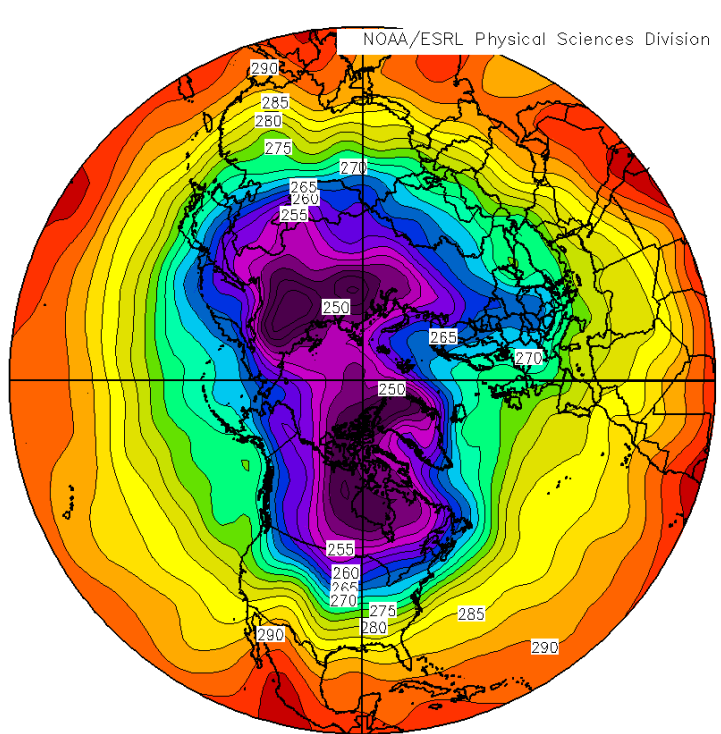
850mb Geopotential Height (m) Composite Mean  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis



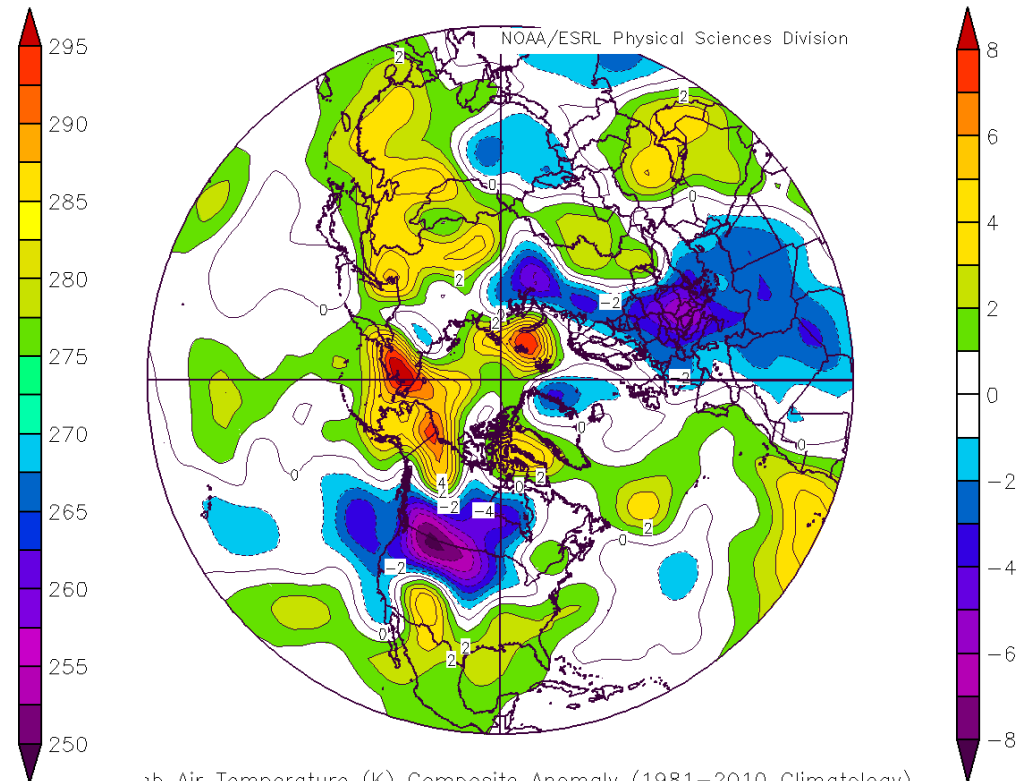
Geopotential Height (m) Composite Anomaly (1981–2010 Climatology)  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis

# 925-hPa Air Temperature for 1–15 January 2017

## Mean (left, °C) and Anomaly (right, °C)



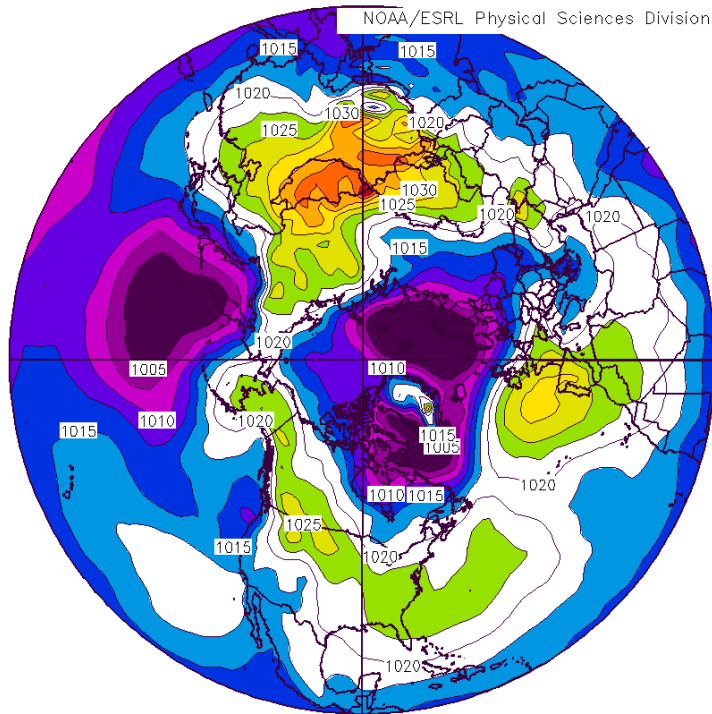
925mb Air Temperature (K) Composite Mean  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis



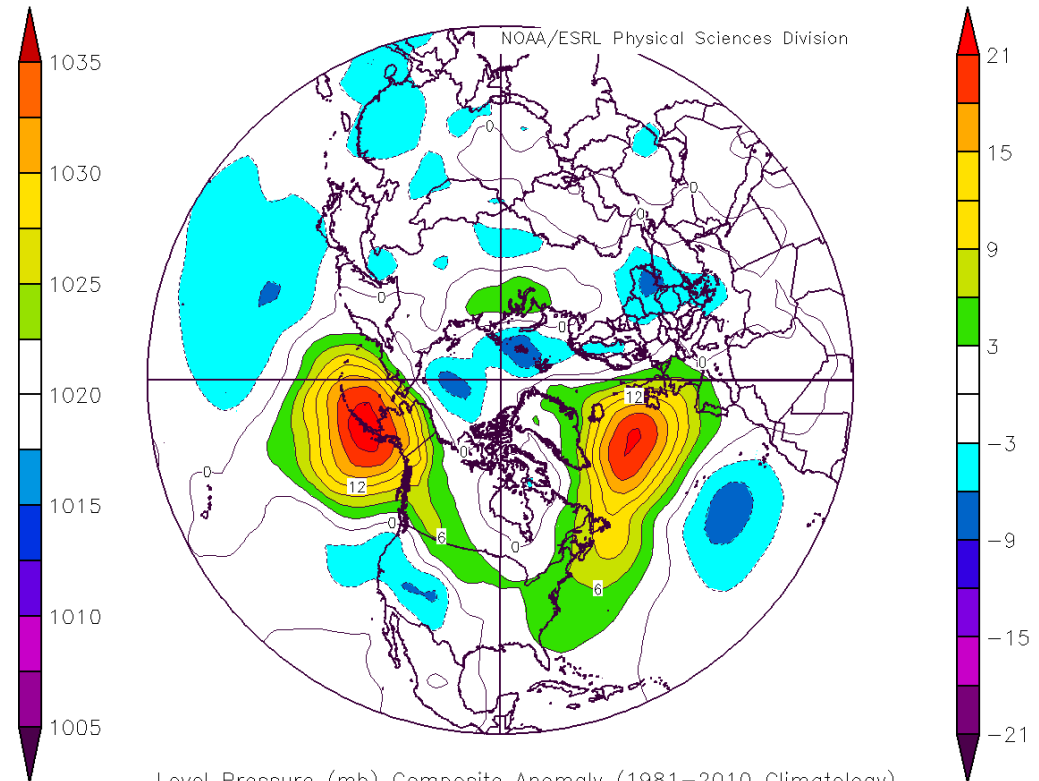
925mb Air Temperature (K) Composite Anomaly (1981–2010 Climatology)  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis

# Mean Sea Level Pressure for 1–15 January 2017

## Mean (left, hPa) and Anomaly (right, hPa)



Sea Level Pressure (mb) Composite Mean  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis



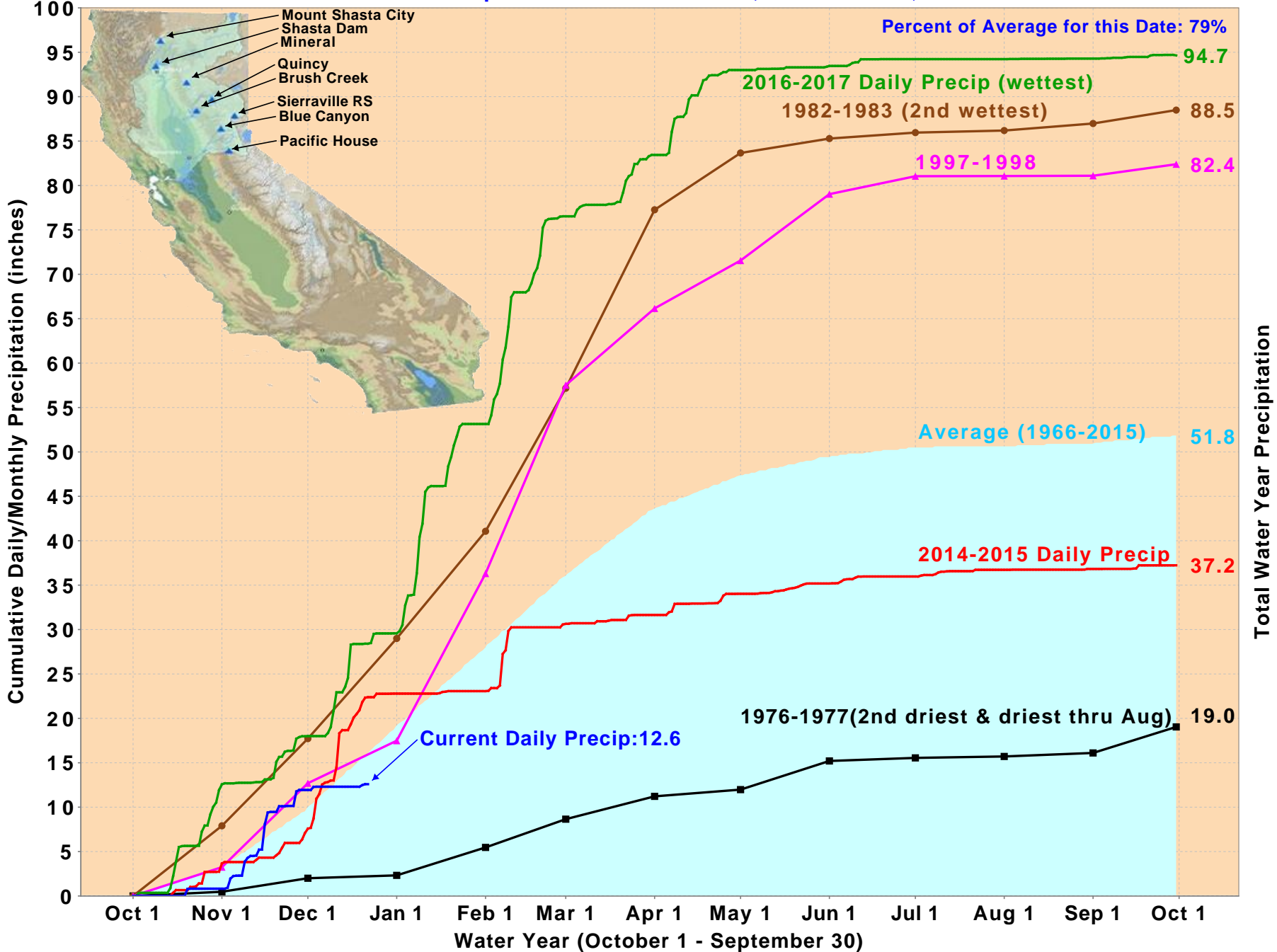
Level Pressure (mb) Composite Anomaly (1981–2010 Climatology)  
1/1/17 to 1/15/17  
NCEP/NCAR Reanalysis

# **The California Deluge of 8–9 January 2017**

**California Department of Water Resources**  
**<https://cdec.water.ca.gov>**



# North Sierra Precipitation: 8-Station Index, December 22, 2017



Percent of Average for this Date: 79%

2016-2017 Daily Precip (wettest)

1982-1983 (2nd wettest)

1997-1998

Average (1966-2015)

2014-2015 Daily Precip

1976-1977 (2nd driest & driest thru Aug)

Current Daily Precip: 12.6

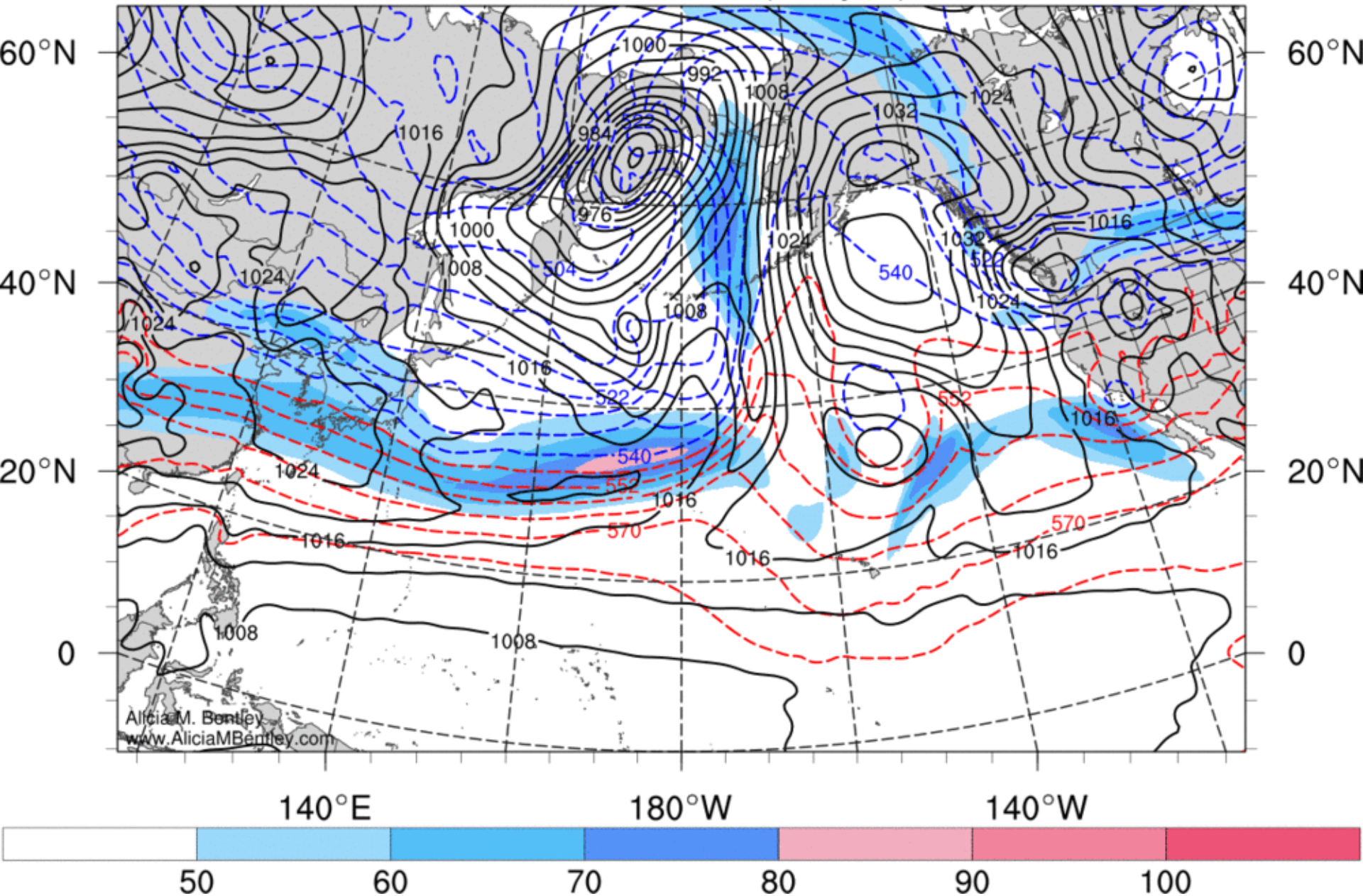
Total Water Year Precipitation

# North Pacific Loops for 31 Dec–15 Jan 2017

- Mean sea level pressure, 1000–500-hPa thickness, and 250-hPa wind speeds (shaded above  $50 \text{ m s}^{-1}$ )
- 500-hPa geopotential heights, relative vorticity, winds, and vertical motion (ascent only)
- DT (2 PVU surface) pot temp (K) and winds (barbs, kt), and 925–850-hPa layer-mean vorticity (contours,  $\times 10^{-5} \text{ s}^{-1}$ )

MSLP; 250-hPa jet; 1000–500-hPa thickness

(Analysis) 0600 UTC 1 Jan 2017



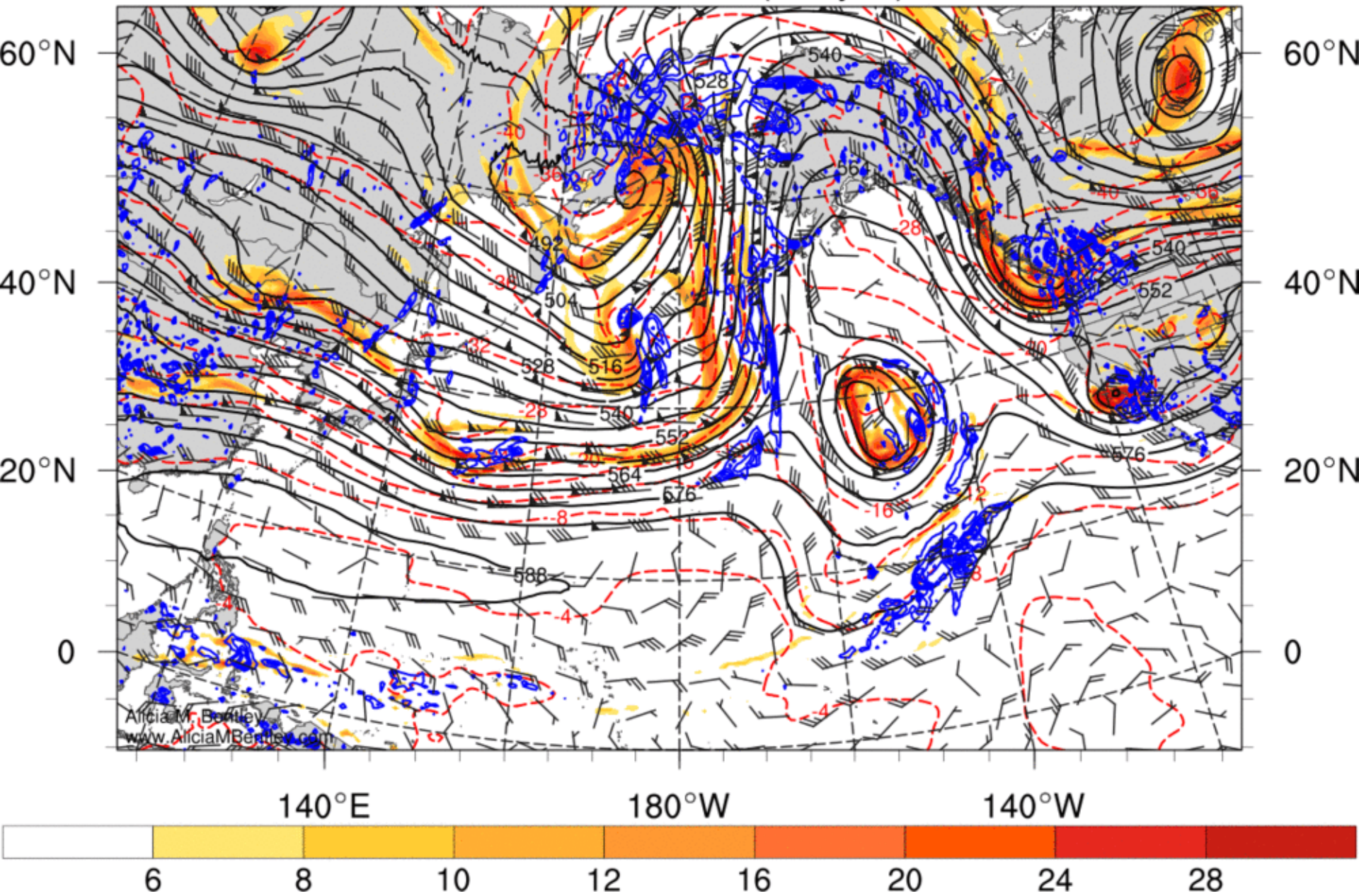
Alicia M. Bentley  
www.AliciaMBentley.com

Source: Alicia Bentley



500-hPa geo. height, temp., ascent, rel. vort., and wind

(Analysis) 0600 UTC 1 Jan 2017

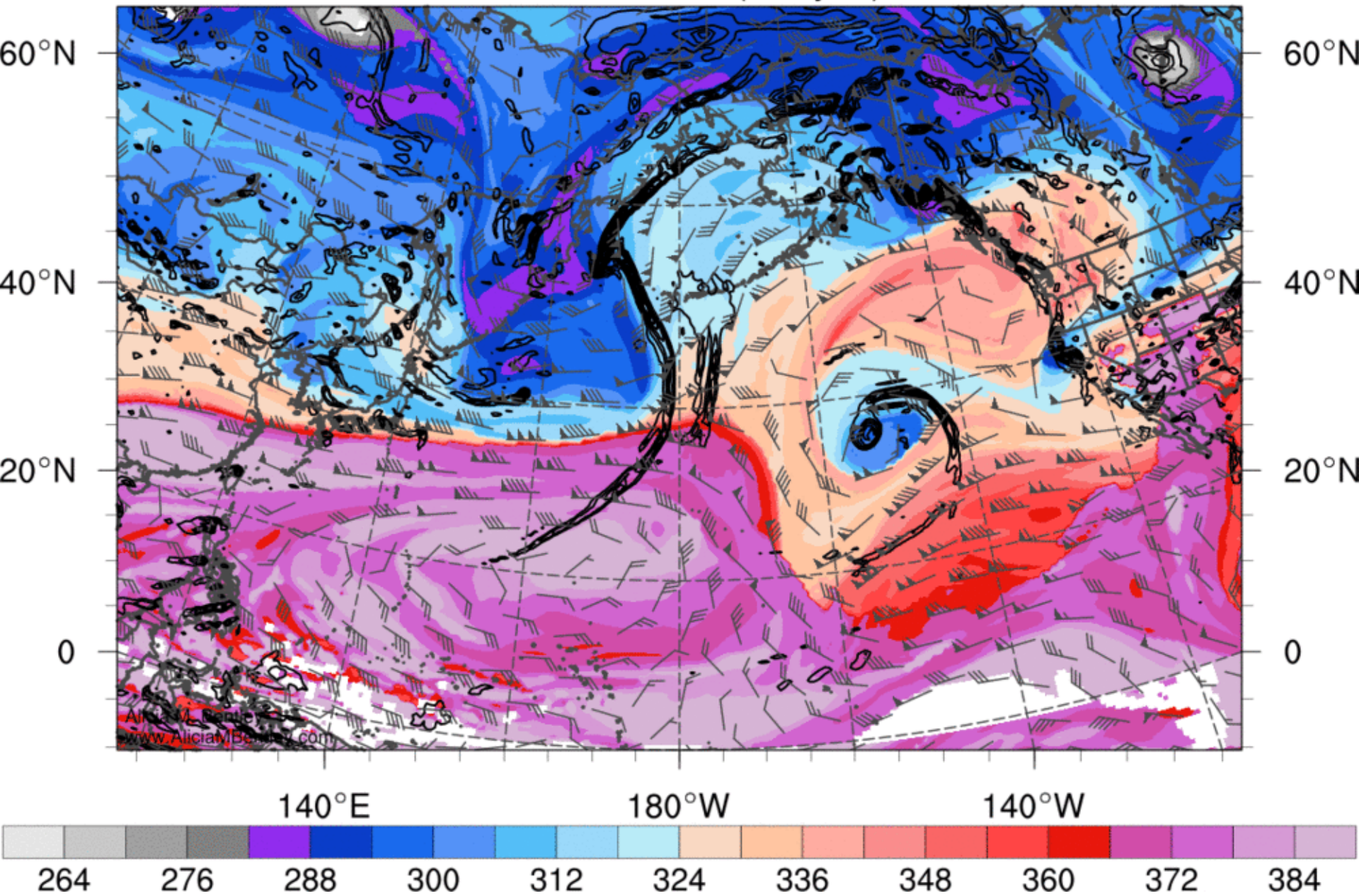


Source: Alicia Bentley



Theta and wind (DT = 2 PVU); 925–850-hPa rel. vort.

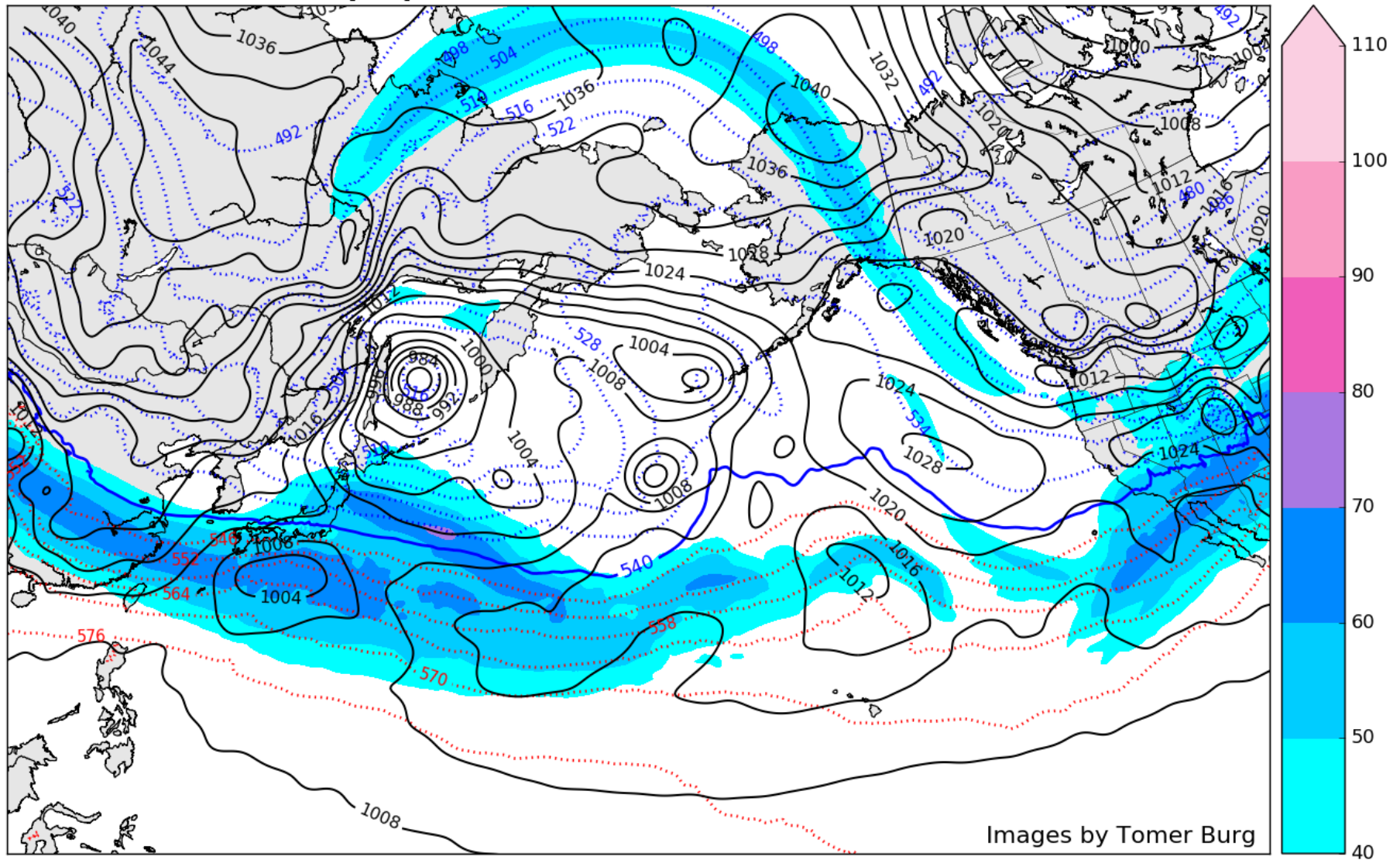
(Analysis) 0600 UTC 31 Dec 2016



Source: Alicia Bentley

**GFS forecast  $d(\text{prog})/dt$  Analysis**  
**Deterministic Forecasts Verifying at**  
**1200 UTC 8 January 2017**

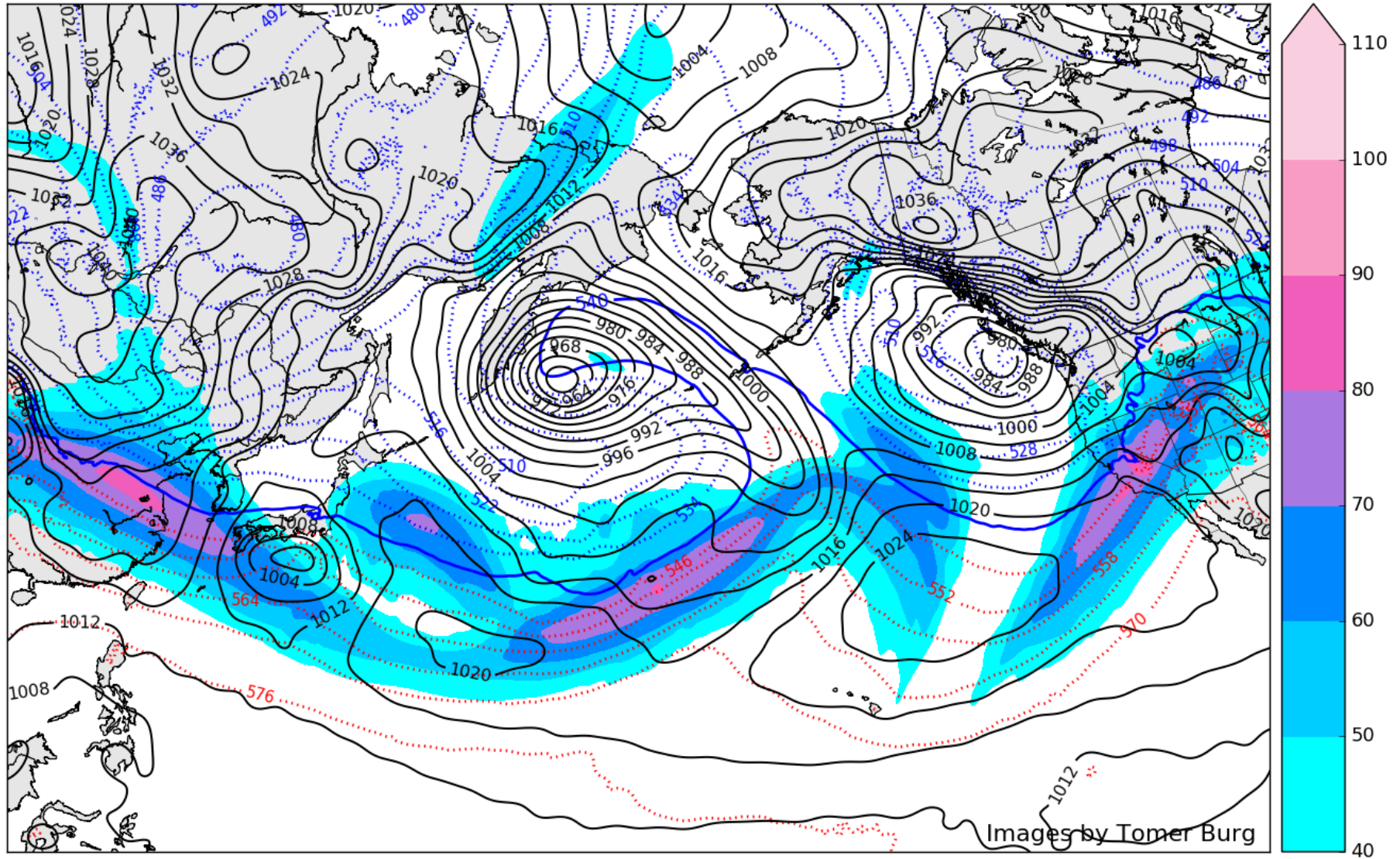
GFS 250-hPa Winds (m/s), MSLP (hPa), 1000-500 hPa Thick (dam)  
Init 18z Fri 20161230 - Hour [210] - Valid 12z Sun 20170108



**210 h GFS Forecast: Weak Pacific Flow and Absence of Westerly Flow toward California**



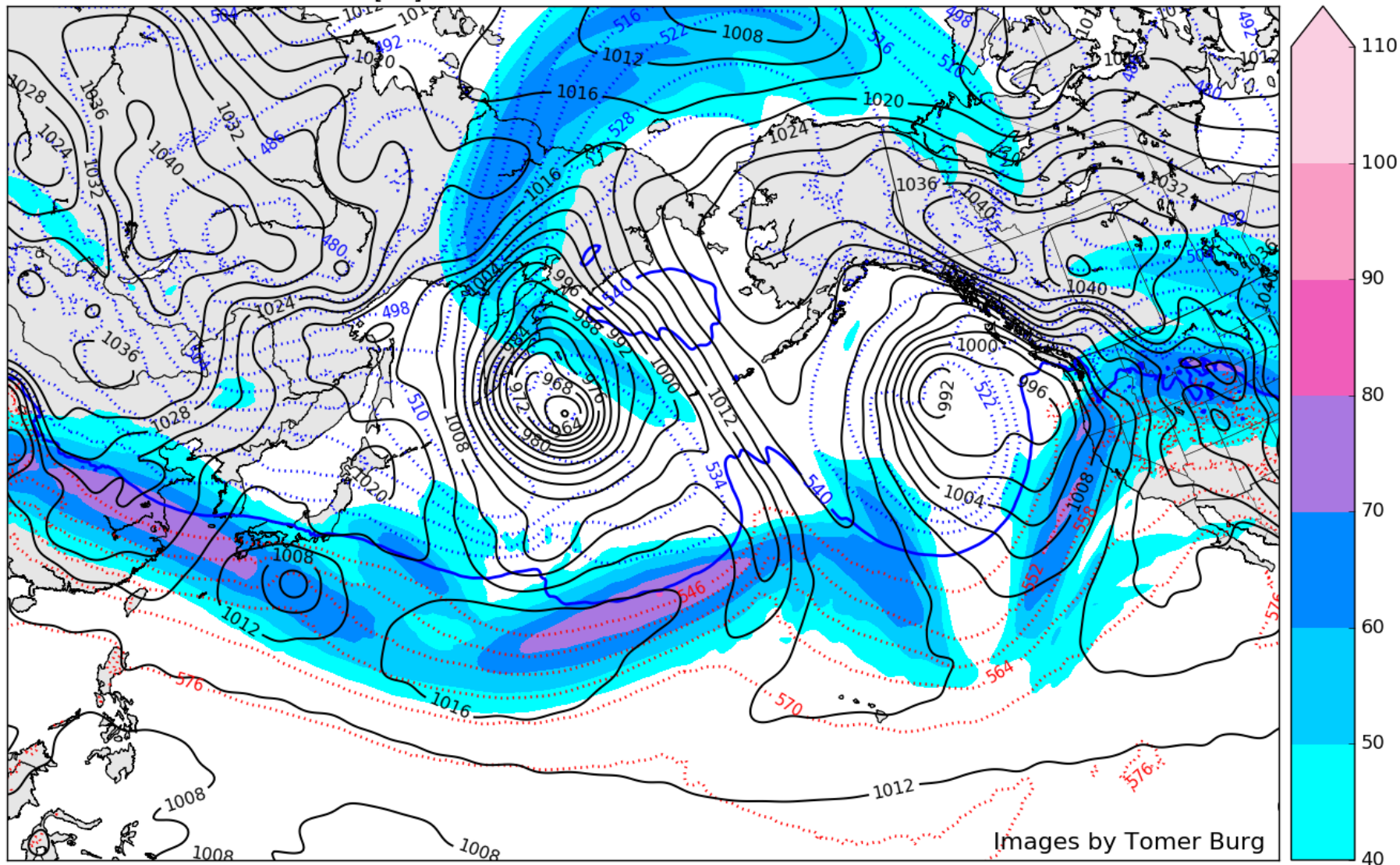
GFS 250-hPa Winds (m/s), MSLP (hPa), 1000-500 hPa Thick (dam)  
Init 00z Sun 20170101 - Hour [180] - Valid 12z Sun 20170108



**180 h GFS Forecast: Cyclones; California Jet Forms; Anticyclonic Flow over most of California**



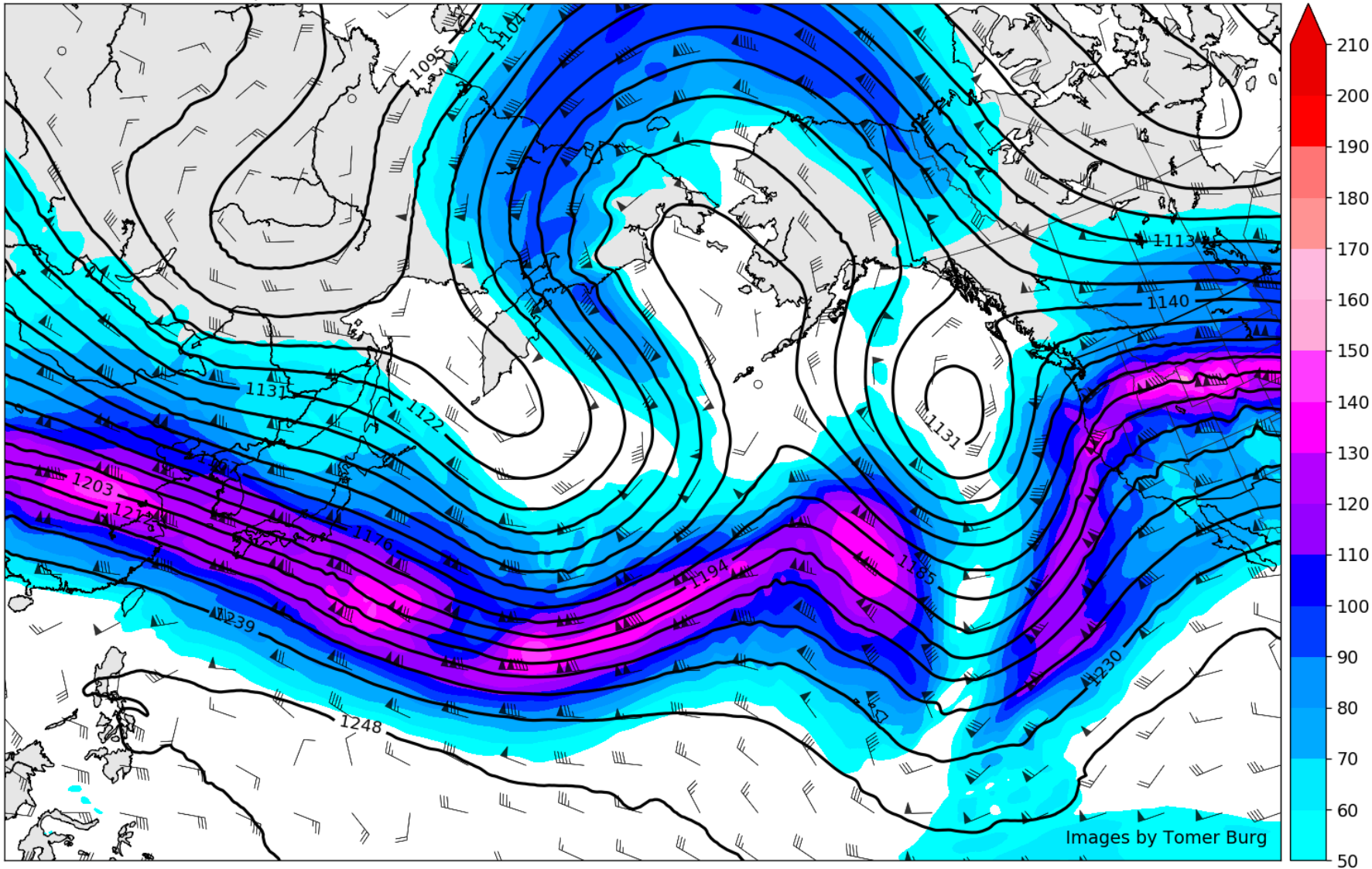
GFS 250-hPa Winds (m/s), MSLP (hPa), 1000-500 hPa Thick (dam)  
Init 18z Wed 20170104 - Hour [90] - Valid 12z Sun 20170108



**90 h GFS Forecast: EPAC Trough, Frontal System and Jet Axis Extend to Lower Latitudes**

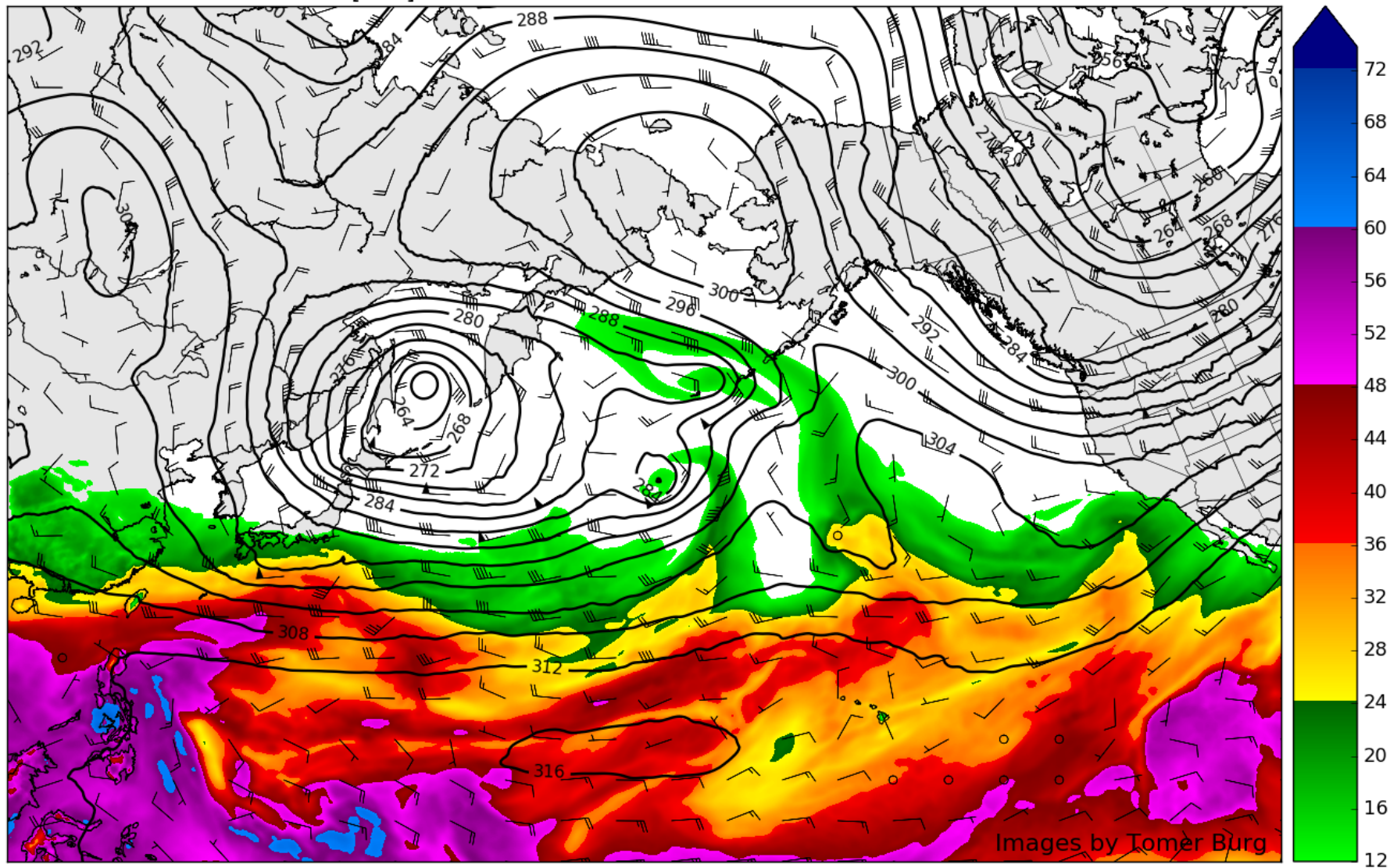
# 200 hPa Wind Isotachs, Geopotential Height (dam), Wind (kt)

0.25° ERA-5 Reanalysis | Valid 1200 UTC Sun 08 Jan 2017



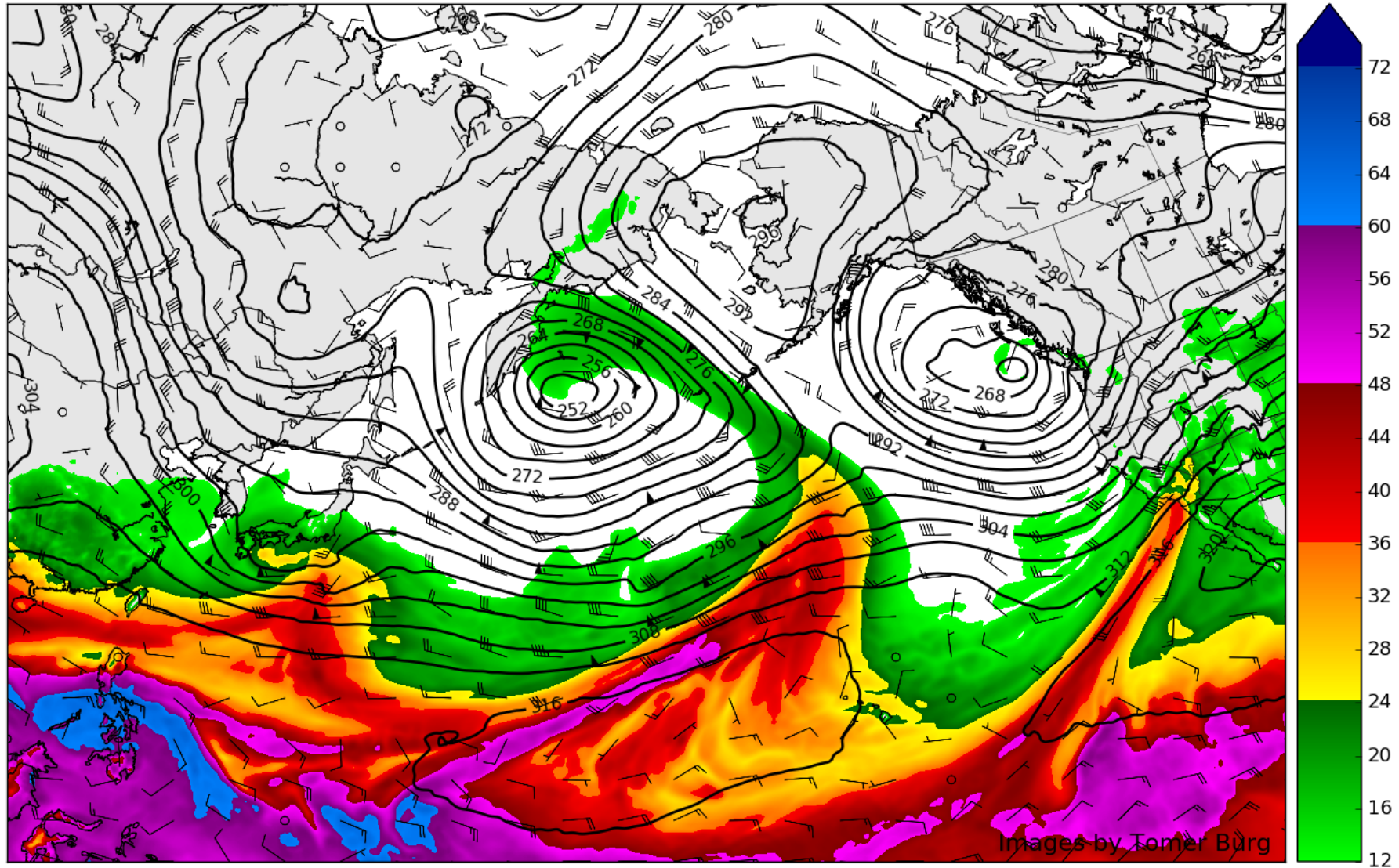


GFS Precipitable Water (mm), 700 hPa Geopotential Height (dam), Wind (kt)  
Init 18z Fri 20161230 - Hour [210] - Valid 12z Sun 20170108



**210 h GFS Forecast: Precipitable Water Remains Mostly Confined to the Tropics**

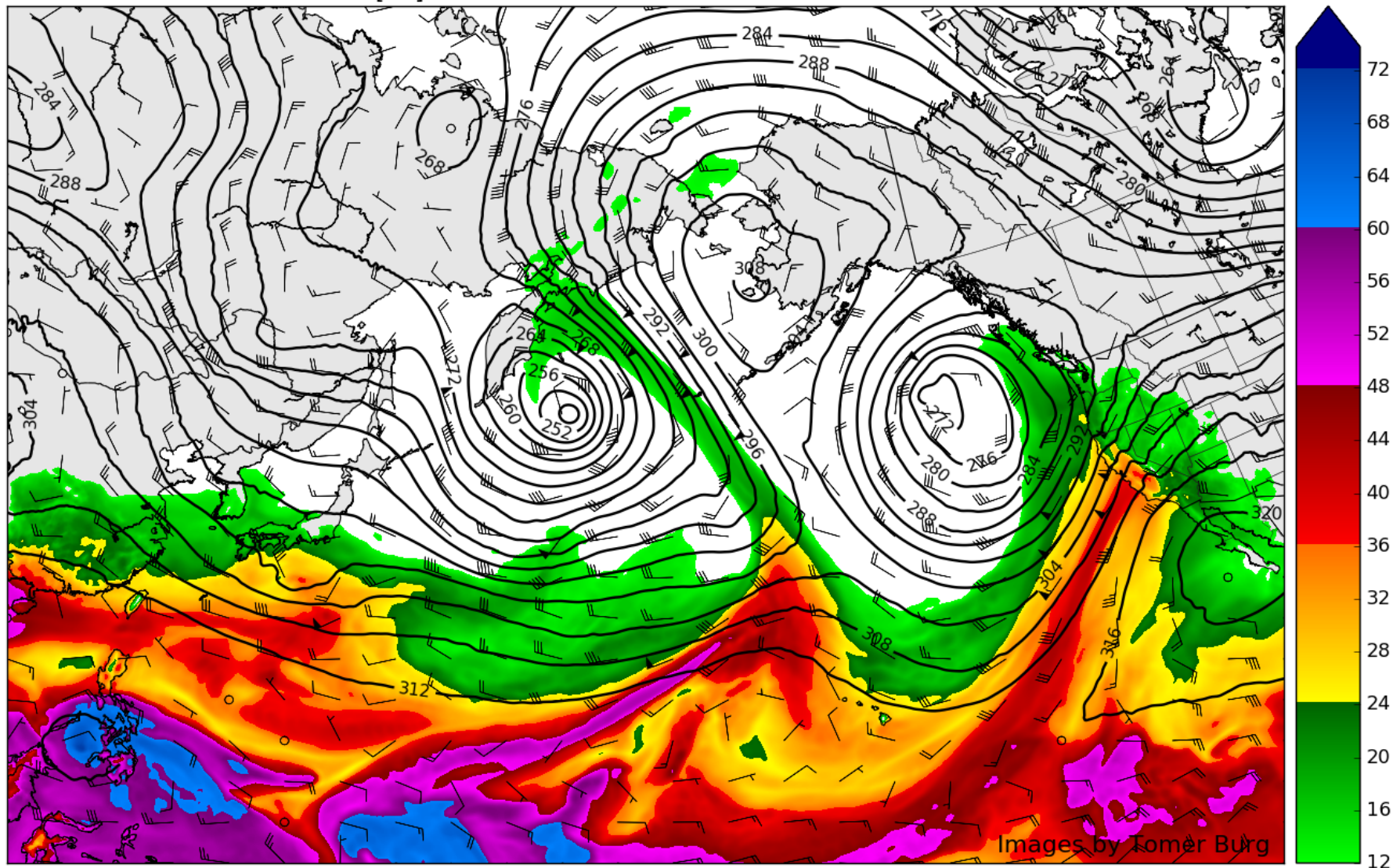
GFS Precipitable Water (mm), 700 hPa Geopotential Height (dam), Wind (kt)  
Init 00z Sun 20170101 - Hour [180] - Valid 12z Sun 20170108



**180 h GFS Forecast: Atmospheric Rivers are Evident in Central/Eastern Pacific**



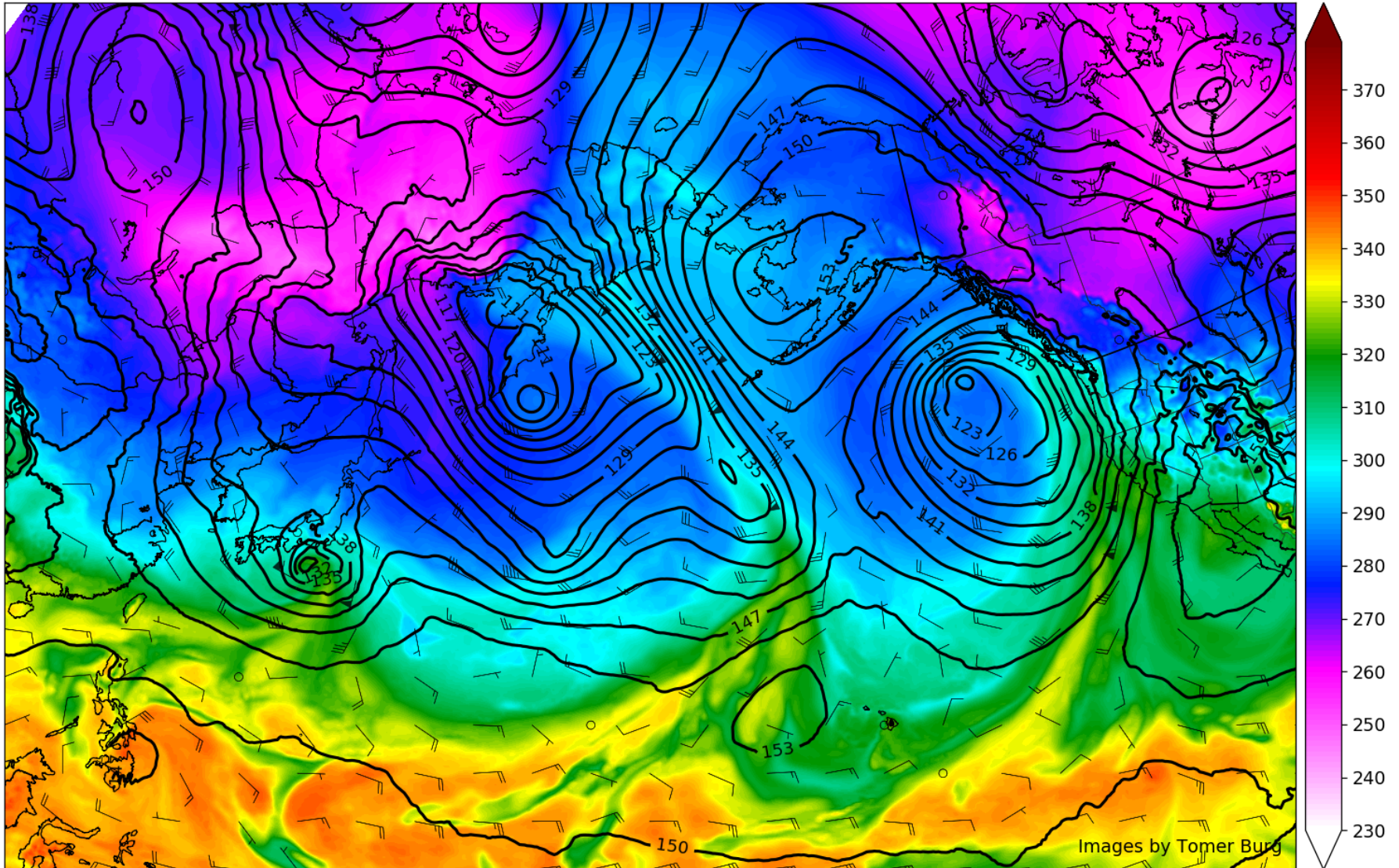
GFS Precipitable Water (mm), 700 hPa Geopotential Height (dam), Wind (kt)  
Init 18z Wed 20170104 - Hour [90] - Valid 12z Sun 20170108



**90 h GFS Forecast: Strong Easternmost Atmospheric River Targets Northern California**

**850 hPa Theta-e (K), Geopotential Height (hPa), Wind (kt)**

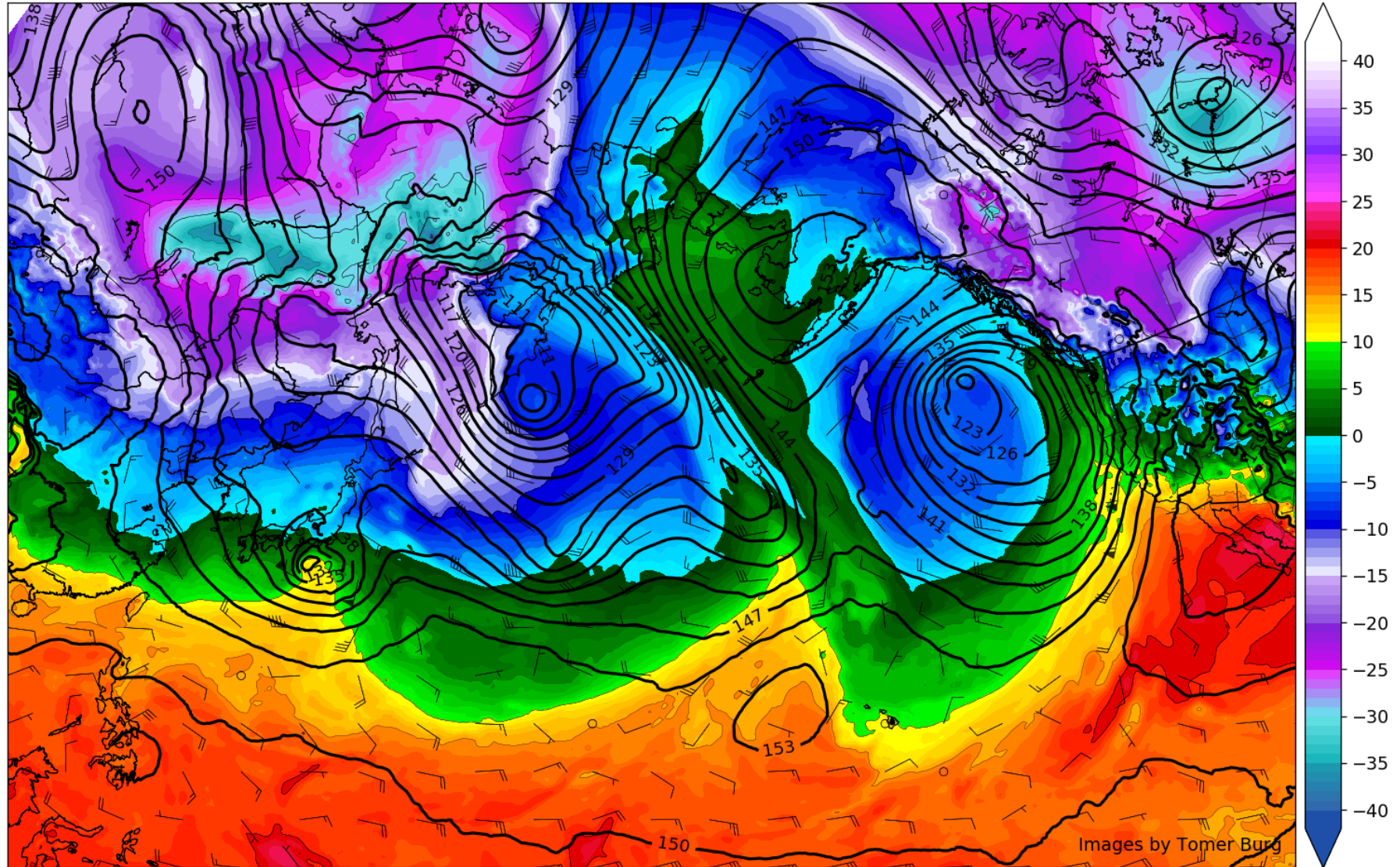
0.25° ERA-5 Reanalysis | Valid 1200 UTC Sun 08 Jan 2017



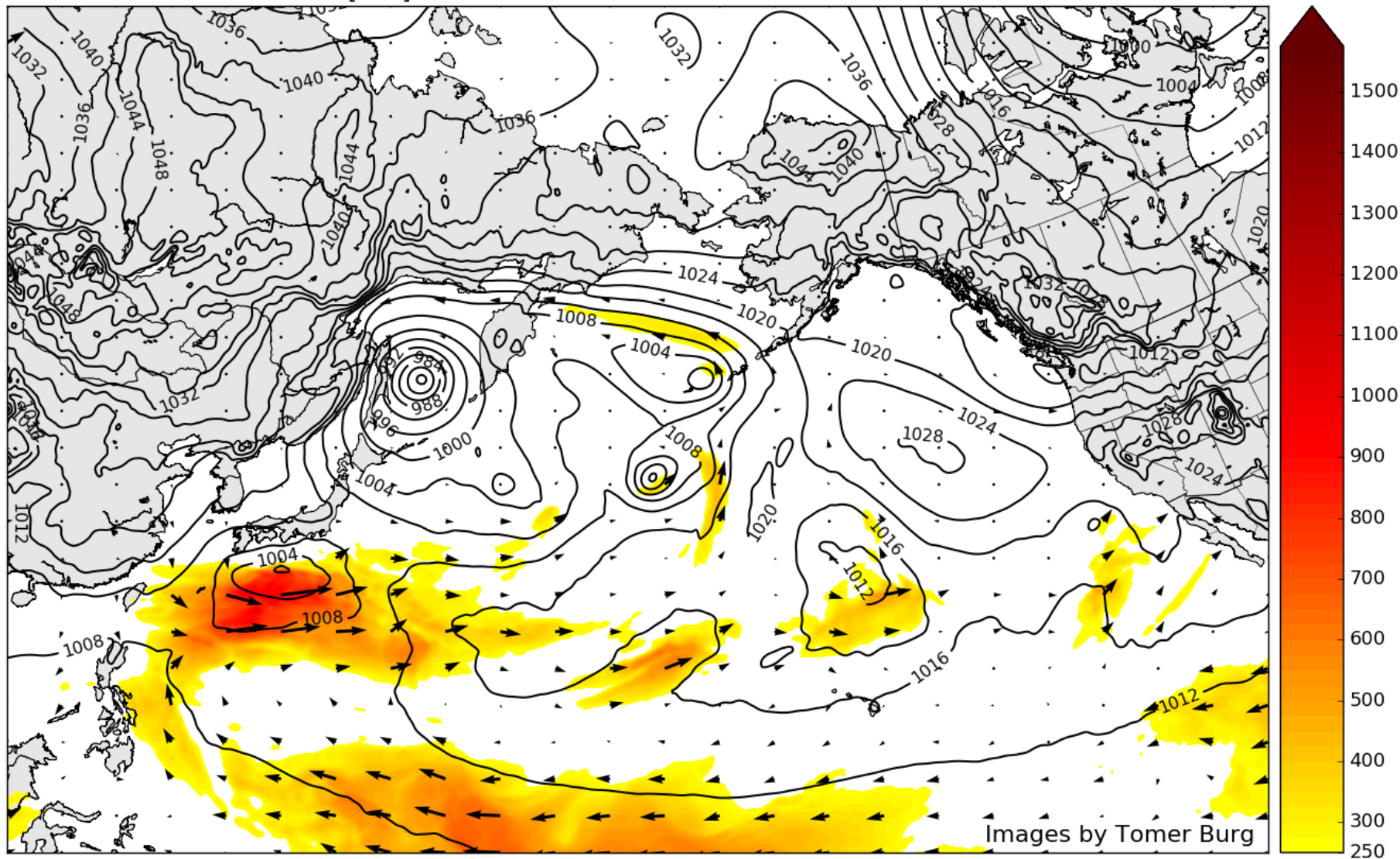


# 850 hPa Temperature (C), Geopotential Height (dam), Wind (kt)

0.25° ERA-5 Reanalysis | Valid 1200 UTC Sun 08 Jan 2017



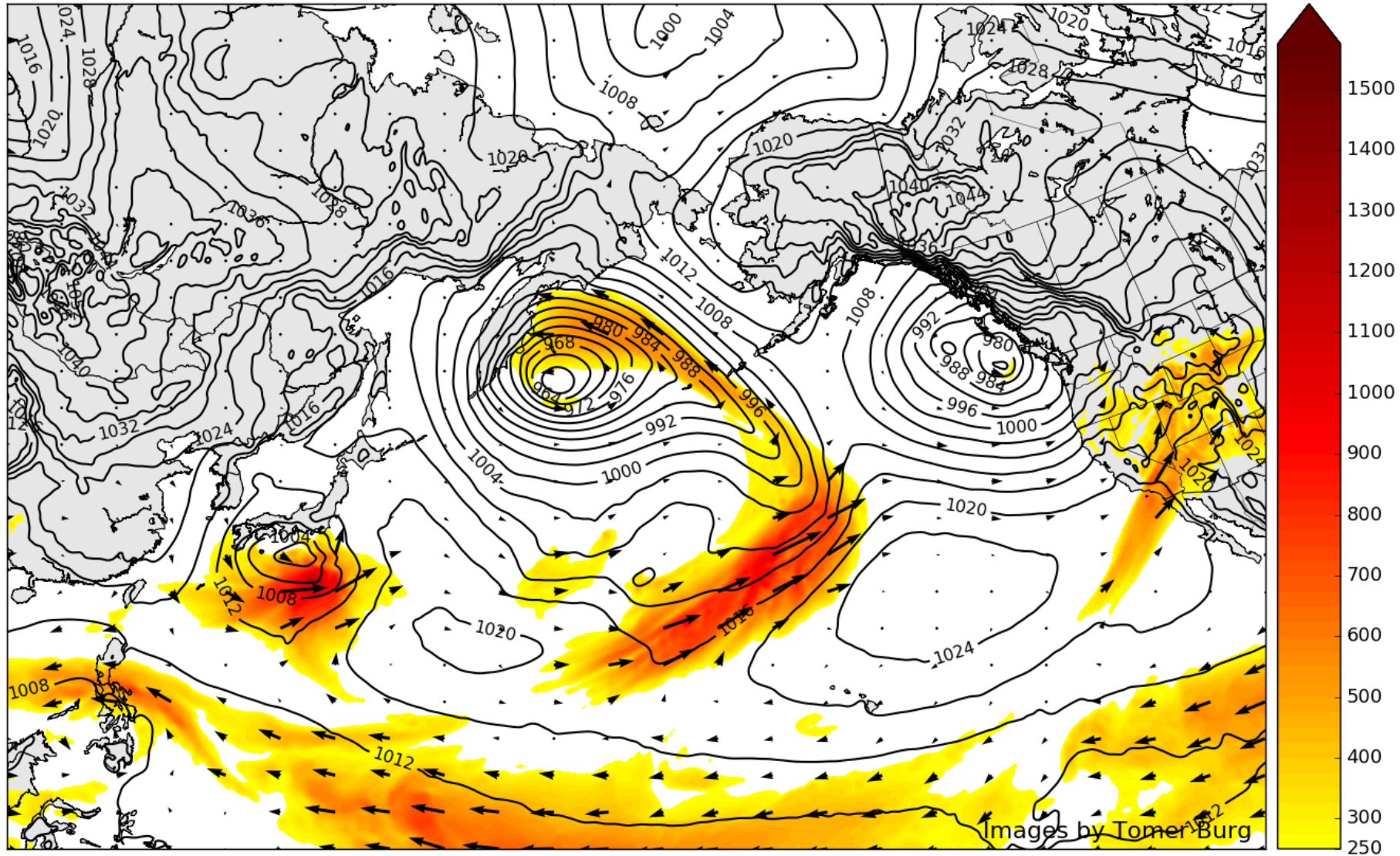
GFS Integrated Vapor Transport (kg/m/s), MSLP (hPa)  
Init 18z Fri 20161230 - Hour [210] - Valid 12z Sun 20170108



**210 h GFS Forecast: Little Evidence for IVT Directed Toward West Coast**

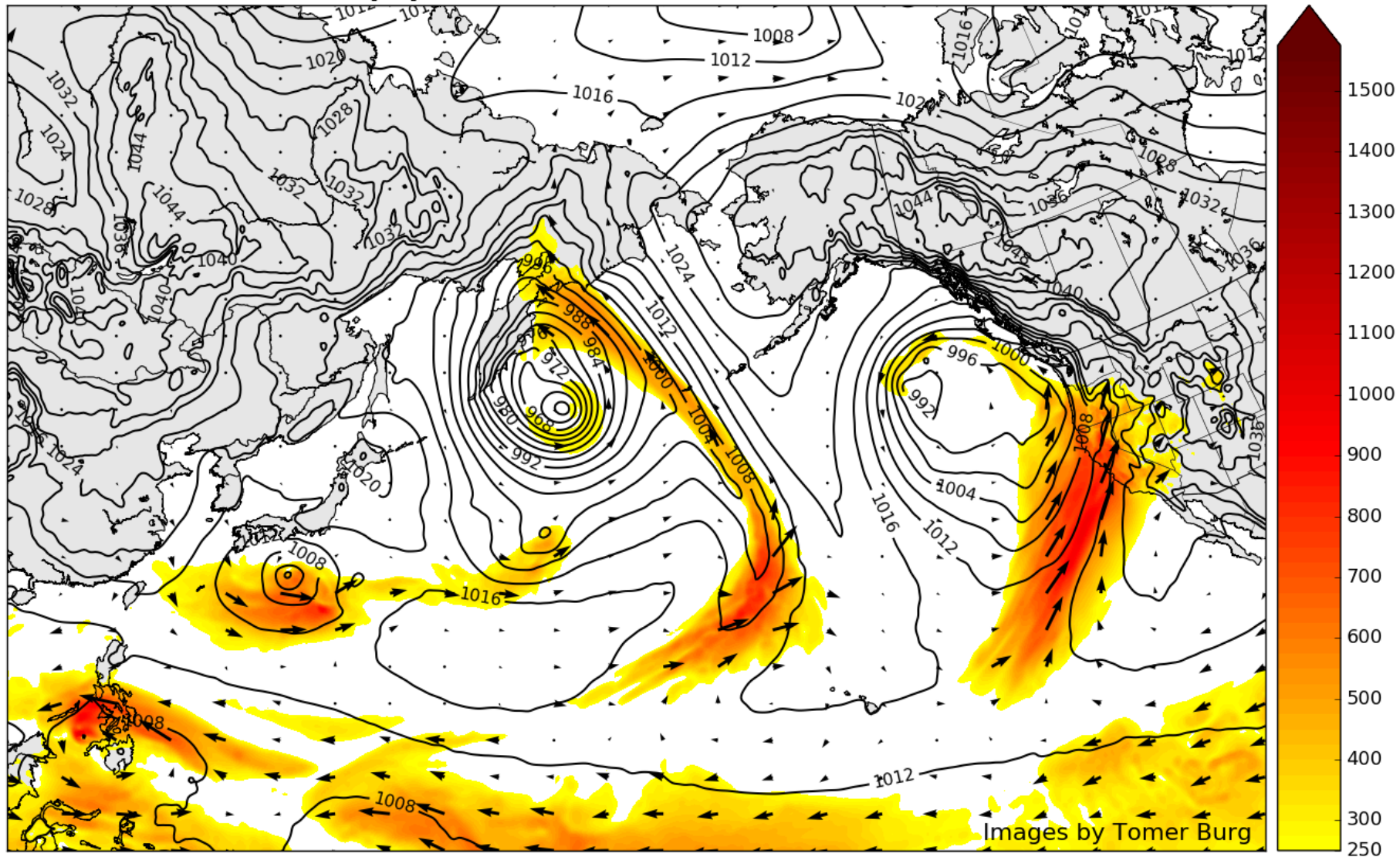


GFS Integrated Vapor Transport (kg/m/s), MSLP (hPa)  
Init 00z Sun 20170101 - Hour [180] - Valid 12z Sun 20170108



**180 h GFS Forecast: Weak IVT is Directed Toward Southern California**

GFS Integrated Vapor Transport (kg/m/s), MSLP (hPa)  
Init 18z Wed 20170104 - Hour [90] - Valid 12z Sun 20170108

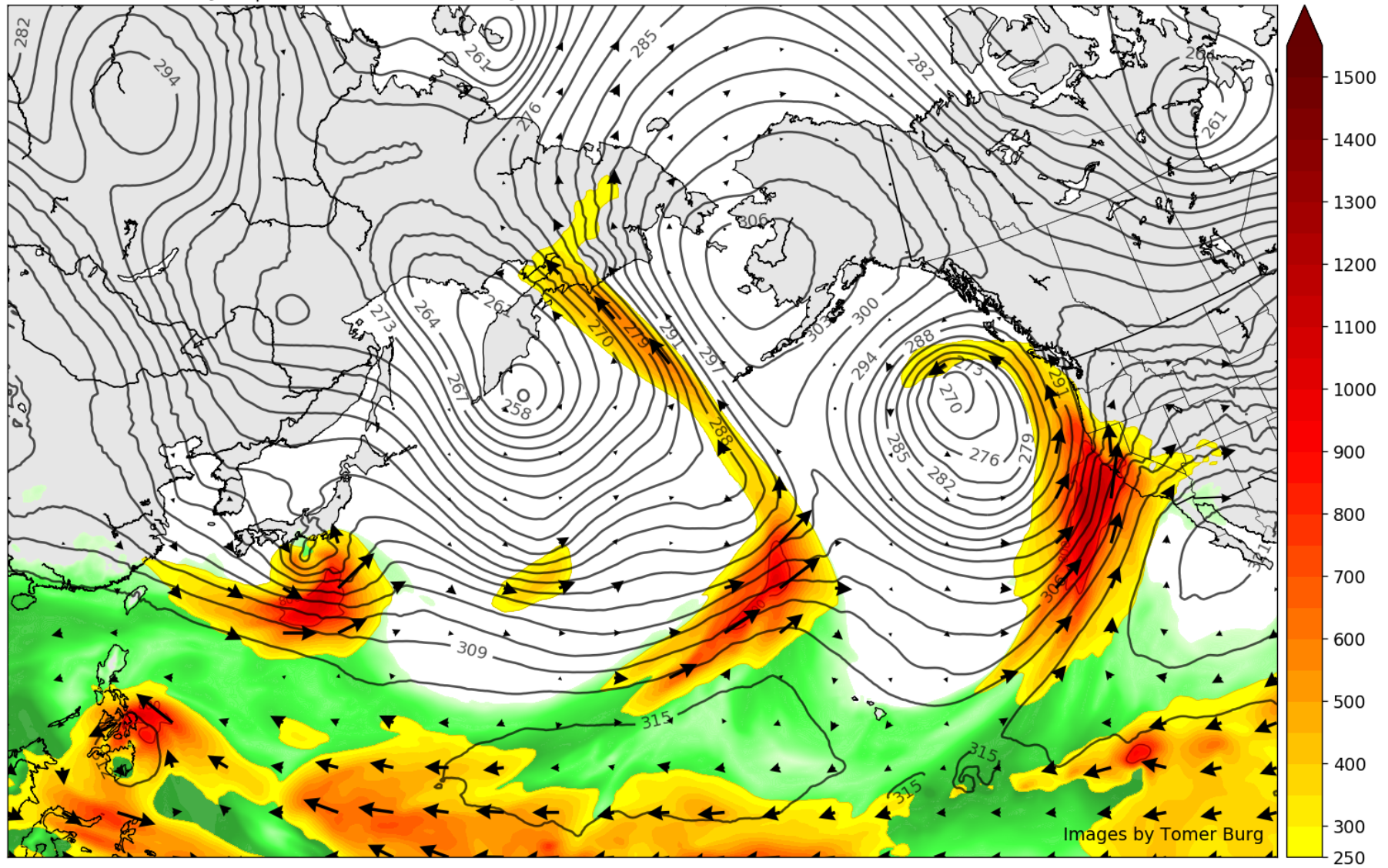


**90 h GFS Forecast: Significant IVT shift toward northern California and Oregon**



# Integrated Vapor Transport (kg/m/s), 700-hPa Height (dam), PWAT > 20mm

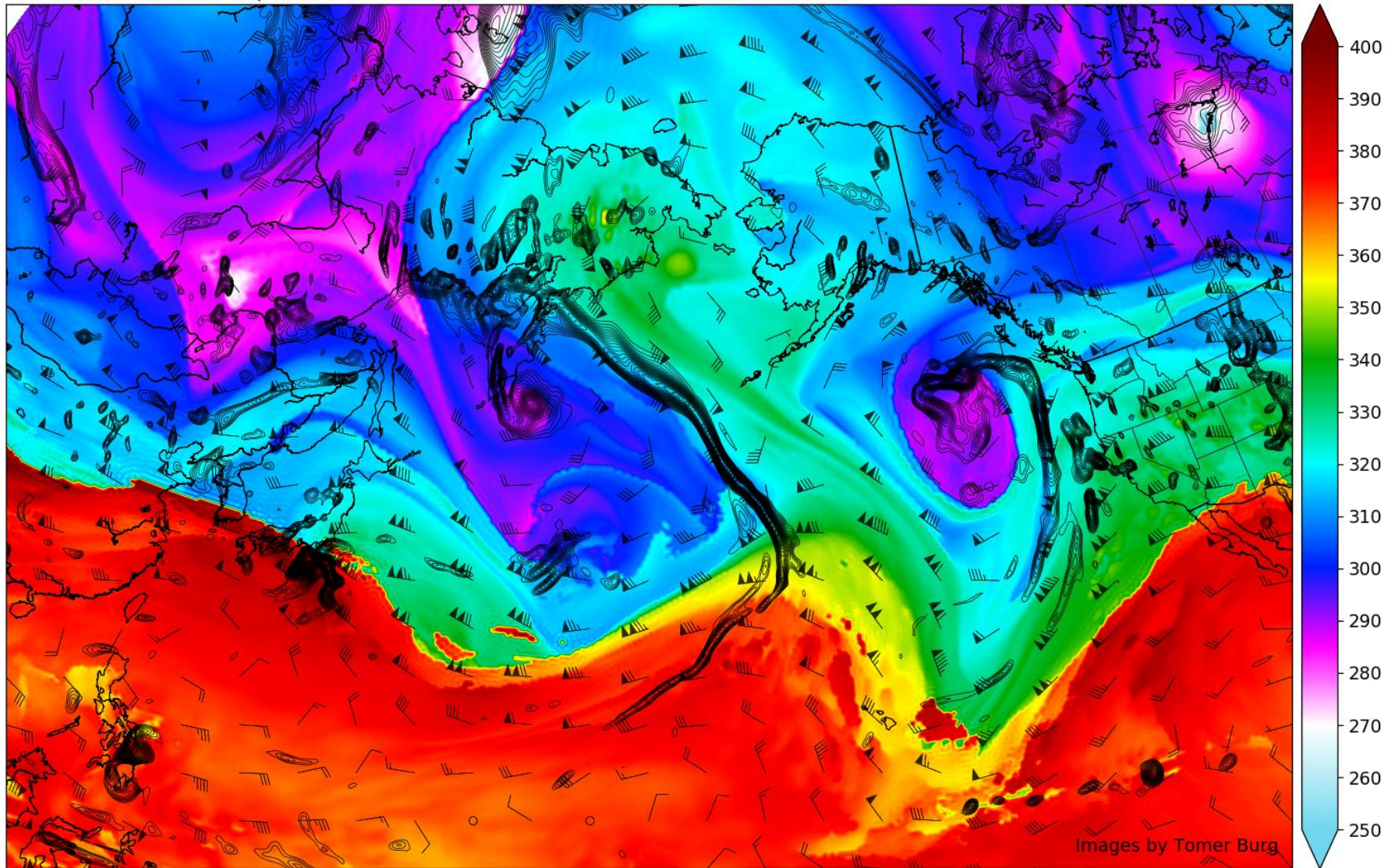
0.25° ERA-5 Reanalysis | Valid 1200 UTC Sun 08 Jan 2017





**2 PVU Potential Temperature (K), 850-hPa Relative Vorticity (1/s), Wind (kt)**

0.25° ERA-5 Reanalysis | Valid 1200 UTC Sun 08 Jan 2017



# **Western CONUS Extreme Weather January 2017: Key Takeaways**

- **East Asian cold surges link to WPAC subtropical jet (STJ) location**
- **Cyclogenesis in the STJ exit region reinforces Omega block**
- **Omega block enables Arctic air to reach the Pacific NW**
- **Anticyclonic wave breaking (AWB) focuses AR locations**
- **STJ disturbances associated with AWB strengthen pre-frontal ARs**
- **WAA and orographic lift leads to excessive coastal/inland rainfall**
- **Predictability horizon for the California deluge was 4–6 days**
- **Monthly/subseasonal California rainfall forecasts were irrelevant**

# Motivation: North Pacific Jet Phase Diagram

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- The North Pacific Jet (NPJ) phase diagram serves as an objective tool to characterize the instantaneous state and evolution of the upper-tropospheric flow pattern over the North Pacific
- The prevailing NPJ regime, as determined by the NPJ phase diagram, has important implications for the character of the downstream upper-tropospheric flow pattern over North America



# A North Pacific Jet (NPJ) Perspective

- EOF analyses of 250-hPa zonal winds are used to identify characteristic NPJ regime phase spaces\*
- Four NPJ regimes are identified: extended and retracted; poleward- and equatorward-shifted

\*Andrew Winters Real-Time NPJ Phase Diagram ([Real-Time NPJ Phase Diagram](http://www.atmos.albany.edu/facstaff/awinters/realtime/About_EOFs.php)  
[http://www.atmos.albany.edu/facstaff/awinters/realtime/About\\_EOFs.php](http://www.atmos.albany.edu/facstaff/awinters/realtime/About_EOFs.php))

# 250-hPa North Pacific Zonal Wind Variability

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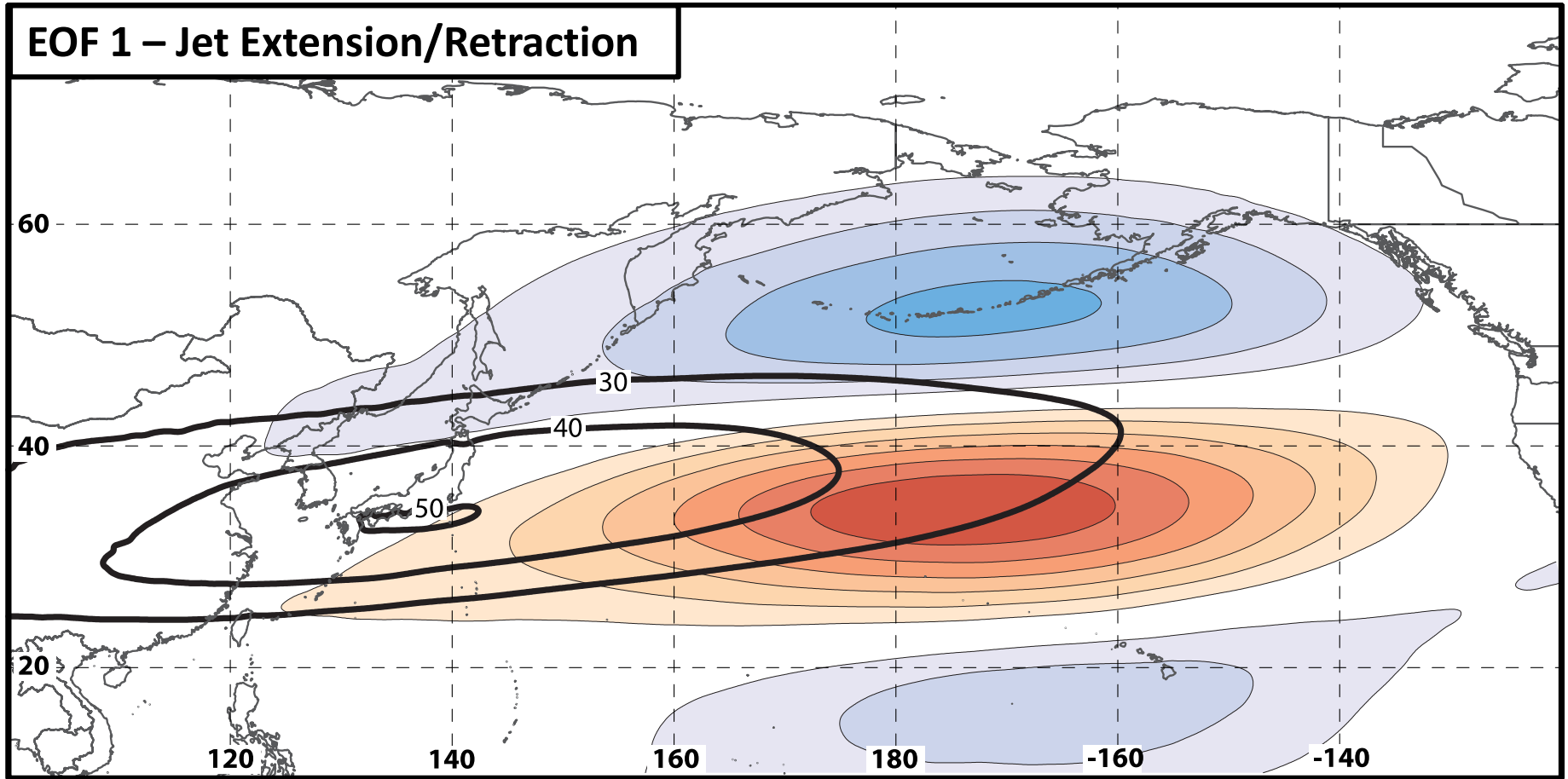
- Removed the mean and the annual and diurnal cycles from 6-hourly, 250-hPa zonal wind data from the CFSR (1979–2014)
- Restricted data to the cool season (Sept.–May)
- Performed an EOF analysis on the zonal wind anomalies within the domain: 10–80°N ; 100°E–120°W

**Analysis techniques and resultant EOF patterns are consistent with related work on the North Pacific Jet:**

- Athanasiadis et al. (2010)
- Jaffe et al. (2011)
- Griffin and Martin (2016)

# 250-hPa North Pacific Zonal Wind Variability

EOF 1 – Jet Extension/Retraction



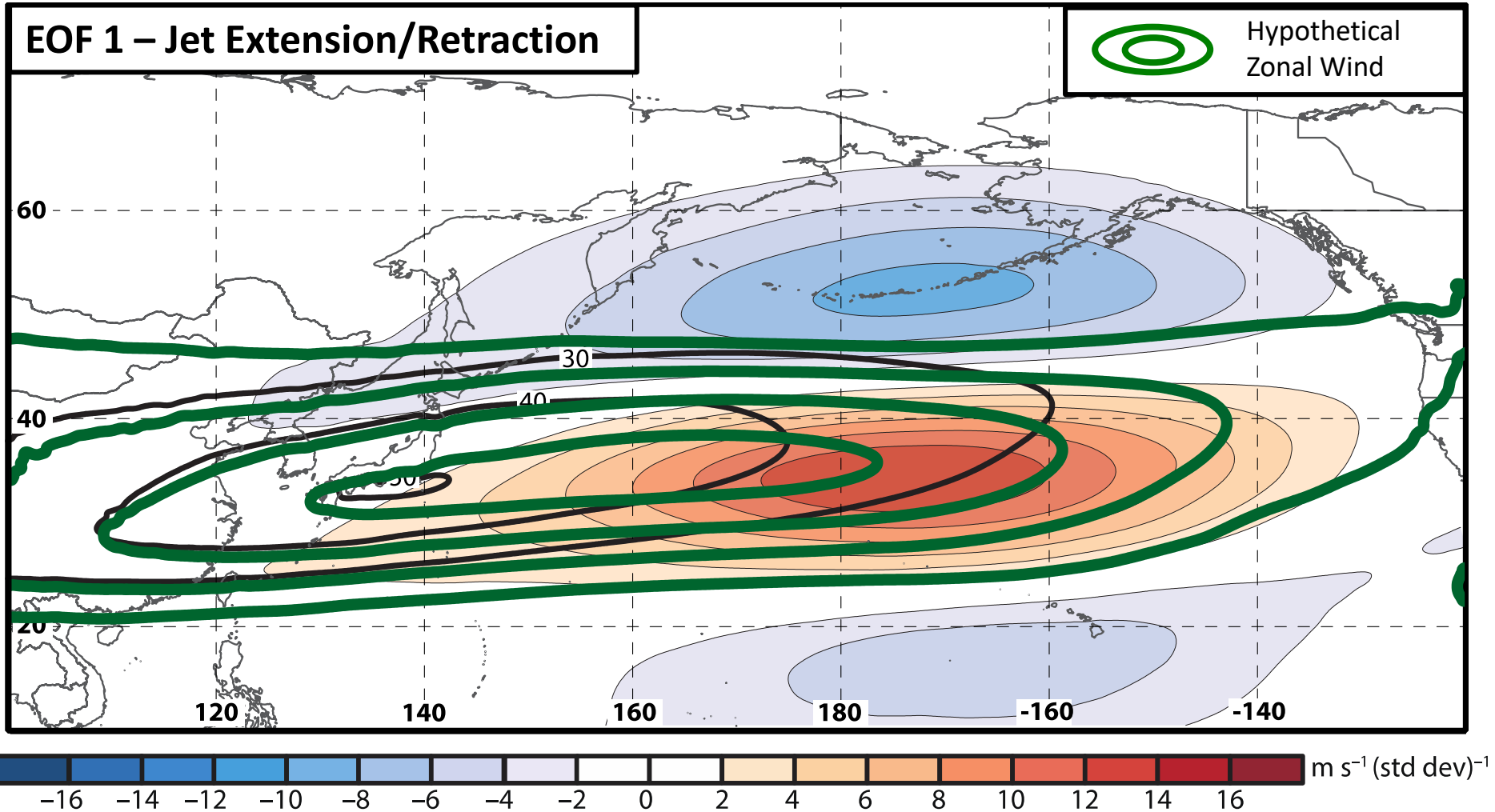
-16 -14 -12 -10 -8 -6 -4 -2 0 2 4 6 8 10 12 14 16  $\text{m s}^{-1} (\text{std dev})^{-1}$

Sept.–May mean 250-hPa zonal wind: black contours  
Sept.–May 250-hPa zonal wind EOF 1 pattern: shading

+ EOF 1: Jet Extension  
– EOF 1: Jet Retraction



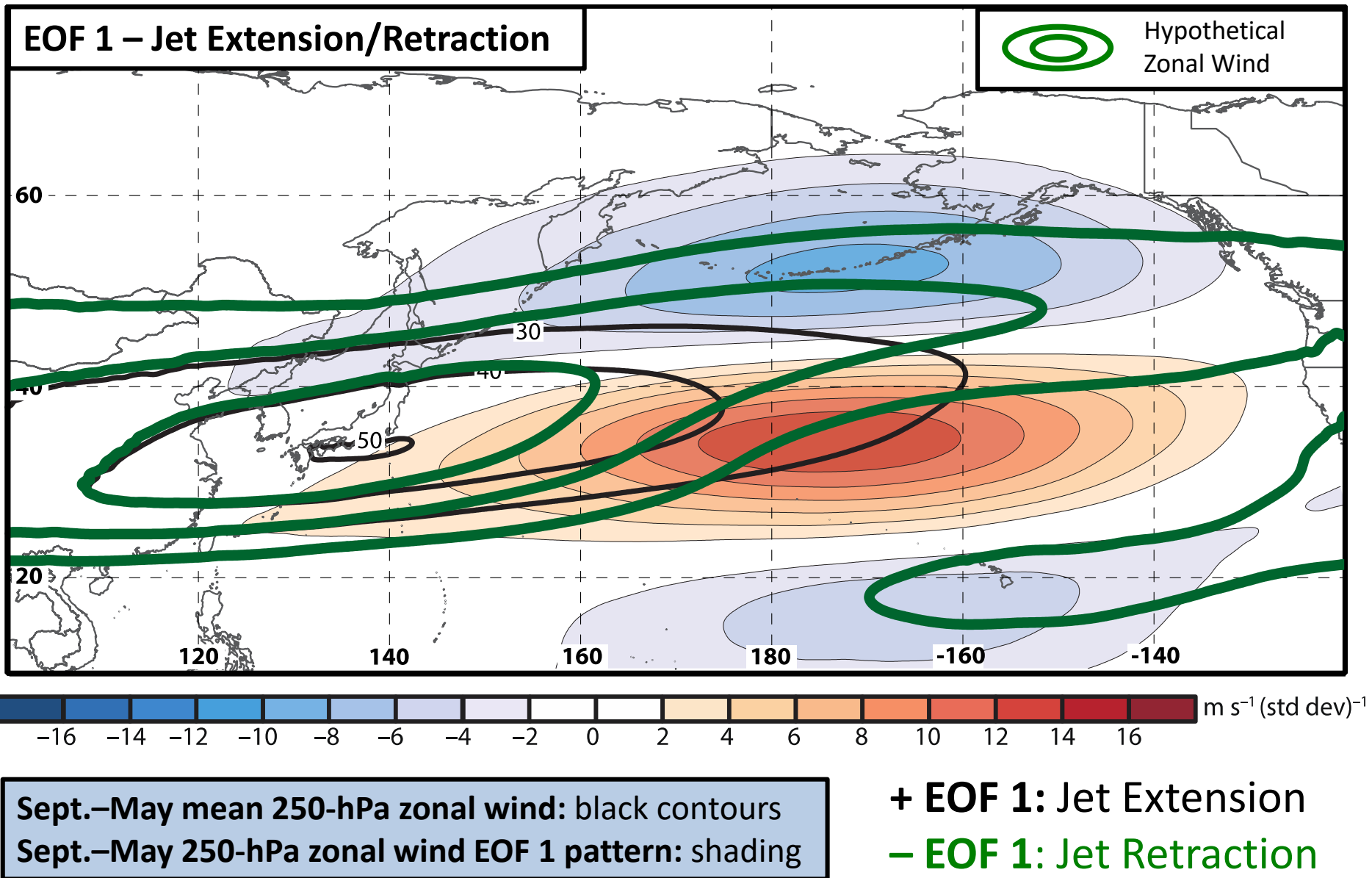
# 250-hPa North Pacific Zonal Wind Variability



Sept.–May mean 250-hPa zonal wind: black contours  
Sept.–May 250-hPa zonal wind EOF 1 pattern: shading

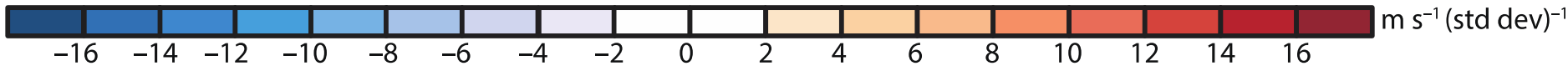
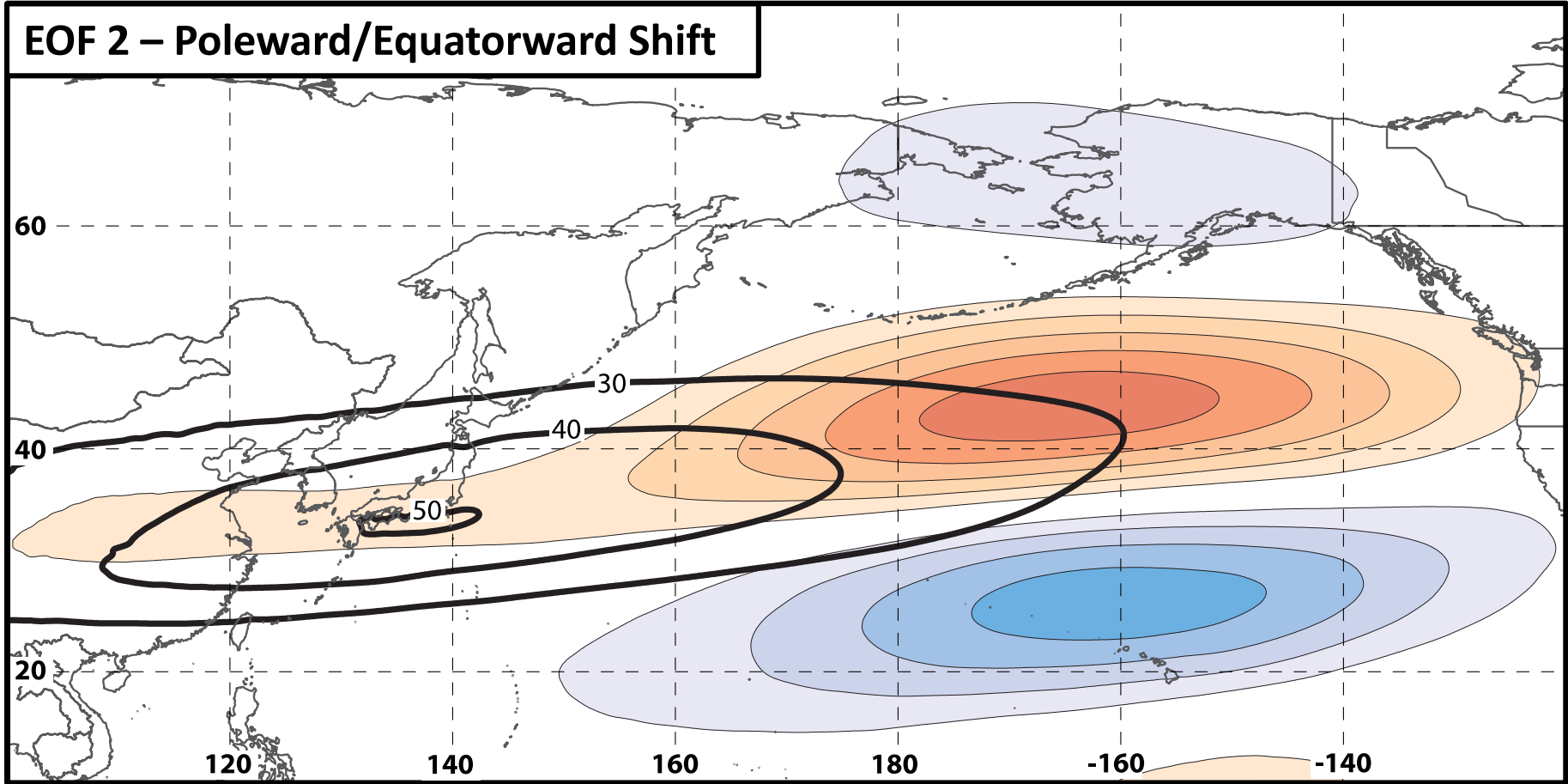
**+ EOF 1: Jet Extension**  
**- EOF 1: Jet Retraction**

# 250-hPa North Pacific Zonal Wind Variability



# 250-hPa North Pacific Zonal Wind Variability

EOF 2 – Poleward/Equatorward Shift

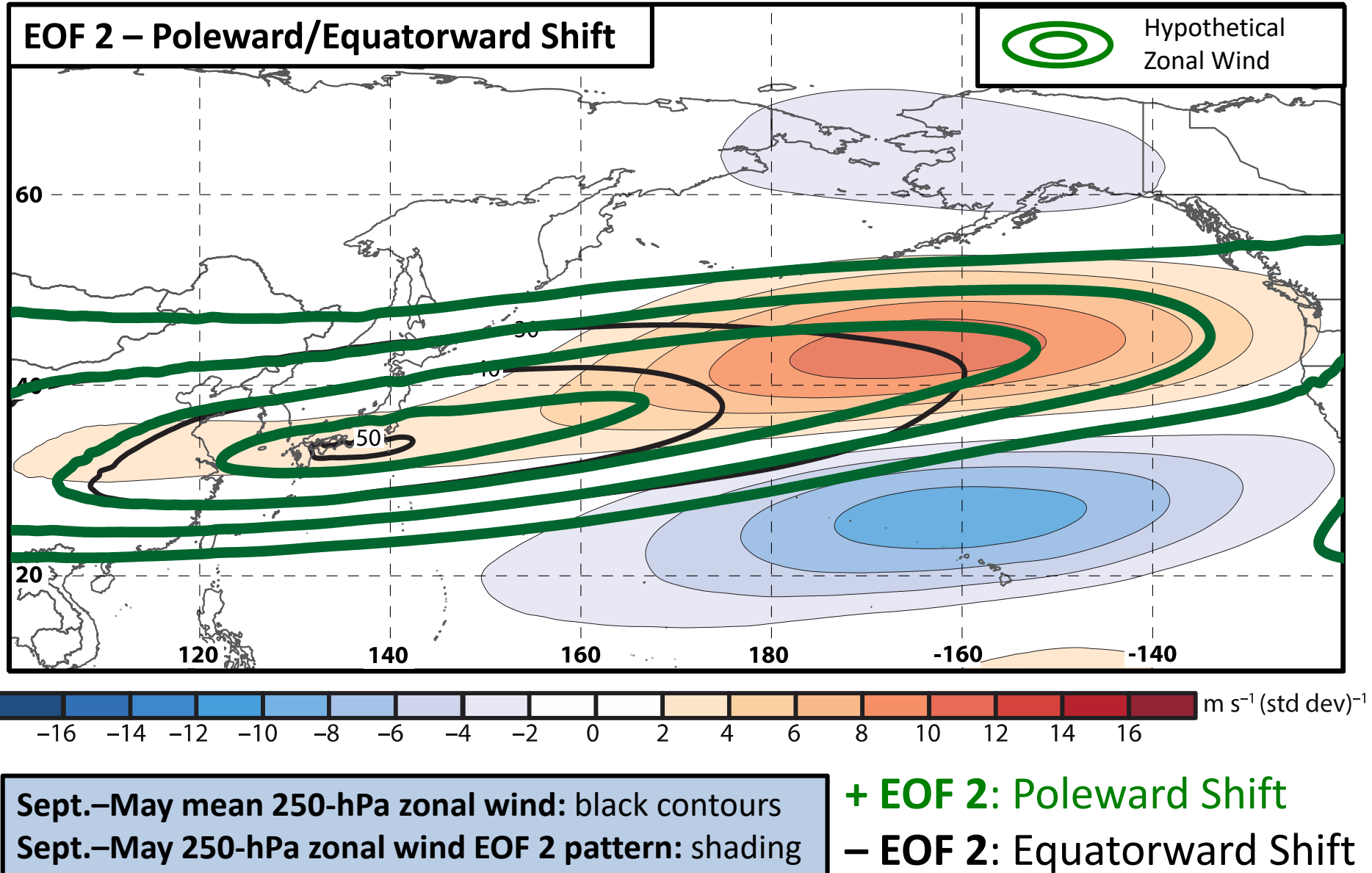


Sept.–May mean 250-hPa zonal wind: black contours  
Sept.–May 250-hPa zonal wind EOF 2 pattern: shading

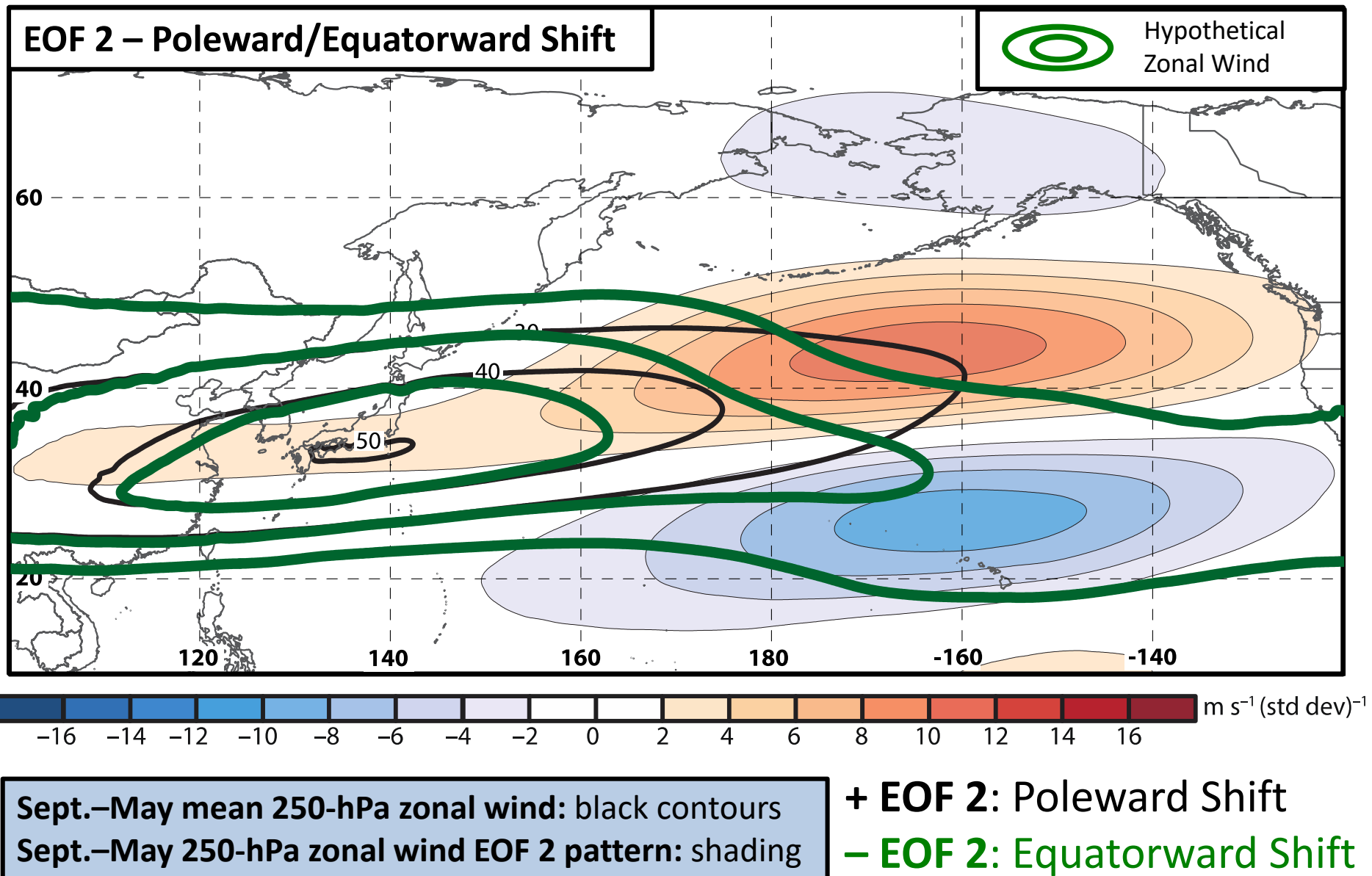
+ EOF 2: Poleward Shift  
– EOF 2: Equatorward Shift



# 250-hPa North Pacific Zonal Wind Variability



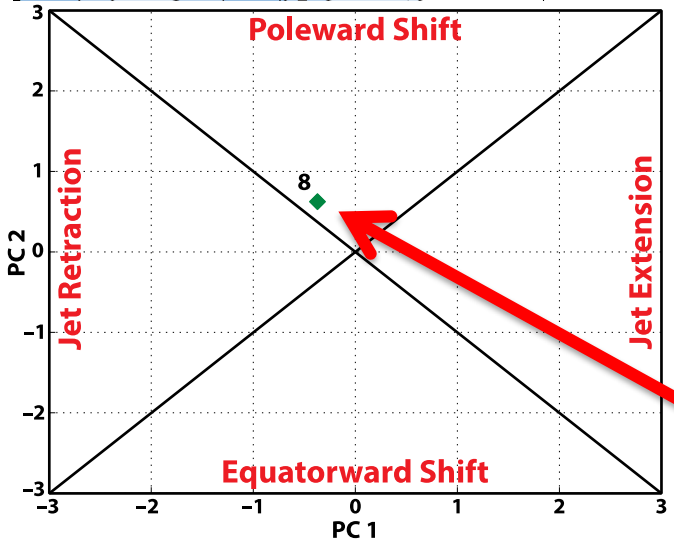
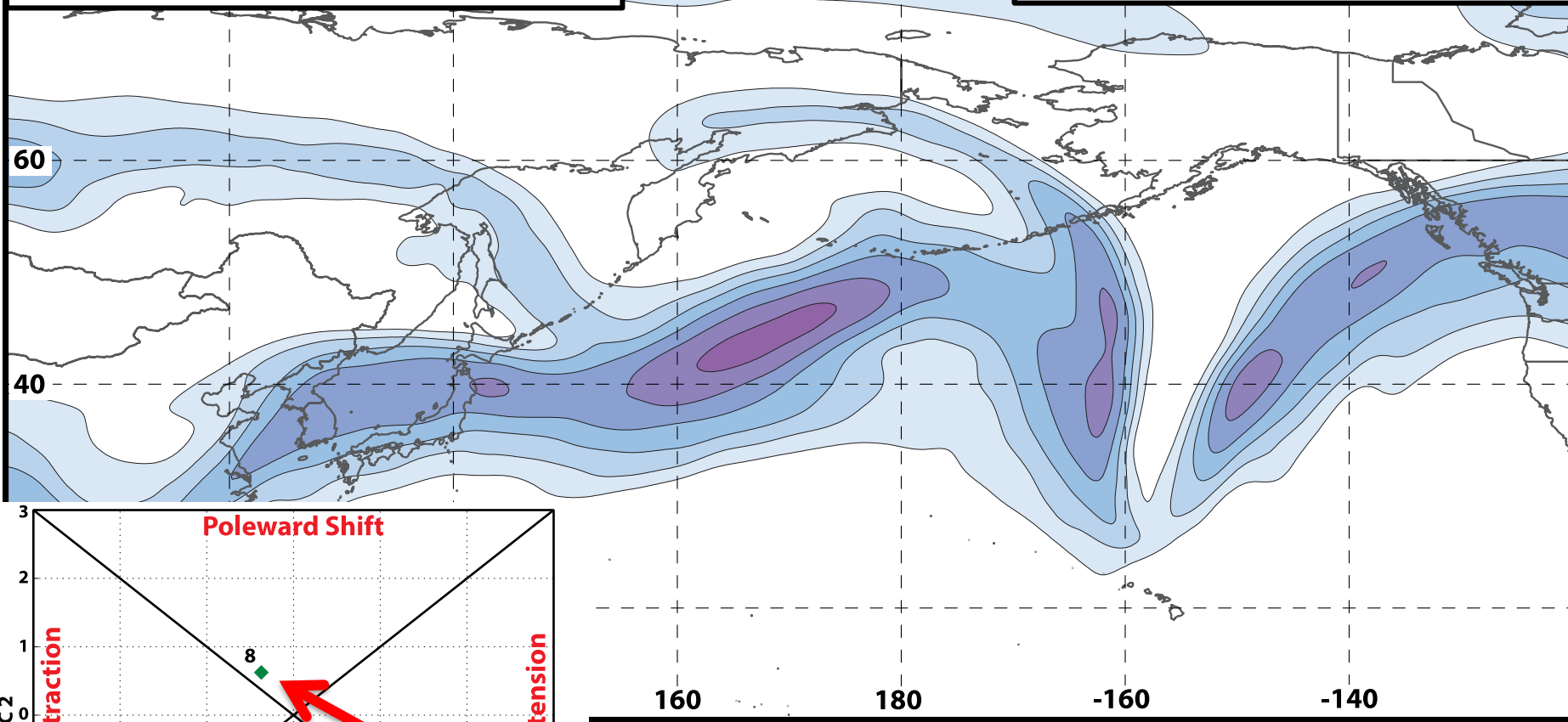
# 250-hPa North Pacific Zonal Wind Variability



# 250-hPa North Pacific Zonal Wind Variability

0000 UTC 8 November 2014

250-hPa wind speed: shaded



Instantaneous 250-hPa zonal wind anomalies can be projected onto EOF 1 and EOF 2, resulting in a point on a North Pacific Jet phase diagram



# North Pacific Jet (NPJ) Regimes

- Griffin and Martin (2017) highlight synoptic-scale flow evolution patterns associated with NPJ regimes
- EOF analyses of 250-hPa zonal winds are used to identify four NPJ regime phase spaces (zonal NPJ extension/retraction; poleward/equatorward NPJ shift)\*
- Composite upper-tropospheric flow patterns associated with these four NPJ regime four days after the development of that NPJ regime are shown next

\*Winters et al. 2018: The Development of the North Pacific Jet Phase Diagram as an Objective Tool to Monitor the State of the Upper Tropospheric Flow Pattern (sent to WAF June 2018)

Griffin, K. S. and J. E. Martin, 2017: Synoptic features associated with temporally coherent modes of variability of the North Pacific jet stream. *Mon. Wea. Rev.*, 30, 39–54.

<https://journals.ametsoc.org/doi/abs/10.1175/JCLI-D-15-0833.1>

Real-Time NPJ Phase Diagram

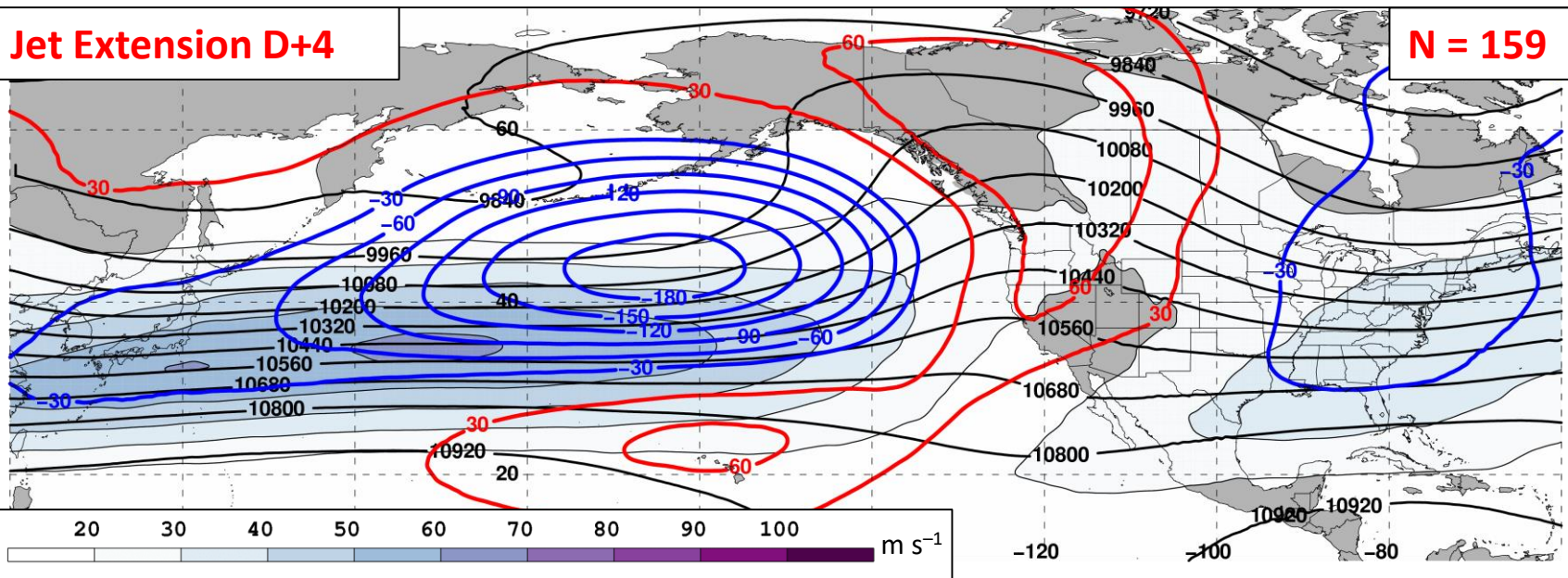
[http://www.atmos.albany.edu/facstaff/awinters/realtime/About\\_EOFs.php](http://www.atmos.albany.edu/facstaff/awinters/realtime/About_EOFs.php)

(Contact Andrew Winters: [acwinters@albany.edu](mailto:acwinters@albany.edu))

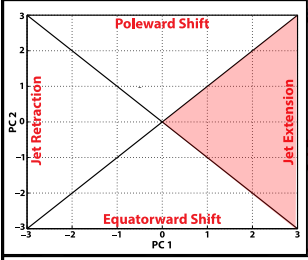
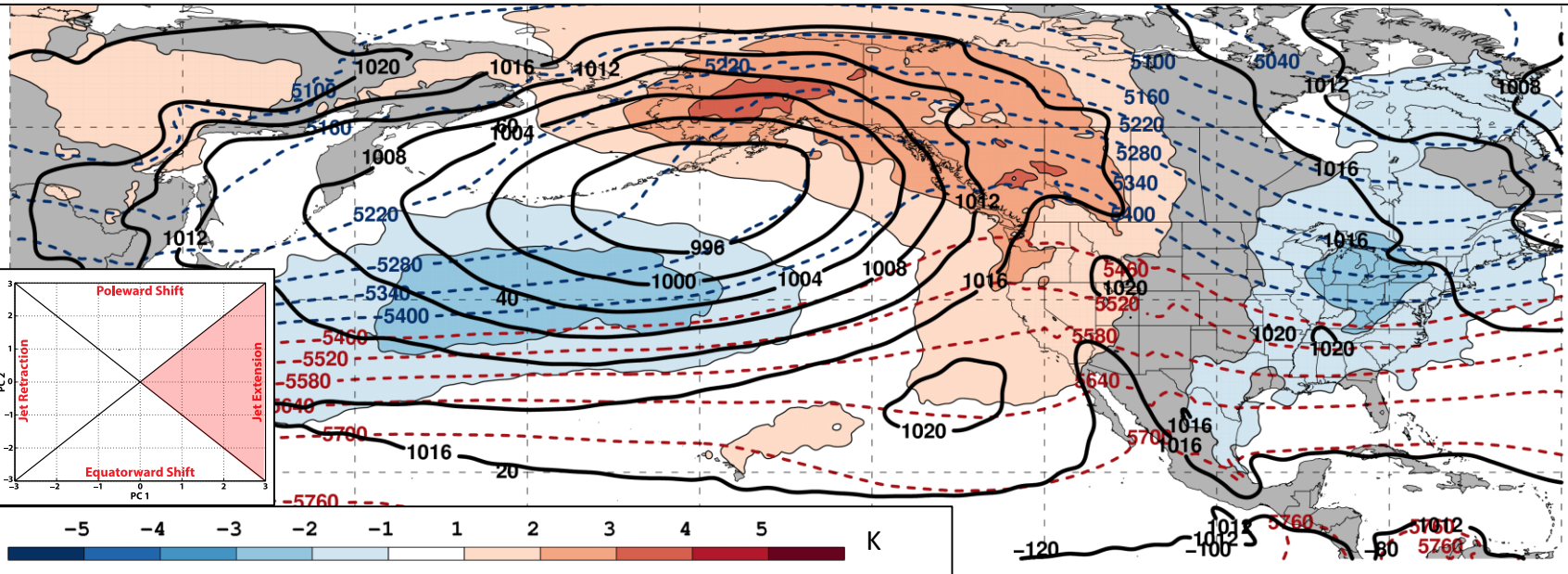
250-hPa Wind Speed (shading), Geo. Heights (contours), Geo. Height Anom. (contours):

Jet Extension D+4

N = 159



Mean SLP (contours), 1000–500-hPa Thick. (contours), 850-hPa Temp. Anom. (shading):

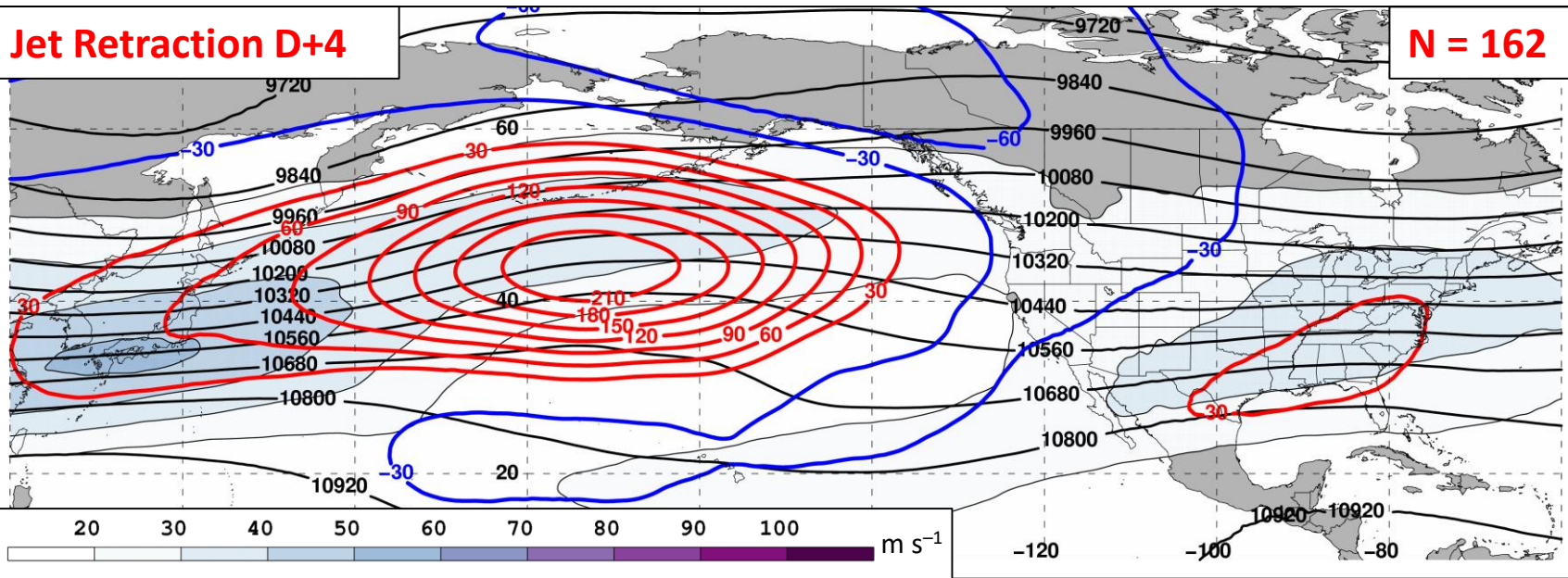




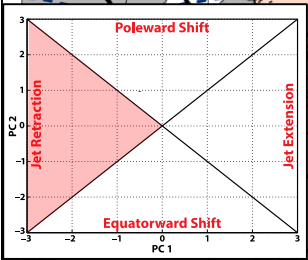
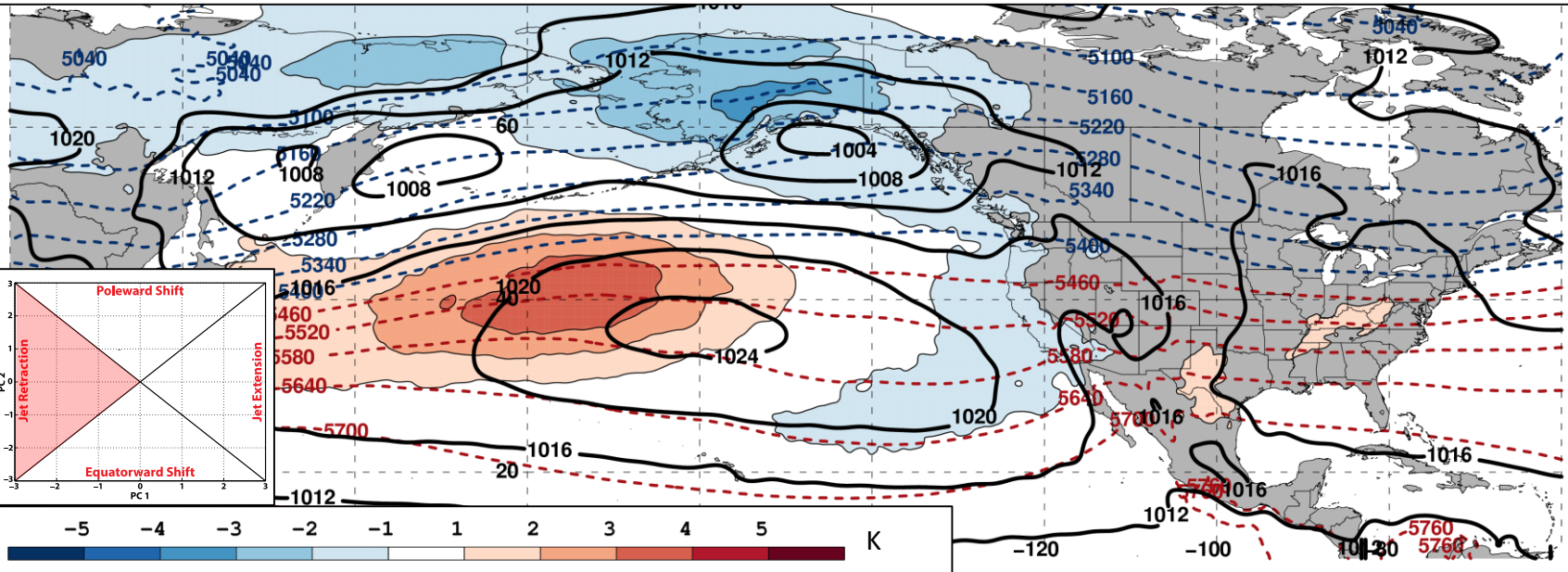
250-hPa Wind Speed (shading), Geo. Heights (contours), Geo. Height Anom. (contours):

Jet Retraction D+4

N = 162



Mean SLP (contours), 1000–500-hPa Thick. (contours), 850-hPa Temp. Anom. (shading):

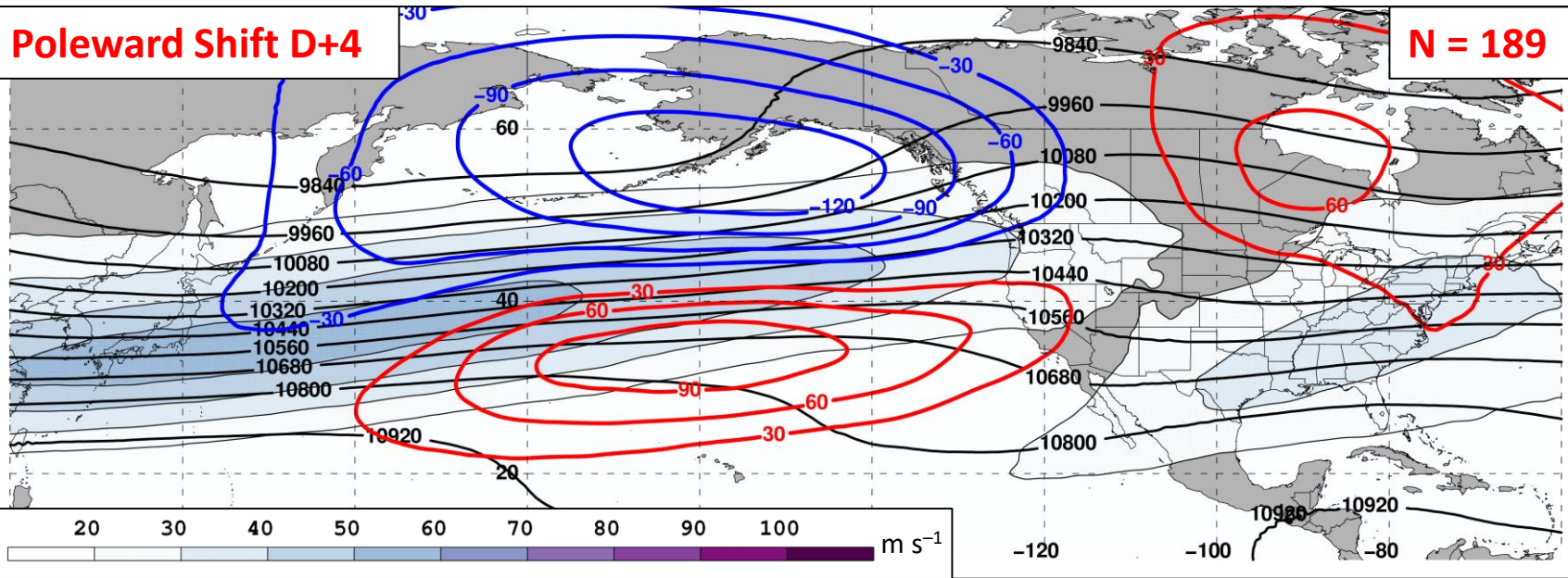




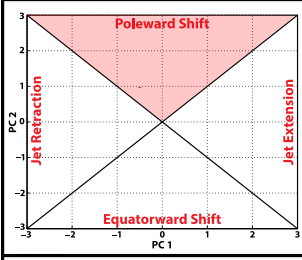
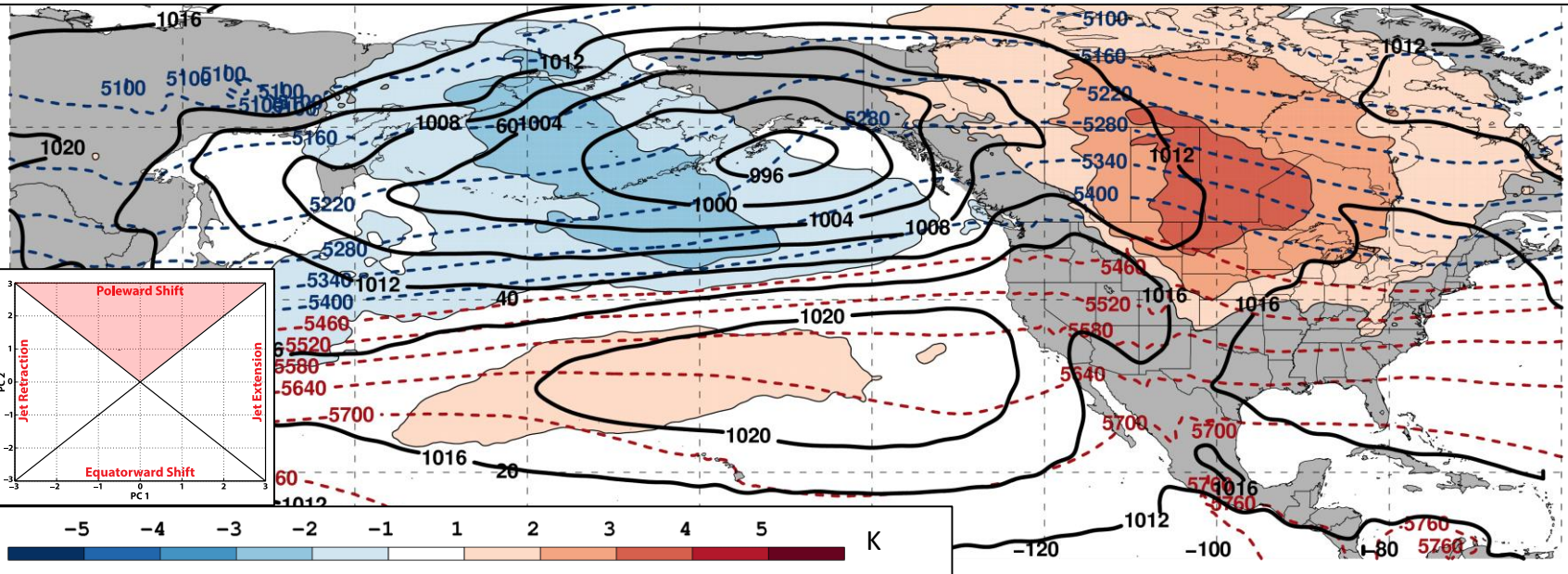
250-hPa Wind Speed (shading), Geo. Heights (contours), Geo. Height Anom. (contours):

**Poleward Shift D+4**

**N = 189**



Mean SLP (contours), 1000–500-hPa Thick. (contours), 850-hPa Temp. Anom. (shading):

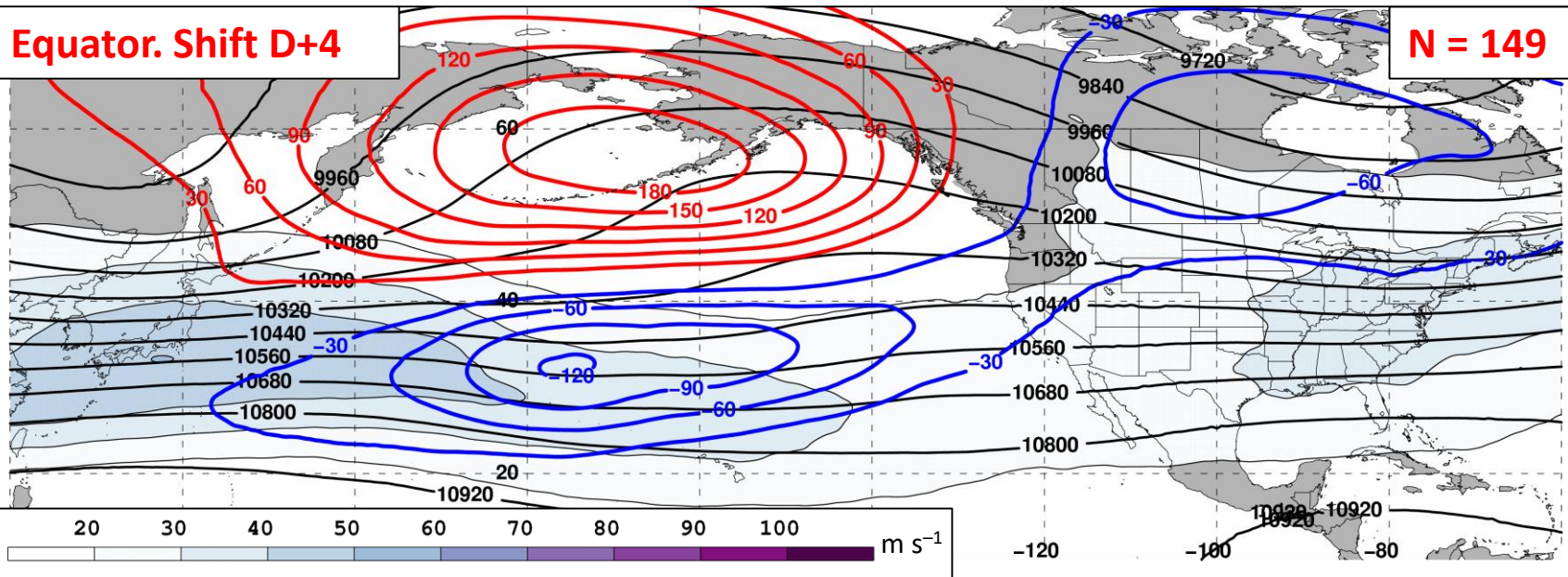




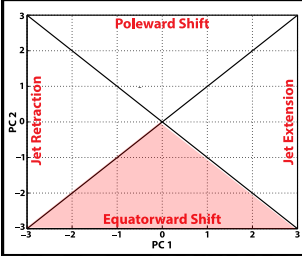
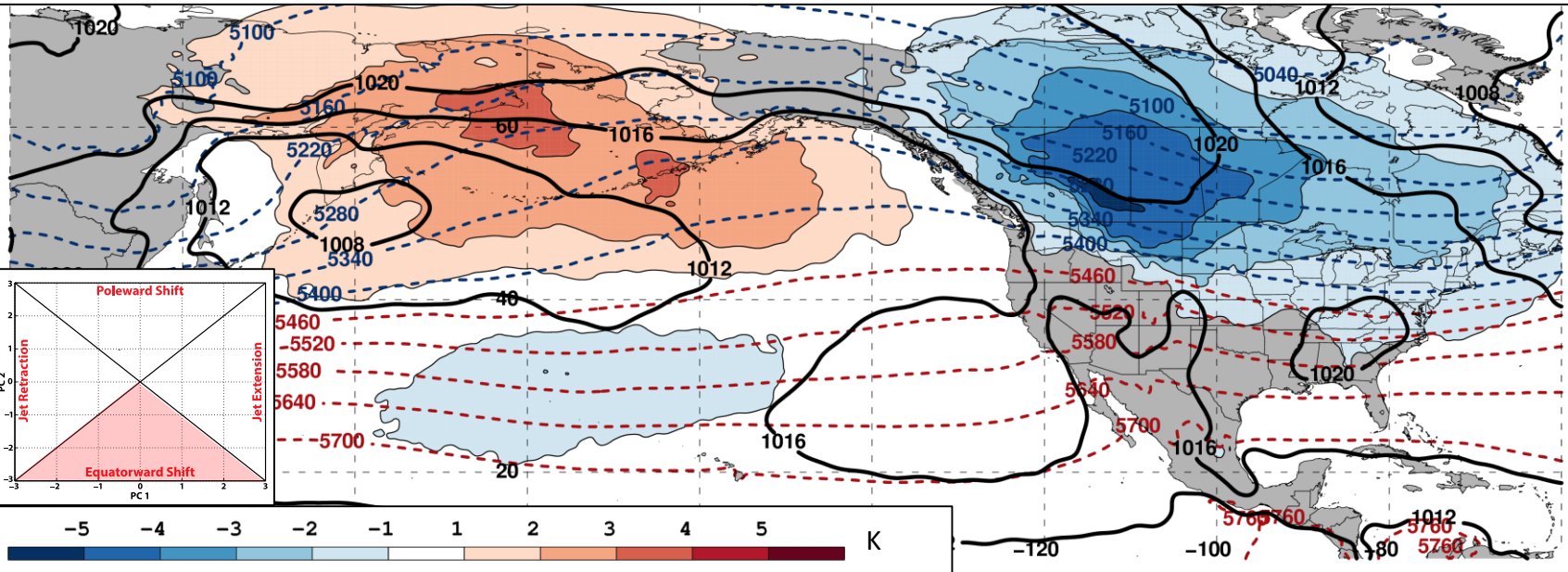
250-hPa Wind Speed (shading), Geo. Heights (contours), Geo. Height Anom. (contours):

Equator. Shift D+4

N = 149



Mean SLP (contours), 1000–500-hPa Thick. (contours), 850-hPa Temp. Anom. (shading):

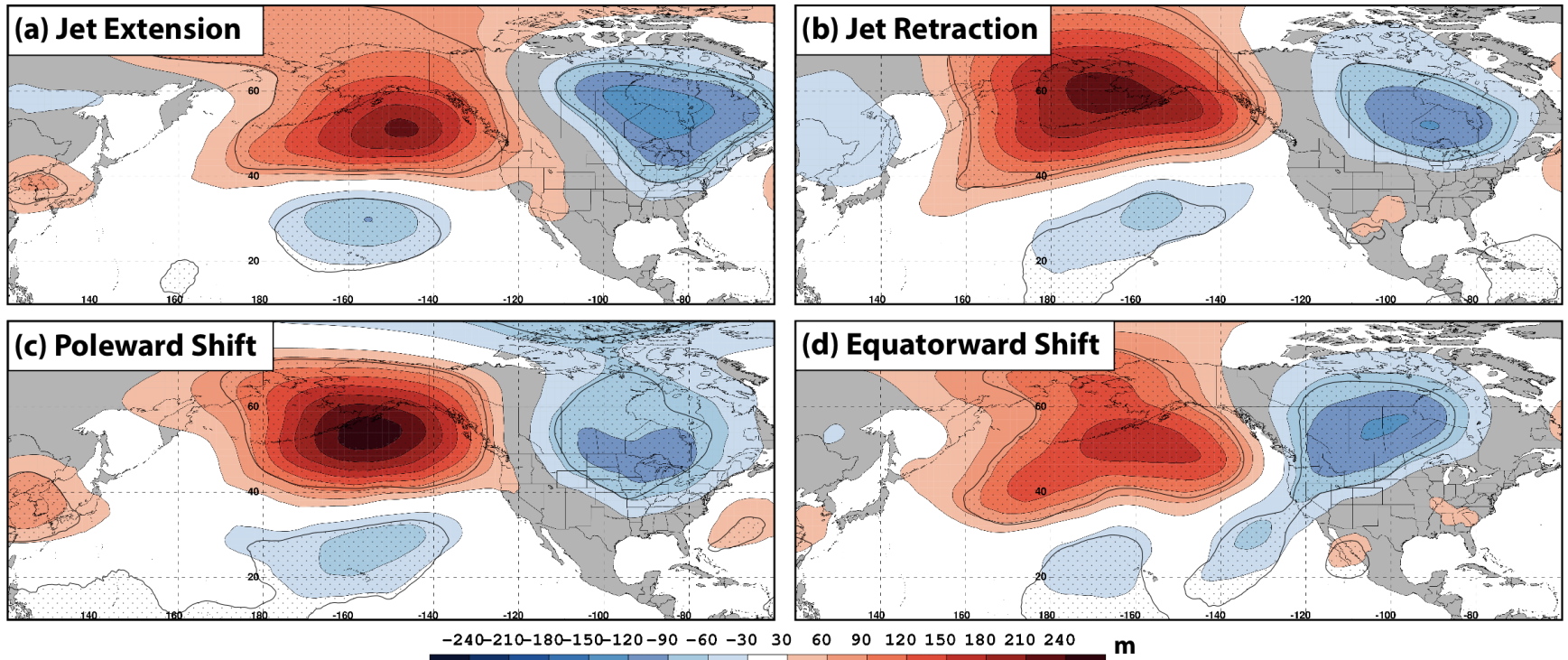


**Antecedent NPJ Flow Patterns  
Associated with Poor Downstream  
GEFS Forecasts**



# Best & Worst NPJ Day 8–9 Forecasts

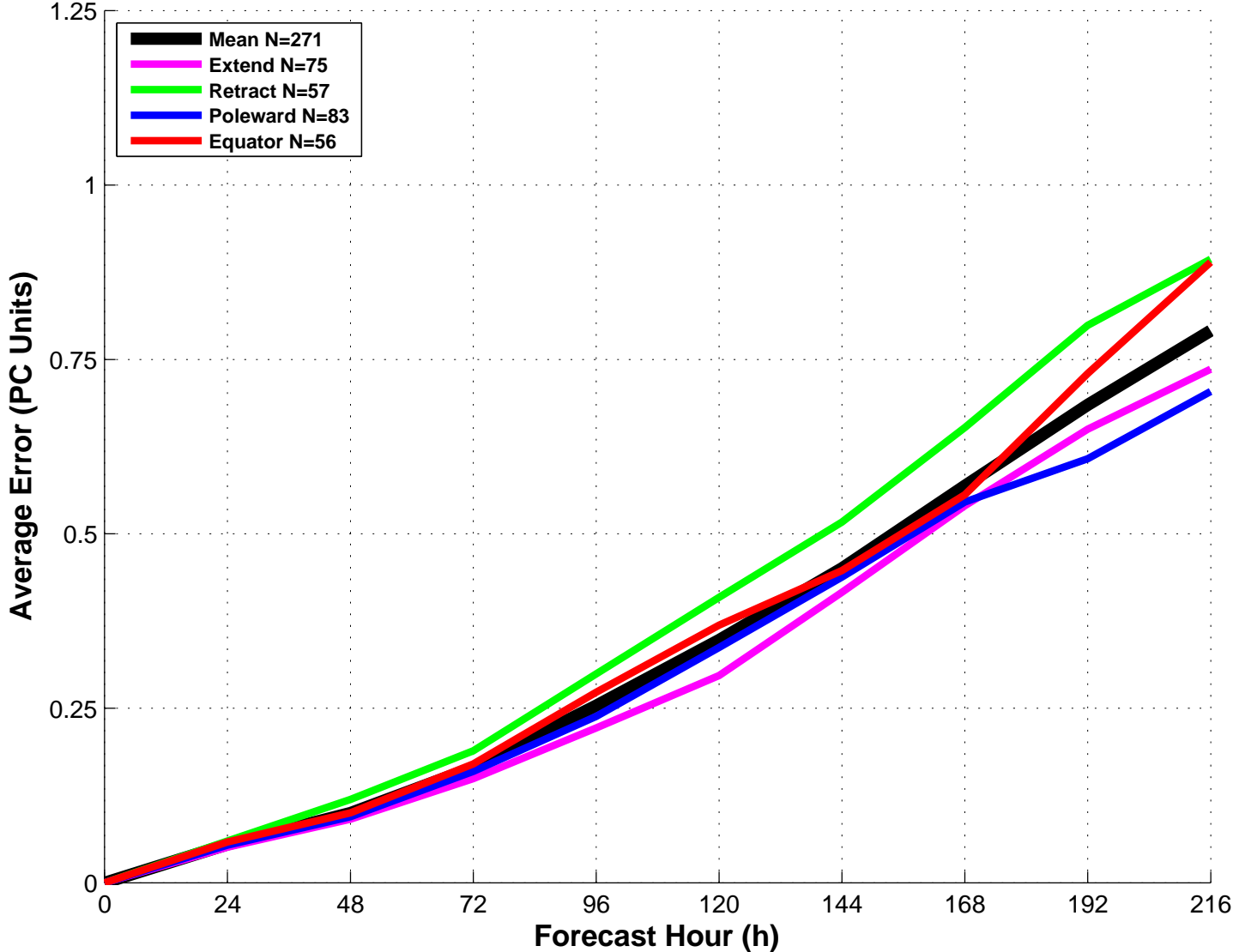
## GEFS Reforecasts



The difference in composite geopotential height anomalies 8 days following the initialization of a worst and best NPJ Phase Diagram forecast (worst – best)

# 2016 –2017 Average GFS Error – Regime

Average GFS Error Sept 1 2016–May 31 2017



# NPJ Phase Diagram Web Interface

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- A web interface has been developed and implemented at WPC that offers real time NPJ phase diagram forecasts and NPJ regime composites.

[http://www.atmos.albany.edu/facstaff/acwinters/realtime/About\\_EOFs.php](http://www.atmos.albany.edu/facstaff/acwinters/realtime/About_EOFs.php)

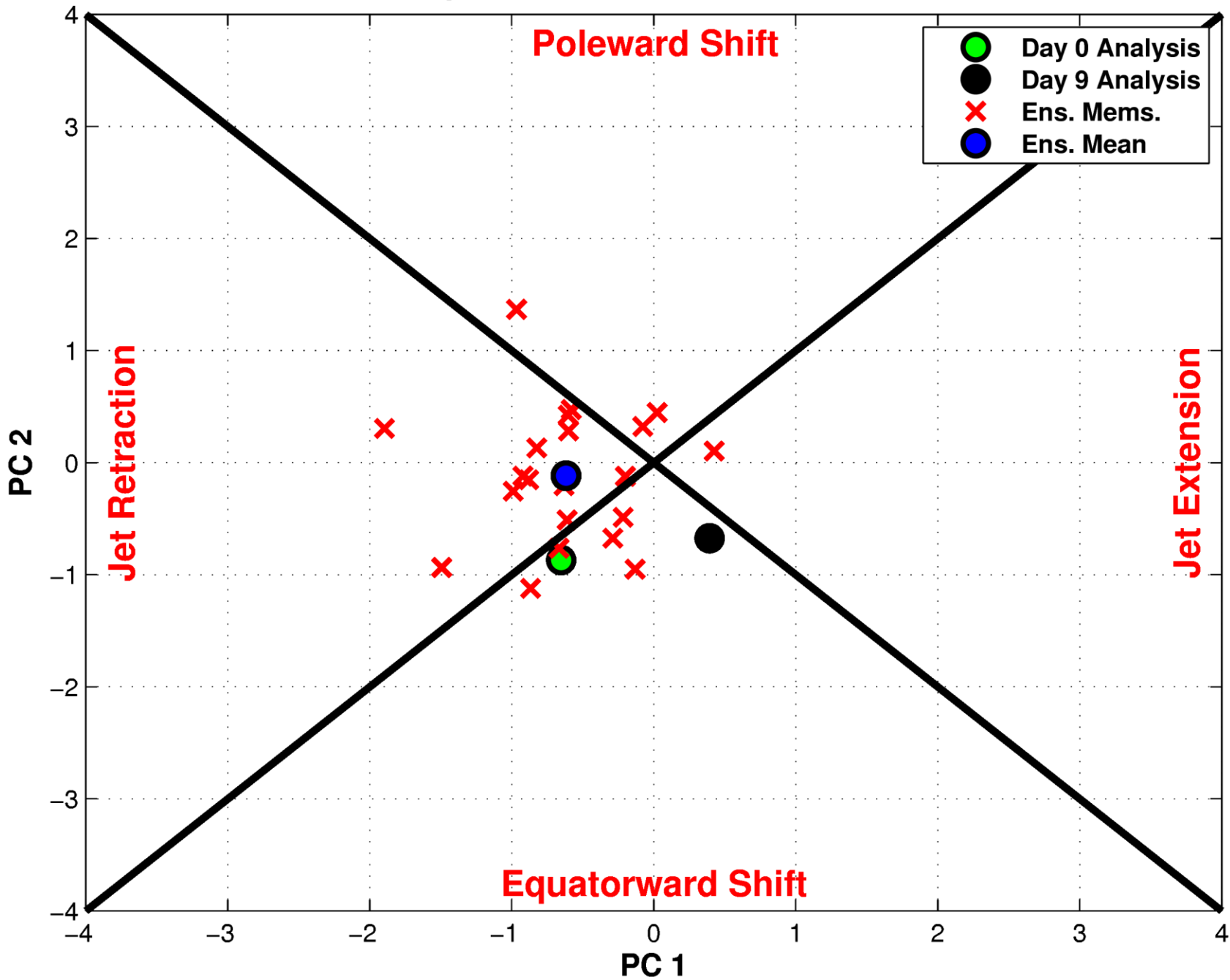
**Contact: [acwinters@albany.edu](mailto:acwinters@albany.edu)**

**Collaborators: Mike Bodner (WPC), Arlene Laing (NOAA), Dan Halperin (WPC), Bill Lamberson (WPC), Josh Kastman (WPC), and Sara Ganetis (WPC)**



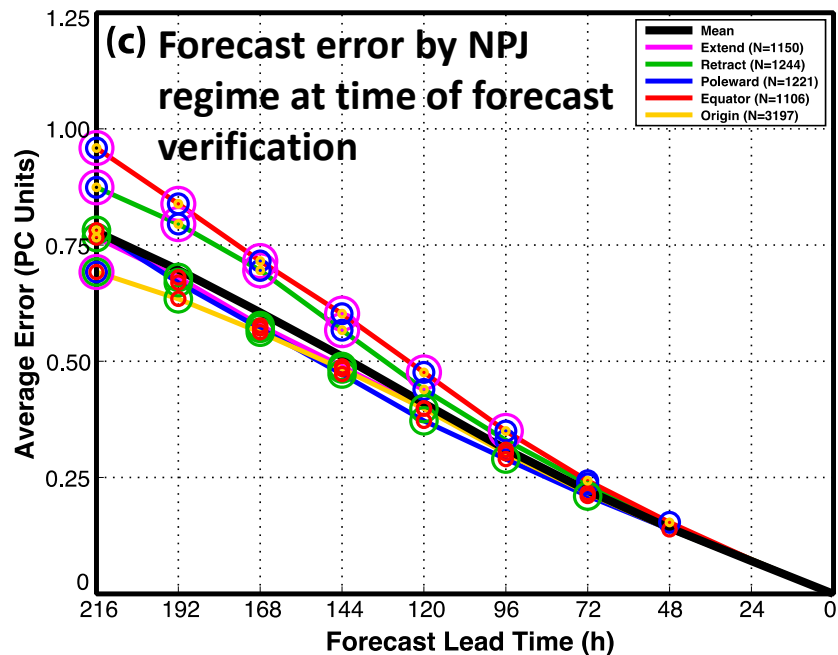
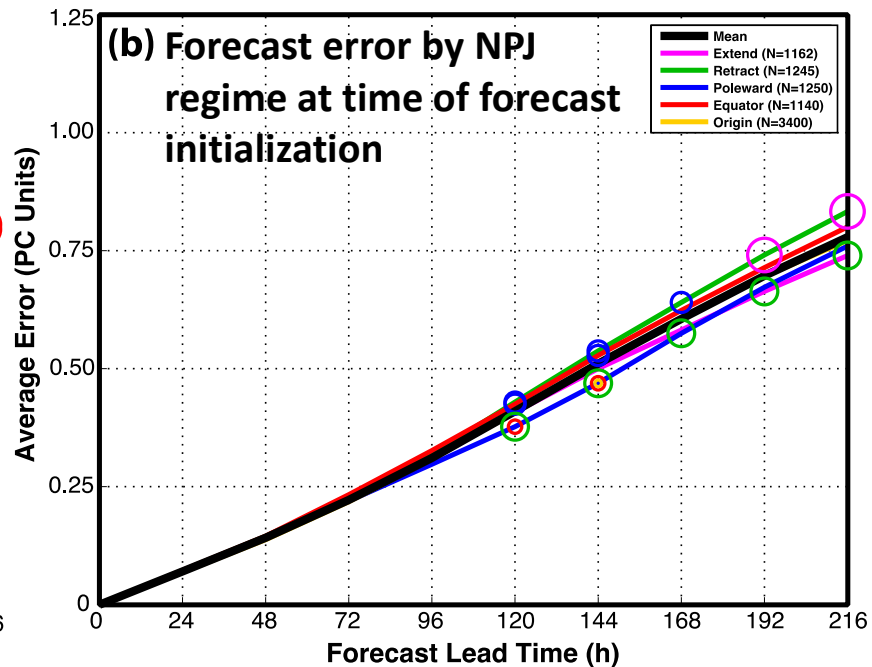
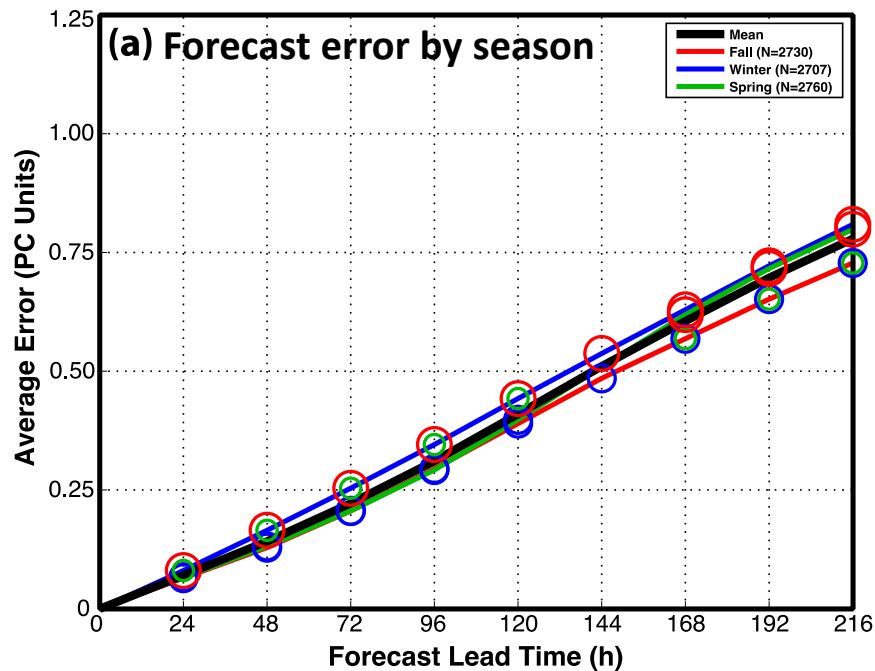
# **GEFS NPJ Phase Diagram Example from 1 January 2017**

# Verified 9-Day Forecast from 0000 UTC 01 Jan 2017

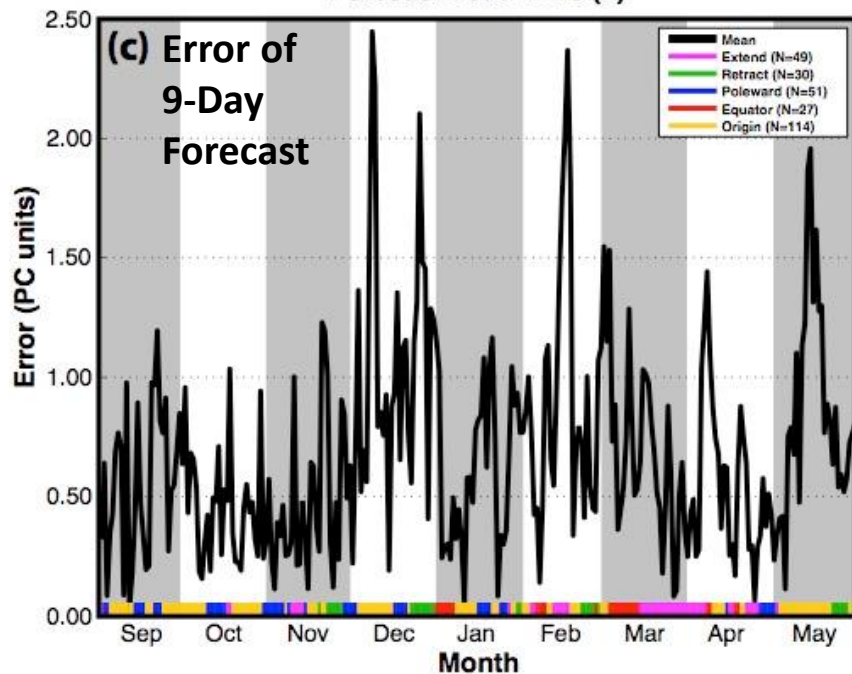
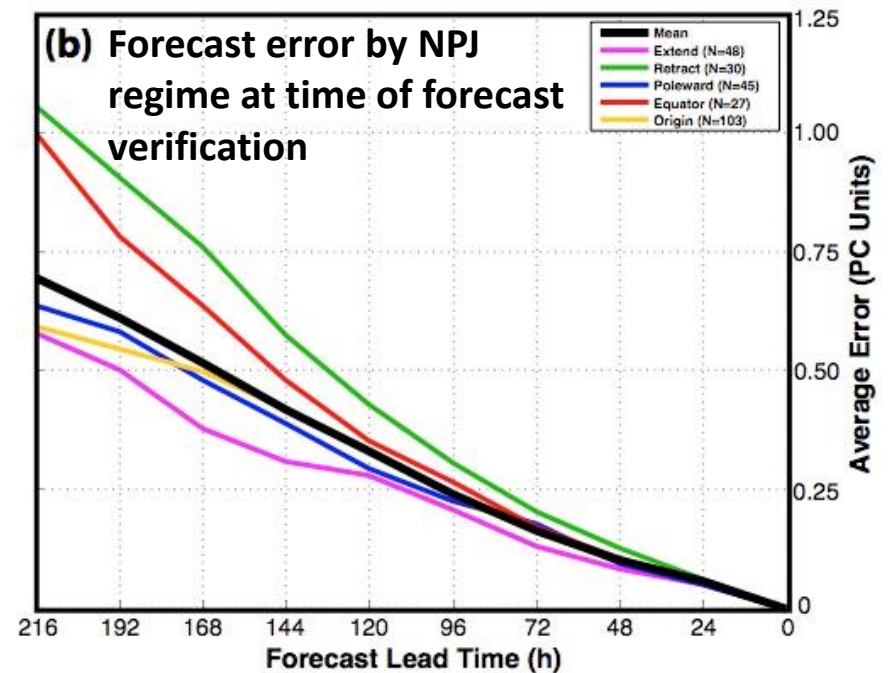
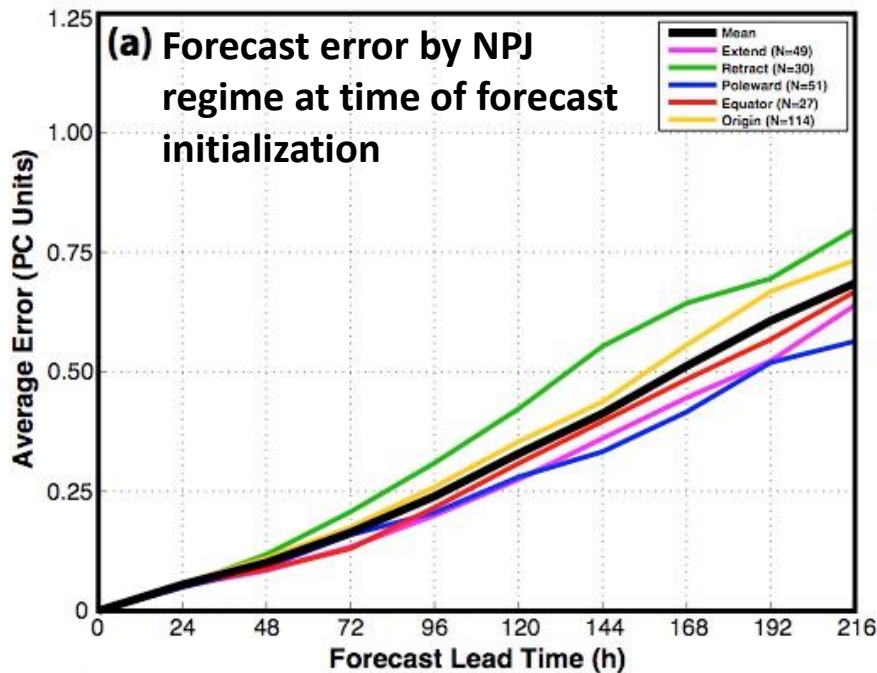


# **Summary of GEFS Reforecast Statistics and 2016–2017 Verification Statistics**





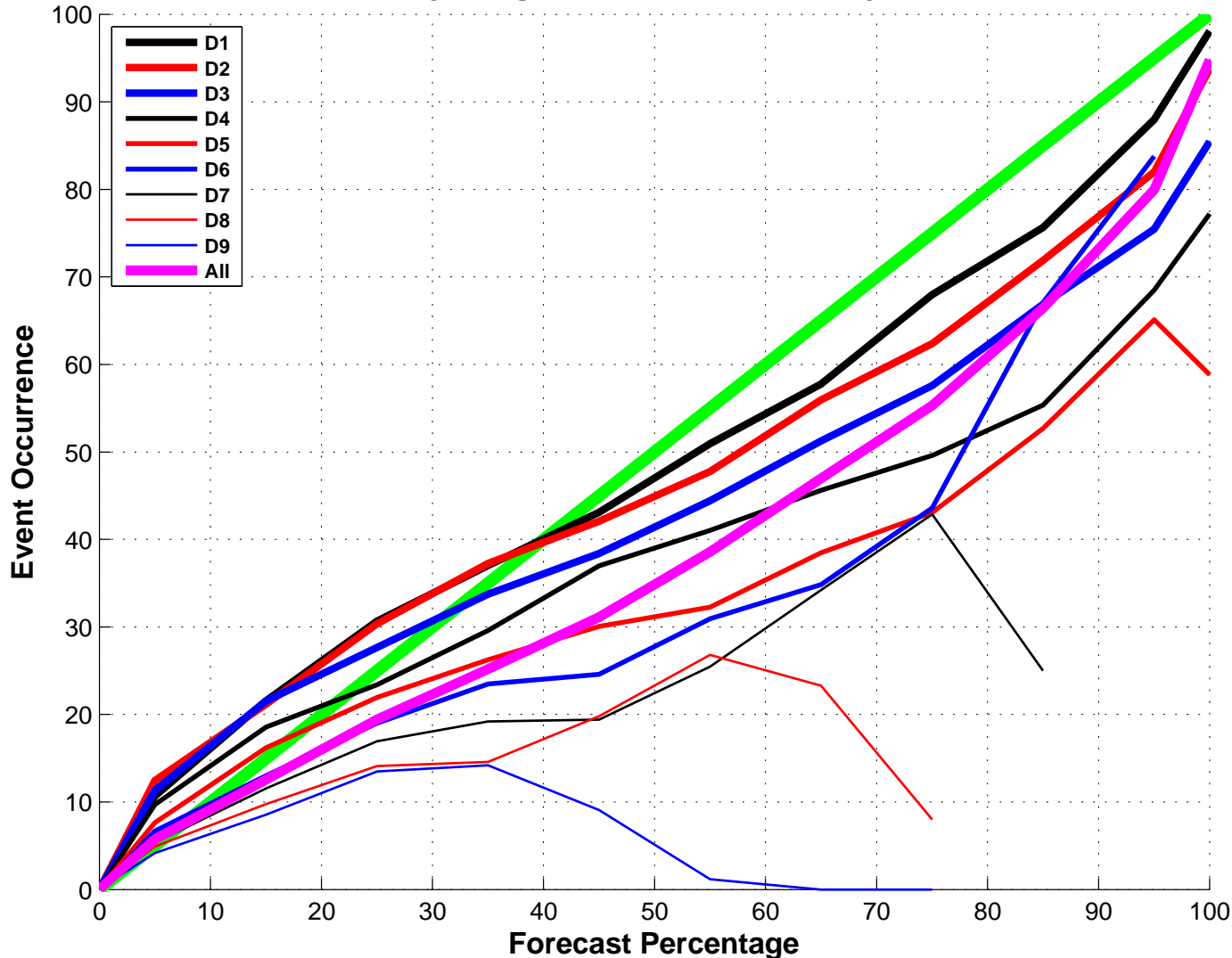
**Verification**  
**Statistics – GEFS**  
**Reforecasts**



**2016–2017  
Verification  
Statistics – GEFS  
Ensemble Mean**

# 2016–2017 Reliability Diagram – GEFS Ensemble

Reliability Diagram Sept 1 2016–May 31 2017



Perfect Reliability

The GEFS appears to be underdispersive with respect to medium-range forecasts of the North Pacific Jet in the phase diagram

# Conclusions: NPJ Phase Diagram Attributes

- **Depicts a quantitative measure of the structure and evolution of the NPJ**
- **Reveals that GEFS 9-day NPJ forecast errors are largest during North Pacific blocking regimes**
- **Illustrates that GEFS forecasts are underdispersive with respect to medium-range forecasts of the NPJ**
- **Shows that GEFS 9-day NPJ forecast errors occur most often in winter and spring and least often in autumn**
- **Indicates that GEFS mean forecast errors maximize during NPJ retraction regimes at initialization and verification times**

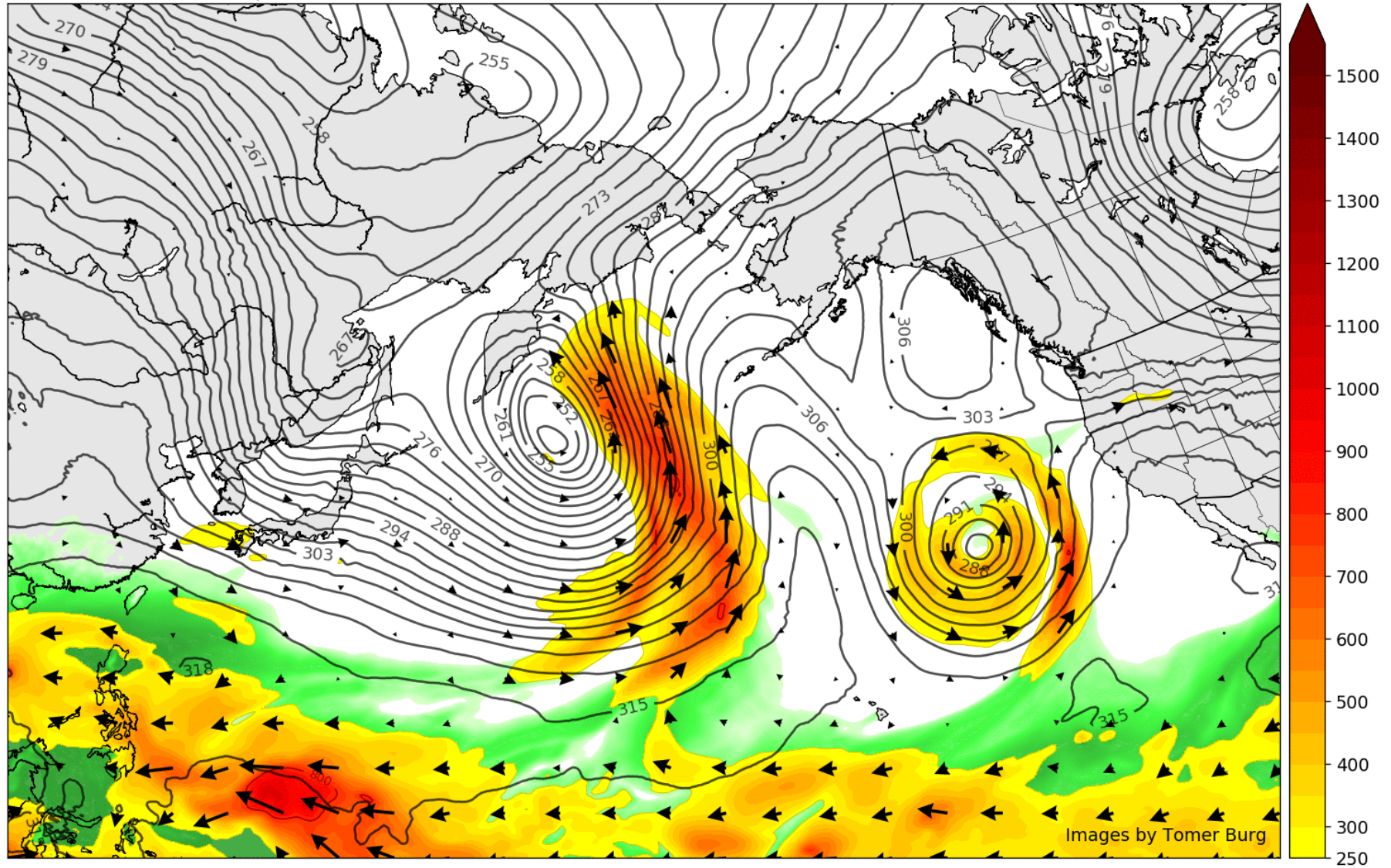


# Extra Slides

# **Selected Loops for 1–15 February 2017 (Oroville Dam Near Failure)**

# Integrated Vapor Transport (kg/m/s), 700-hPa Height (dam), PWAT > 20mm

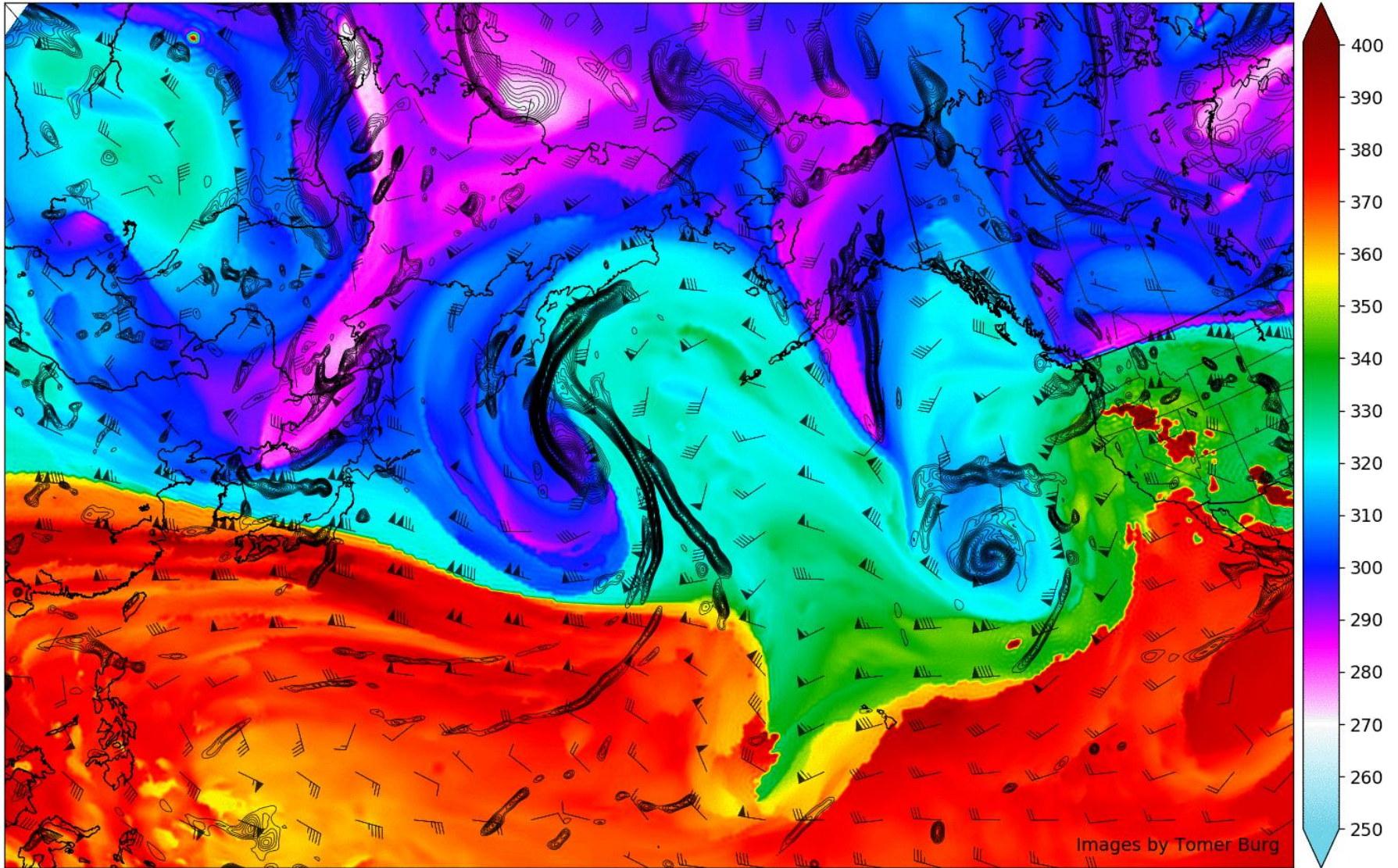
0.25° ERA-5 Reanalysis | Valid 0000 UTC Wed 01 Feb 2017





**2 PVU Potential Temperature (K), 850-hPa Relative Vorticity (1/s), Wind (kt)**

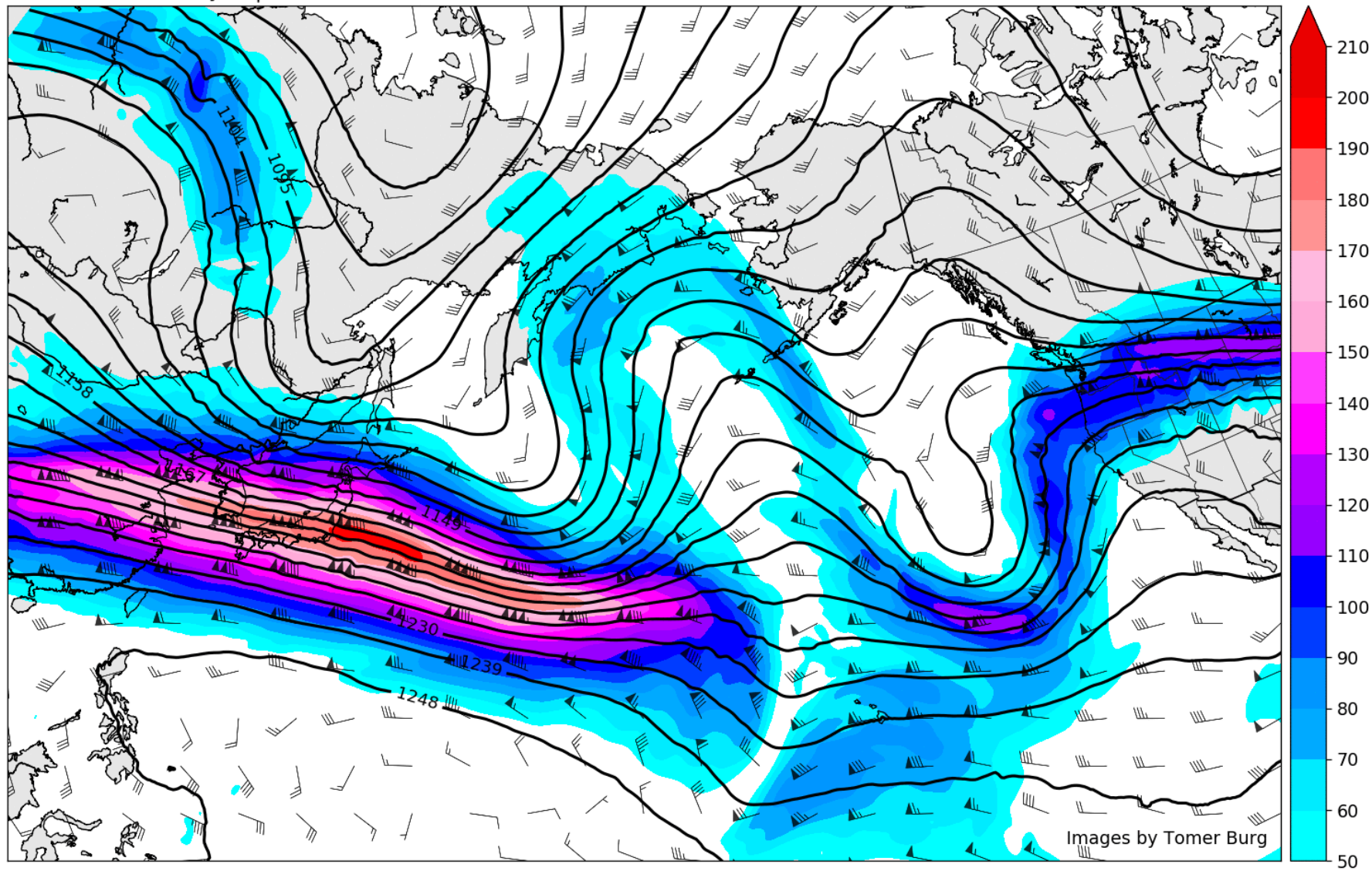
0.25° ERA-5 Reanalysis | Valid 0000 UTC Wed 01 Feb 2017





# 200 hPa Wind Isotachs, Geopotential Height (dam), Wind (kt)

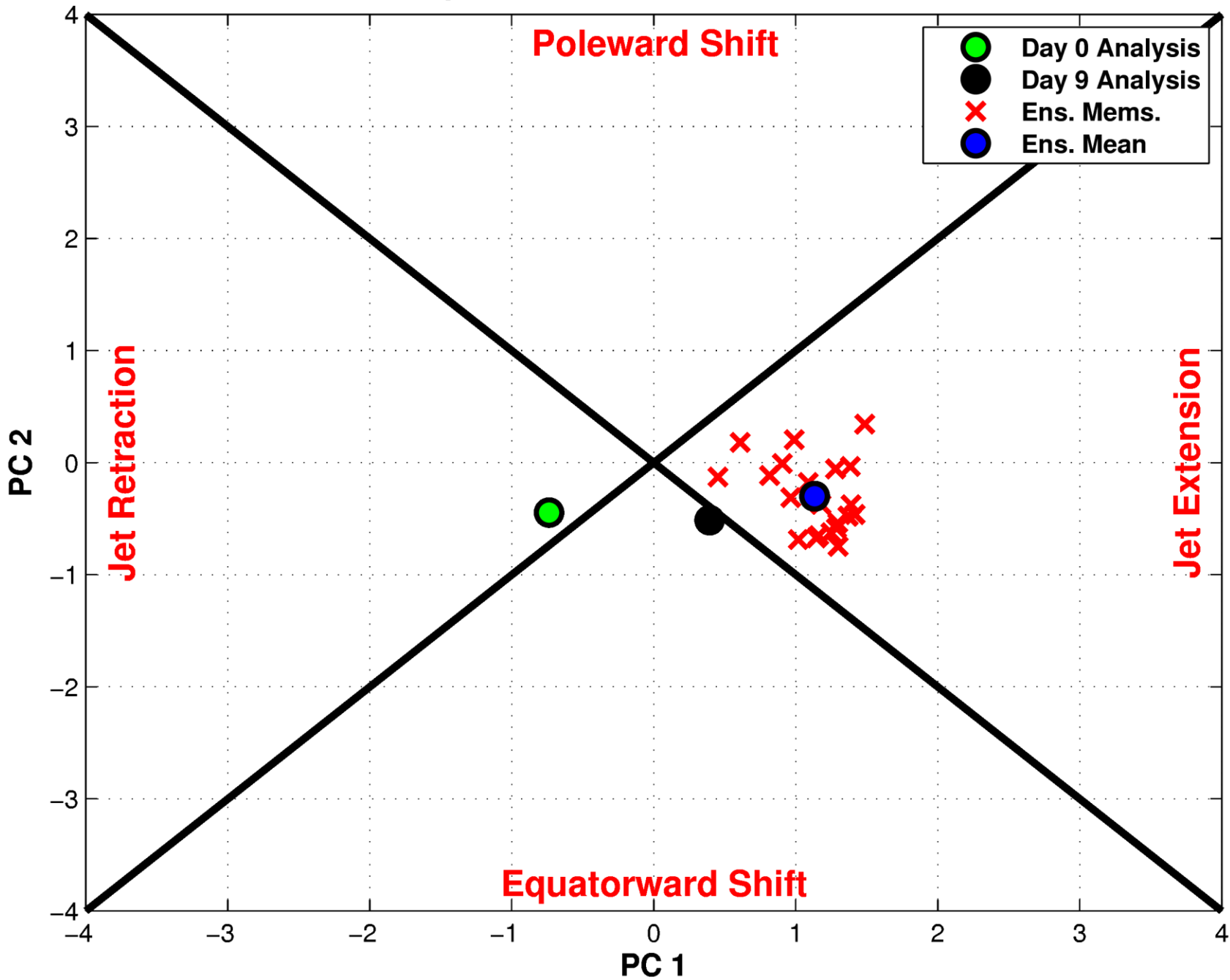
0.25° ERA-5 Reanalysis | Valid 0000 UTC Wed 01 Feb 2017



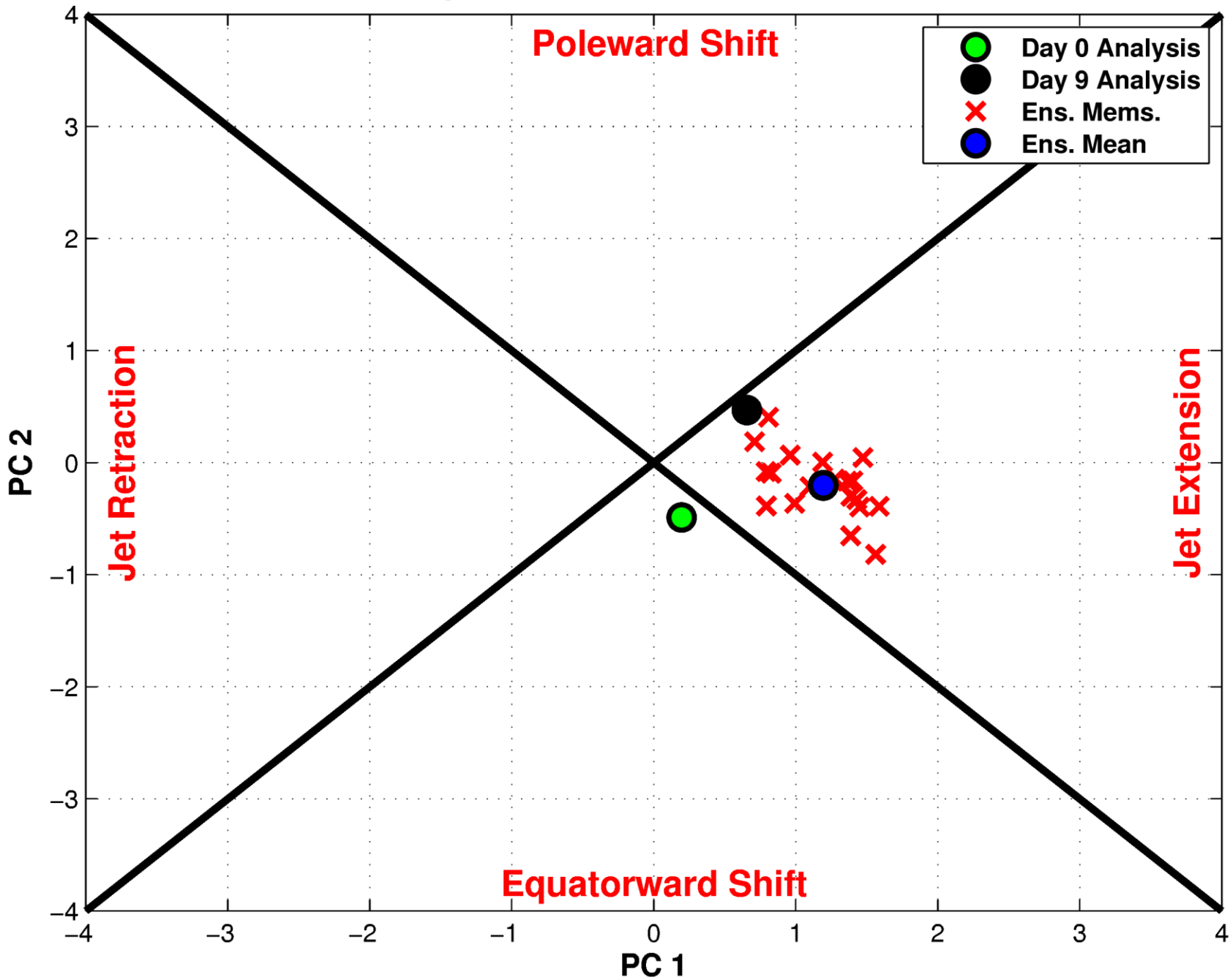
# **NPJ Phase Diagrams: 1–3 February 2017**

**Observed NPJ poleward and eastward shift is much greater than forecast by the underdispersive GEFS**

# Verified 9-Day Forecast from 0000 UTC 01 Feb 2017

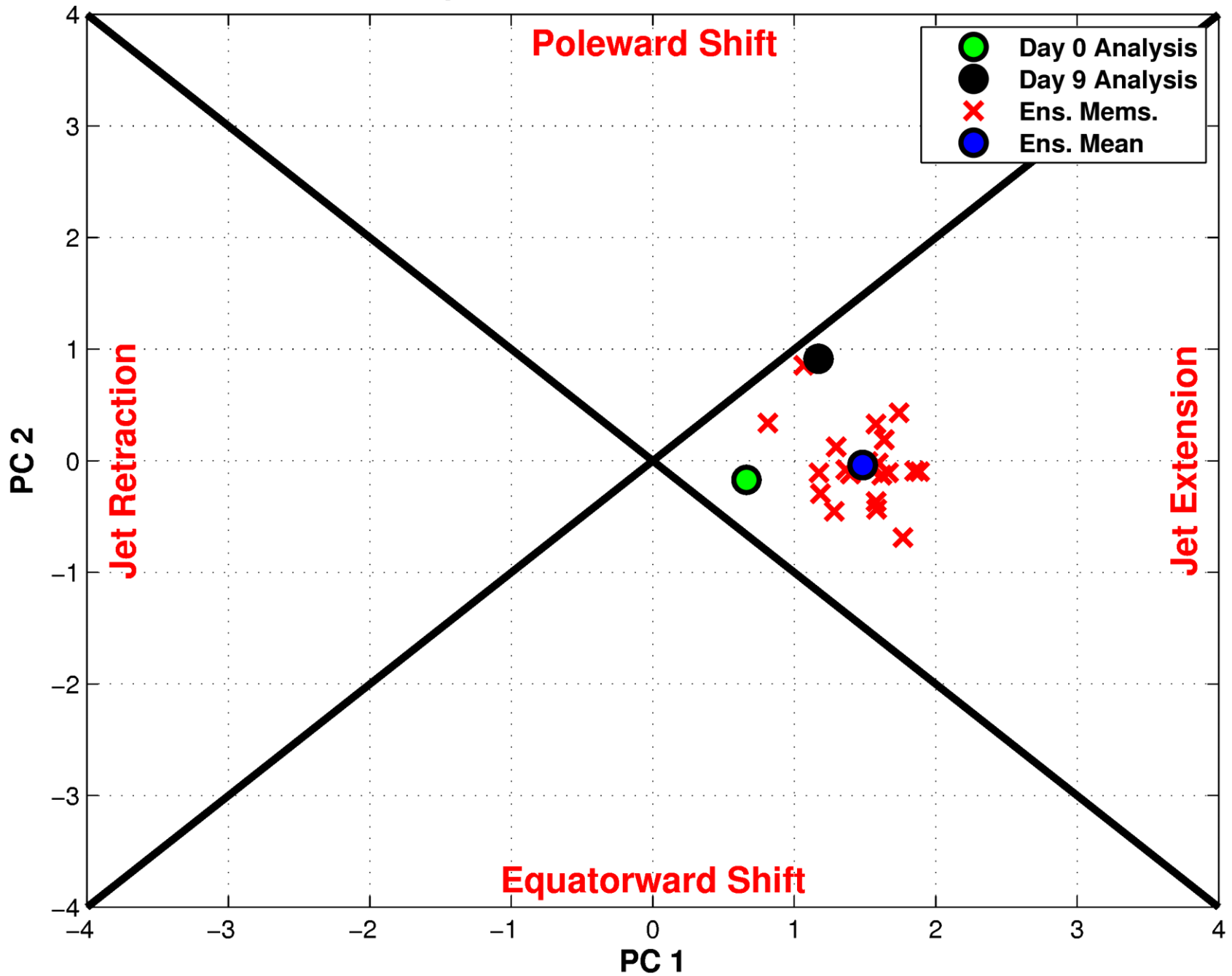


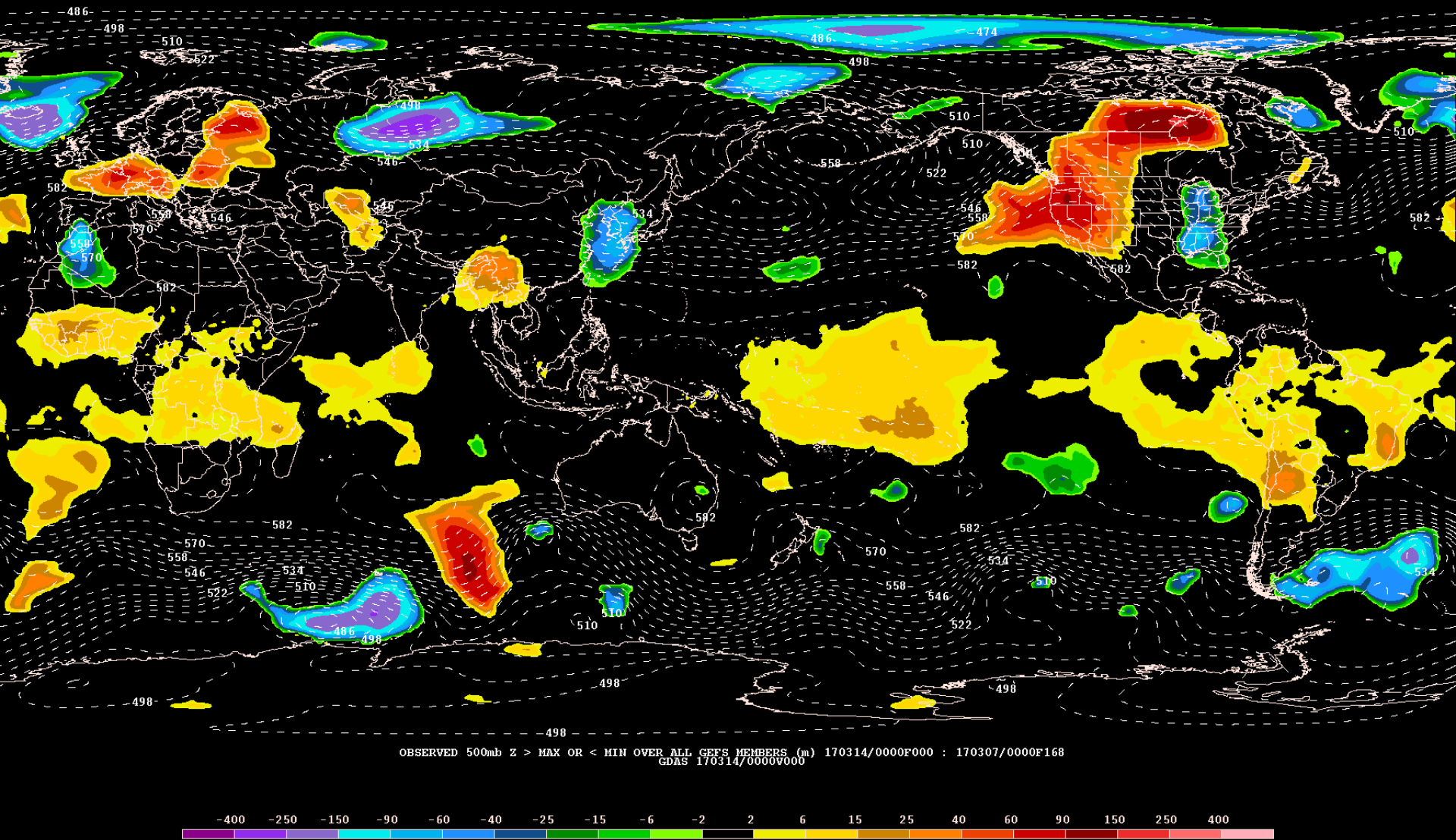
# Verified 9-Day Forecast from 0000 UTC 02 Feb 2017



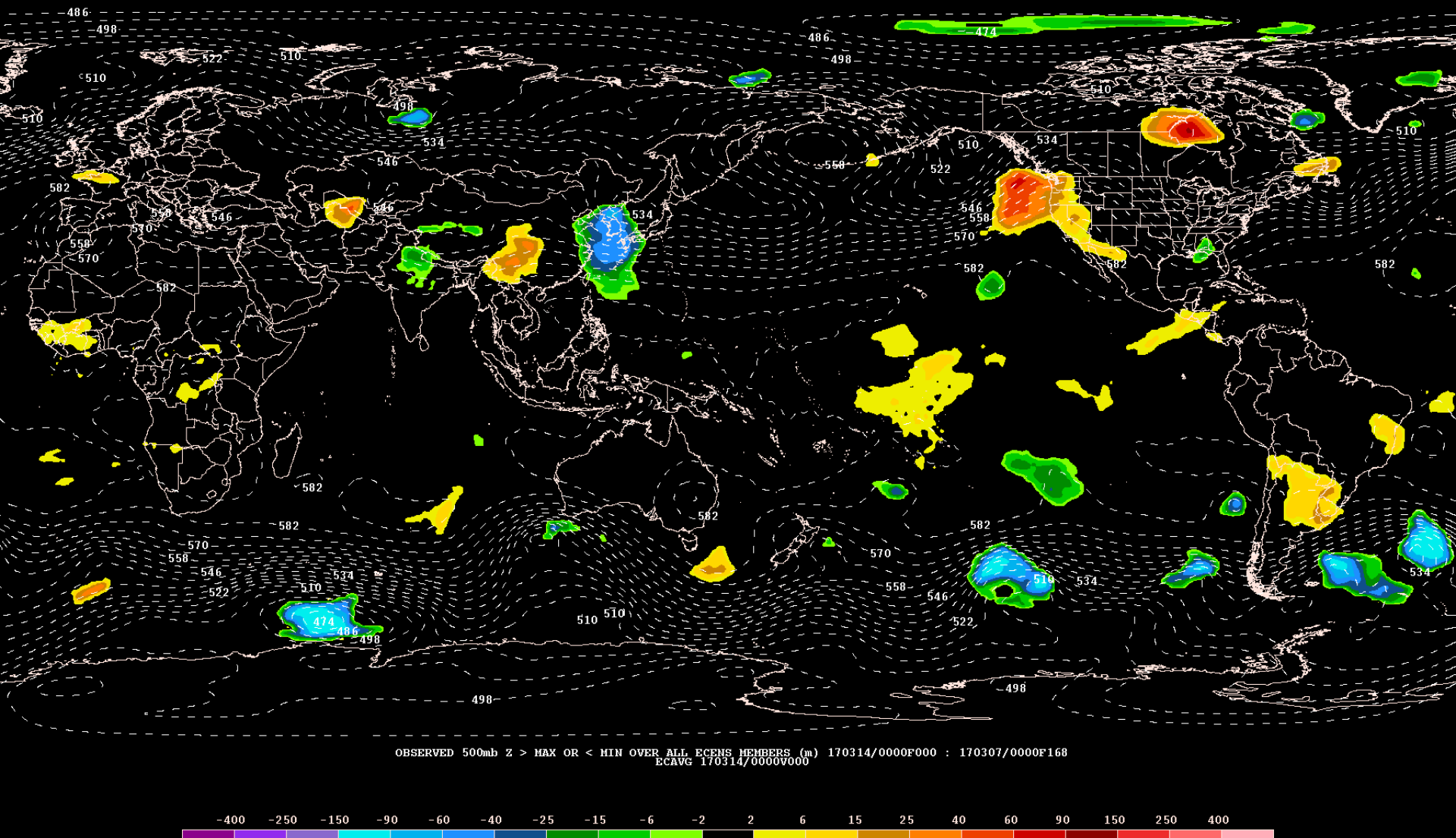


# Verified 9-Day Forecast from 0000 UTC 03 Feb 2017

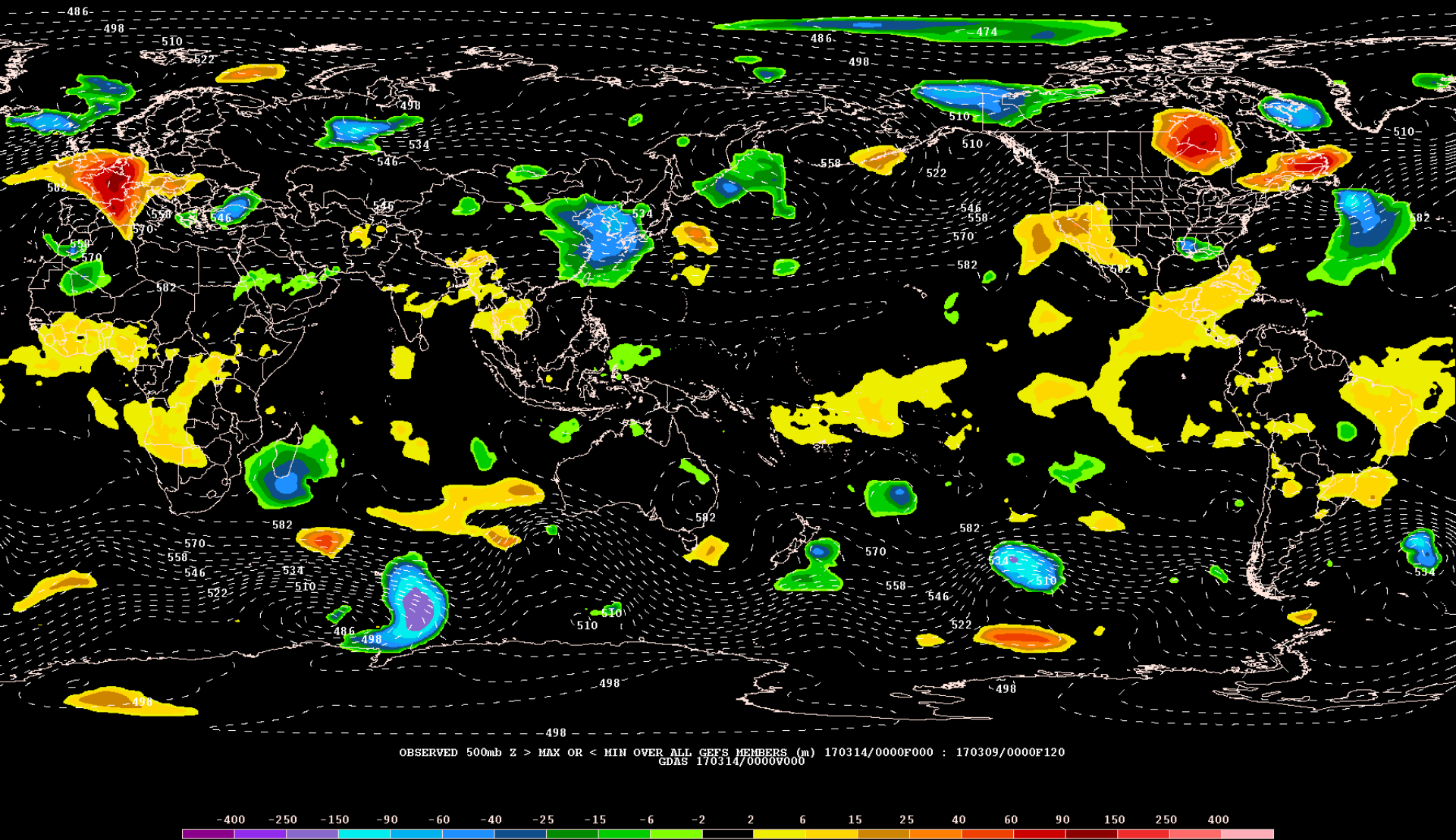




**GEFS “Outside the Envelope” Magnitude (m) for 168 h 500-hPa Geopotential Height Forecasts  
 Verifying 0000 14 March 2017 (Source: Tony Fracasso at NCEP-WPC)**

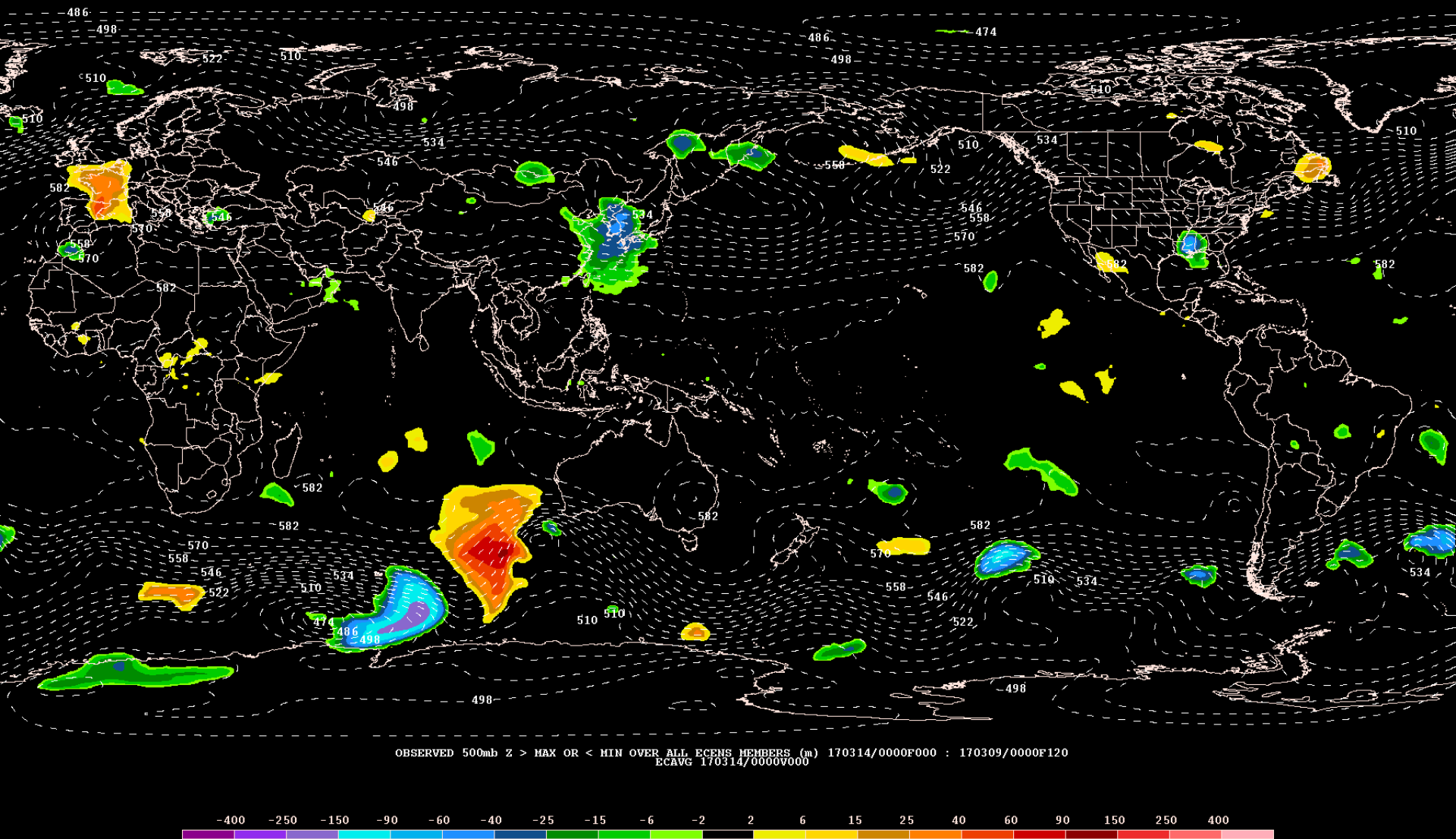


**ECENS “Outside the Envelope” Magnitude (m) for 168 h 500-hPa Geopotential Height Forecasts Verifying 0000 14 March 2017 (Source: Tony Fracasso at NCEP-WPC)**



**GEFS “Outside the Envelope” Magnitude (m) for 120 h 500-hPa Geopotential Height Forecasts  
Verifying 0000 14 March 2017 (Source: Tony Fracasso at NCEP-WPC)**





**ECENS “Outside the Envelope” Magnitude (m) for 120 h 500-hPa Geopotential Height Forecasts Verifying 0000 14 March 2017 (Source: Tony Fracasso at NCEP-WPC)**